

# Exact Controllability of the Heat Equation by Temporarily Strategic Actuators Borders

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## Abstract

The use of the strategic zone actuators, where possible, to control a system is simpler because the unknown functions depend only on the time variable. However, the restrictions and difficulties for establishing the coercivity inequality of the parabolic operator, requires looking for other methods of internal controls. So, we will generalize the concept of actuators strategic areas and deduce results of exact controllability.

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## 1. Motivations and Statement of Problem

### 1.1 Introduce the Problem

The exact controllability of distributed systems has in recent years a net renewed interest, thanks to the development by J.L Lions (1988) Tome 1 of the HUM method (Hilbert Uniqueness Methods). It is essentially based on the uniqueness of properties suitable for the homogeneous equation by a particular choice of controls, building a Hilbert space and a continuous linear mapping of this space into its dual hilbert which is, in fact, an isomorphism which establishes the exact controllability.

For hyperbolic problems this method has yielded important results Lions (1988) Tome 2, Seck (2011), Lebeau (1994), Fursikov (1996),...; Although when the checks are supporting small Niane (1989), Jai (2002), it seems little operative, as well as for technical reasons, the multiplier method does not lead to.

As for parabolic equations any result (to our knowledge) has been prepared by this method. Also, the harmonic method is ineffective for such equations.

### 1.2 Problem Statement

This work is the logical sequence of the publications (see Seck (2019)) where a new method has been developed to solves some of these problems types. This method is based on criteria of surjectivity of a linear continuous operator and a Hilbert space in another builds directly from the exact controllability problem.

The criteria are of two types:

- i. A density criterion which is a consequence of the uniqueness properties (J.L Lions (1988));
- ii. A compactness criterion which follows from the parabolic nature of the operator or the regularity of control;

In both cases these criteria are easier to verify than HUM method of Lions (1988).

This method which we call **exact controllability by compactness and density** opens up broad prospects for the theory of the exact controllability and allows for parabolic equations of Schrödinger, plates, Navier Stokes linearized solve many issues and opening up many perspectives.

## 2. Notations and Reminders

### 2.1 Functional Analysis Reminders

Let  $\Omega$  be a regular nonempty bounded domain of  $\mathbb{R}^N$  of border  $\Gamma$ , of outer unit normal  $\nu(\sigma)$  at point  $\sigma \in \Gamma$ .

We denote  $A$  the unbounded operator of  $L^2(\Omega)$  defined by

$$D(A) = \left\{ u \in H_0^1(\Omega) / -\Delta u \in L^2(\Omega) \right\} \tag{1}$$

$$Au = -\Delta u, \quad \forall u \in D(A) \tag{2}$$

The operator  $A$  has a Hilbert base of eigenfunctions  $(w_k)_{k \geq 1}$  such that the sequence of associated eigenvalues  $(\lambda_k)_{k \geq 1}$  is decreasing.

Let  $T > 0$ , we consider the following Hilberts spaces and their respective dual.

Let  $\alpha \in \mathbb{R}, \beta \in \{1, 0, -1\}$ .

$$F_T^{\alpha, \beta} = \left\{ u = \sum_{k=1}^{+\infty} u_k w_k / \sum_{k=1}^{+\infty} \lambda_k^\alpha u_k^2 e^{2\lambda_k T \beta} < +\infty \right\} \tag{3}$$

We equip  $F_T^{\alpha, \beta}$  with the natural scalar product

$$(x, y)_{F_T^{\alpha, \beta}} = \sum_{k=1}^{+\infty} u_k w_k / \sum_{k=1}^{+\infty} \lambda_k^\alpha u_k^2 e^{2\lambda_k T \beta} < +\infty \tag{4}$$

and, the associated norm is  $\| \cdot \|_{F_T^{\alpha, \beta}}$ .

