# A Nonuniform Reference Voltage Optimization Based on Relative-Precision-Loss Ratios in MLC NAND Flash Memory

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# Abstract

In Multi-Level-Cell (MLC) NAND flash memory, cell-to-cell interference (CCI) and retention time have become the main noise that degrades the data storage reliability. To mitigate such noise, a relative precision loss (RPL) nonuniform reference voltage sensing strategy is proposed in this paper. First, based on the NAND flash channel model with CCI and retention noise, we simulate the data storage process of MLC NAND flash by Monte Carlo method, and find that the threshold-voltage of each disturbed storage state shows approximately to be Gaussian distributed. Then, by Gaussian approximation, the distribution of threshold voltage can be estimated easily in mathematics with a little loss. Second, we introduce a concept of log-likelihood ratio (LLR)-based RPL ratio to determine the dominating overlap regions, and then propose a new nonuniform reference voltage sensing strategy. This strategy does not only reduce the memory sensing precision (i.e., the number of reference voltages), but also maintains the reliability of the soft information of NAND flash memory channel output for soft decoding. Third, we implement extensive simulations to verify the performance of the new nonuniform sensing strategy. The BER performances of LDPC codes for different sensing strategies are provided to show that the proposed LLR-based RPL-nonuniform sensing strategy can make a good compromise between memory sensing latency and error-correction performance.

Keywords: MLC-NAND flash memory, LDPC code, nonuniform sensing, cell-to-cell interference, retention time

## 1. Introduction

Today, in order to provide high-quality services to end users, data centers need fast and highly reliable storage. Multi-Level-Cell (MLC) NAND Flash memory has become a mainstream storage device due to its high performance and nonvolatile nature while reducing the over space and power. With the increased storage density of flash memory, memory blocks is increasingly susceptible to a variety of channel noises, including data retention, Cell-to-Cell interference (CCI), program/erase (P/E) cycles and read disturb (Cai, 2017; Cai, Luo, Ghose & Mutlu, 2015; Wang, Dong, Pan, Zhou & Stievano, 2011), which can shift the state threshold voltage. Consequently, the raw bit error rate of data stored in the memory increases. To improve the integrity and reliability of information stored in memory, error-correction codes (ECCs) are used, such as Bose-Chaudhuri-Hocquenghem (BCH), Reed-Solomon (RS) codes (Liu, Rho, & Sung, 2006; Micheloni, Ravasio, Marelli, Alice, Altieri, Bovino & Won, 2006) have been introduced to MLC NAND flash memory system. However, the traditional hard-decision ECCs are not enough to meet the reliability requirements of the high-density flash memory. Compared with hard-decision ECCs, soft decision based ECCs have a higher error-correcting performance, especially the low-density parity check (LDPC) codes (Gallager, 1962) which is a class of powerful iteratively decodable ECC. The Belief Propagation (BP) decoding algorithm of LDPC code is a typical iterative decoding algorithm that works iteratively. During iterations, the log-likelihood-ratio (LLR) soft information is iteratively updated to have excellent error performance in NAND flash memory. Therefore, the accuracy of the LLR value output by the NAND flash memory channel determines the decoding performance of the LDPC code.

For high-quality and accurate LLRs, NAND flash memory chips demand perform small range of soft-decision memory-cell sensing. However, fine-grained memory sensing can lead to an increase in the sensing time consumed by the chip and incur access latency penalty (Zhao, Dong, Sun, Zheng, & Zhang, 2012). Hence, it is necessary to make a trade-off between the memory sensing levels and decoding performance of flash memory

chip. In addition to higher sensing precision, accurate channel initial LLRs also need to estimate the distribution of storage states. However, the threshold voltage distribution of storage states are severely shifted as the NAND flash chip scaling down and retention time increase (Prall, 2007). Literature (Lee, & Sung, 2013; Peng, Wang, Fu & Huo, 2017) study the distribution of varies noise and demonstrated that the distribution of each storage states can be approximately expressed as a Gaussian mixture function. Nonuniform sensing strategy (Dong, Xie & Zhang, 2011) is proposed to reduce memory sensing precision, yet gives a high precision within three dominating overlap region. However, only the cell-to-cell interference is regarded as the main noise in that work.

Our work attempts to overcome the shortcomings mentioned above. First, based on the channel model of NAND flash memory system, we use Monte Carlo to simulate flash memory with LDPC codes as ECC, which suffer from various noises, including cell-to-cell interference and retention time. We find the PDF of each state approximately obeys Gaussian distribution. Further, we derive mathematical formulations to estimate the parameters of state distributions. Second, combining the calculation of LLRs, we propose a new nonuniform sensing strategy based on the Relative-Precision-Loss (RPL) which can determine the boundary of dominating overlap regions. Final, we estimated the PDF of threshold voltage over a wide range of coupling strength factors s and different retention times T and calculated the LLRs of channel. Simulation results show that the proposed new nonuniform sensing strategy exhibits better error-correcting performance with respect to other existing counterparts while considering more noise interference.