The dual of  $F_T^{\alpha, \beta}$  is  $F_T^{-\alpha, -\beta}$ . If  $x \in F_T^{\alpha, \beta}$  and  $y \in F_T^{-\alpha, -\beta}$  we have:

$$(y, x)_{F_T^{-\alpha, -\beta}, F_T^{\alpha, \beta}} = \sum_{k=1}^{+\infty} x_k y_k \tag{5}$$

**Remark 1** We can notice that:

- i.  $F_T^{0,0} = L^2(\Omega)$ ,
- ii.  $F_T^{1,0} = H_0^1(\Omega)$ ,
- iii.  $F_T^{-1,0} = H^{-1}(\Omega)$

### 2.2 Case 1-D

Let  $I = ]0, 1[$  an open interval of  $\mathbb{R}$ . We set the operator  $A$  defined by

$$D(A) = \left\{ u \in H_0^1(I) / -\frac{d^2 u}{dx^2} \in L^2(I) \right\}; \quad \forall u \in D(A), \quad Au = -\frac{d^2 u}{dx^2}$$

According to the spectral theory Brezis (1983), Hörmander (1976),  $A$  admits a Hilbert base of  $L^2(I)$  of eigenfunctions  $(w_k)_{k \geq 1}$  whose associated eigenvalues are  $(\lambda_k)_{k \geq 1}$  rows in the decreasing direction where

$$\begin{cases} w_k(x) &= \sqrt{2} \sin k\pi x & \text{and} \\ \lambda_k &= k^2 \pi^2 \end{cases}$$

See also Lebeau(1994) and Fursikov(1996).

**Definition 1** An integrable square function  $\mu : I \subset \Omega \longrightarrow \bar{\mathbb{R}}$  is called strategic (see El. Jai(2002, 2003)) if it satisfies, for all  $\phi_0 \in L^2(I)$ , the solution  $\phi^+$  of heat equation

$$\begin{cases} \phi^{+'}(t, x) - \Delta \phi^+(t, x) = 0 \text{ in } Q_T = ]0, +\infty[ \times I \\ \gamma \phi^+(t, x) = 0 \text{ in } \Sigma_T = ]0, +\infty[ \times \partial I \\ \phi^+(0) = \phi_0 \text{ in } I \end{cases} \tag{6}$$

satisfies:

$$\forall t > 0, \int_I \mu(x)\phi^+(t, x)dx = 0 \quad \text{then} \quad \phi_0 = 0. \tag{7}$$

**Remark 2**

1. In 1 dimension (see Seck (2019)), it has been proved that there are internal strategic actuators and this is the case of certain particular geometries.
2. Similarly, the Exact boundary controllability of the heat equation in 1D by strategic actuators area by the SCD Method(surjectivity by compactness and density) was done.

**3. Temporary Actuators Strategic Boundaries**

*3.1 Definition and Remark*

1. **Definition 2.**

An actuator  $g(t) \in L^2(]0, T[ \times \Gamma)$  is said temporally strategic if

$$\forall \phi_0 \in H_0^1(\Omega), \text{ if } \int_{\Gamma} g(t) \frac{\partial \phi^+}{\partial \nu}(t) d\sigma = 0 \forall t \in \mathbb{R}_+^* \text{ then } \phi_0 = 0. \tag{8}$$

2. **Remark 3.**

Due to the fact that the application  $t \mapsto \int_{\Gamma} g(t) \frac{\partial \phi^+}{\partial \nu}(t) d\sigma$  is analytic then it is enough that the identity of definition is checked on an interval  $]0, T[$  so that it is true everywhere.

*3.2 Main Result*

**Theorem 1.**

On any  $\gamma$  open non-empty part of  $\Gamma$ , there exists a temporally strategic boundary operator  $g(t)$  such that  $supp(g(t)) \subset \gamma$ .

**Proof .** Consider  $\eta \in \mathcal{D}^+(\Gamma)$  such that  $supp(\eta) \subset \gamma$  and  $\eta$  not identically zero.

Let  $\phi_0 \in D(A)$  such that  $\forall \phi_{0k}, \phi_{0k} \neq 0$ .

We pose  $g(t) = \eta \frac{\partial \phi^+}{\partial \nu}(t)$  and let

$$\psi_0 \in H_0^1(\Omega) \text{ such that } \int_{\Gamma} \eta \frac{\partial \psi^+}{\partial \nu} \frac{\partial \psi^+}{\partial \nu} d\sigma = 0, \forall t > 0 \tag{9}$$

so

$$\sum_{k=1}^{+\infty} \sum_{h=1}^{+\infty} \phi_{0k} \psi_{0h} e^{-(\lambda_k + \lambda_h)t} \alpha_{hk} = 0$$

where

$$\alpha_{hk} = \int_{\Gamma} \eta \frac{\partial \psi_k}{\partial \nu} \frac{\partial \psi_h}{\partial \nu} d\sigma$$

so  $\forall k \in \mathbb{N}^*, \forall h \in \mathbb{N}^*, \psi_{0k} \alpha_{hk} = 0$ .

Suppose it exists  $k_0$  such that  $\forall h \in \mathbb{N}^*, \alpha_{hk_0} = 0$  then  $\int_{\Gamma} \eta \left( \frac{\partial w_{k_0}}{\partial \nu} \right)^2 d\sigma = 0$ .

So  $\frac{\partial w_{k_0}}{\partial \nu}$  on an open part of  $\Gamma$  not empty but  $w_{k_0}$  are eigenfunctions so  $w_{k_0} \equiv 0$  in  $\Omega$  which is impossible from where  $\psi_0 = 0$  in  $\Omega$ .

**Exact controllability boundary of the heat equation**

**Theorem 2.** If  $g \in L^2(\mathbb{R}_+^* \times \Gamma) \cap C(\mathbb{R}_+^*, L^2(\Gamma))$  is temporally strategic for everything  $y_0 \in F_T^{-1,-1}$ , it exists  $\beta \in L^2(]0, T[)$  such that the solution  $y$  of

$$\begin{cases} y' - \Delta y = 0 \text{ in } ]0, \infty[ \times \Omega \\ \gamma y = \beta(t)g(t, \sigma) \text{ in } ]0, \infty[ \times \Gamma \\ y(0) = y_0 \text{ in } \Omega \end{cases} \tag{10}$$

verify  $y(T) = 0$ . **Proof**

Formal construction of the operator  $L$ ?

We multiply the previous equation (10) by  $\psi^-(T)$  where  $\psi_0 \in F_T^{1,1}$  and we have

$$\int_{\Omega} y(T)\psi^-(T)dx - \langle y_0, \psi_0 \rangle_{F_T^{-1,-1}, F_T^{1,1}} = - \int_0^T \int_{\Gamma} \beta(t)g(t, \sigma) \frac{\partial \psi^-}{\partial \nu}(t, \sigma) d\sigma dt$$

so

$$\langle y_0, \psi_0 \rangle_{F_T^{-1,-1}, F_T^{1,1}} = - \int_0^T \int_{\Gamma} \beta(t)g(t, \sigma) \frac{\partial \psi^-}{\partial \nu}(t, \sigma) d\sigma dt$$

but if  $x \in F_T^{-1,-1}$  and  $y \in F_T^{1,1}$ ,

$$\langle x, y \rangle_{F_T^{-1,-1}, F_T^{1,1}} = \langle A^{-1}x^+(T), Ax^-(T) \rangle_{L^2(\Omega)}$$

from where

$$\int_{\Omega} A^{-1}y^+(T)\psi^-(T)dx = - \int_0^T \int_{\Gamma} \beta(t)g(t, \sigma) \frac{\partial \psi^-}{\partial \nu}(t, \sigma) d\sigma dt$$

Let  $\mu$  the unique solution of the equation

$$\begin{cases} -\Delta \mu = 0 \text{ in } \Omega \\ \gamma \mu(t, \sigma) = g(t, \sigma) \text{ in } \Gamma \end{cases} \tag{11}$$

We have

$$\begin{aligned} \int_{\Omega} A^{-1}y^+(T)dx &= - \int_0^T \beta(t) \int_{\Omega} g(t, \sigma) \frac{\partial \psi^-}{\partial \nu}(t, \sigma) d\sigma dt \\ &= - \int_0^T \beta(t) \int_{\Omega} (-\Delta \psi^- \mu) dx dt \end{aligned}$$

So,

$$\begin{aligned} \langle A^{-1}y^+(T), A\psi^-(T) \rangle_{F_T^{-1,-1}, F_T^{1,1}} &= - \int_0^T \beta(t) \int_{\Omega} \psi' \mu(t, x) dx dt \\ &= - \int_0^T \beta(t) \left\langle \Psi_0, \frac{\partial \mu^-}{\partial s}(t, t) \right\rangle_{F_T^{1,1}, F_T^{-1,-1}} dt \\ &= \left\langle - \int_0^T \beta(t) \frac{\partial \mu^-}{\partial s}(t, t) dt, \psi_0 \right\rangle \end{aligned}$$

from where

$$A^{-1}y^+(T) = A^{-1} \left( - \int_0^T \beta(t) \frac{\partial \mu^+(T-t, t)}{\partial s} \right)^+(T) dt$$

so

$$y^+(T) = \int_0^T \int_0^T \beta(t) \frac{\partial \mu^+}{\partial s}(T-t, t) dt \tag{12}$$

So, we are brought back to the first case. ■

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