#### 2. NAND Flash Memory Channel

In the multi-level cell (MLC) NAND Flash memory, each cell is supposed to fall into one of the four non-overlapping threshold voltage windows and store 2 bits. Each threshold voltage window specifies one storage state, and the first state is the erased state storing 11, the other three states are the programmed states storing 10, 00 and 01, respectively. The smallest unit of erasure is a block, and all cells in a block should be erased before starting programming. Due to the inevitable process variability, the threshold voltage of the erased-state cell shifts, which is usually considered to be Gaussian distributed (Takeuchi, Tanaka & Nakamura, 1996; Wang, Dong, Pan, Zhou & Stievano, 2011). The PDF of the erased state threshold voltage is

$$P_{e}(x) = \frac{1}{\sigma_{e}\sqrt{2\pi}} e^{-\frac{(x-u_{e})^{2}}{2\sigma_{e}^{2}}}$$
(1)

where  $u_e$  and  $\sigma_e$  are the mean and the standard deviation, respectively. The incremental step pulse programming (ISPP) procedure is one common technique used to program memory cell (Suh, Lim, Kim, Choi, Koh & Lim, 1995). By the ISPP technique, the threshold-voltage distribution of the *k*-programmed state is uniform (Dong, Pan, & Zhang, 2014; Xu, Gong, Chen, Michael & Li, 2015), whose PDF is given by

$$p_p^{(k)}(x) = \begin{cases} \frac{1}{\Delta V_{pp}}, & \text{if } V_p < x < V_p + \Delta V_{pp} \\ 0, & \text{else} \end{cases}$$
(2)

where  $V_p$  is the verify voltage of the k-th programmed state and  $\Delta V_{pp}$  is the incremental programming voltage step size.

In the MLC NAND Flash channel, there are many noise factors such as CCI, read disturb errors, random telegraph errors, retention time and P/E cycling errors, and various analytical methods are designed to work out with different noises. Among these factors, CCI is one sever noise, because the MLC technology reduces the width of threshold voltage for each storage state and narrows the gaps between adjacent states, which degrades the reliability of the memory. Retention noise is a predominant noise, especially when the flash device is powered-off for a long time or has been used with many P/E cycling operations. Hence, in our work, we mainly consider the flash memory with CCI and retention noise.

#### 2.1 Cell-to-Cell Interference

For a memory chip, the Cell-to-Cell interference occurs due to parasitic capacitive coupling between adjacent memory cells. As the threshold voltage of a flash cell (interfering cell) increases, the threshold voltage of its adjacent (victim) cells also shift (Lee, Hur, & Choi, 2002). The unintended threshold voltage shifts may cause the victim cell moving into a different storage state, which brings data distortions. The charges change of the

victim cell caused by CCI can be accurately modeled as a linear combination of the threshold voltage shift of the adjacent cells which are programmed after the victim cell (Cai, Mutlu, Haratsch & Mai, 2013). It can be expressed as

$$\Delta F = \sum_{n} (\Delta V_t^{(n)} \cdot \gamma^{(n)}) \tag{3}$$

Where  $\gamma^{(n)}$  is the coupling-capacitive ratio between the *n*-th interfering cell and the victim cell, and  $\Delta V_l^{(n)}$  is the threshold voltage change of the *n*-th interfering cell during programming. In addition, the computation of  $\Delta F$  depends on the architecture of NAND Flash memory (Park, Kang, Kim, Hwang, Choi, Lee, Kim & Kim, 2008). In our work, we focused on NAND flash memories with all-bit-line structure where a victim cell is mainly disturbed by three neighboring cells on the next word-line, as shown in figure 1. Here,  $\gamma_y$  and  $\gamma_{xy}$ represent the vertical and diagonal coupling-capacitive ratios, respectively, which are assumed to be Gaussian According to the literature (Shibata, Maejima, 2008), the relation between of means of  $\gamma_y$  and  $\gamma_{xy}$  is set to be approximately 0.08:0.006, which shows that the vertical coupling ratio plays a major role in CCI. Therefore, we ignore the diagonal coupling strength factor s is introduced and the means of the verticality-coupling ratio and the diagonal-coupling ratio can be expressed as 0.08s and 0.006s, respectively.



Figure 1. Illustration of the CCI interference in all-bit-line structure and parasitic coupling Capacitance among neighboring cells

Let  $p_c(x)$  be the PDF of CCI. Supposing that the interfering cells be written to each state with the same probability (i.e., each state has the same probability of 1/K and K = 4 for MLC NAND flash memory), the PDF of CCI can be modeled as (Dong, Xie, Zhang, 2011)

$$p_{c}(x) = \frac{1}{2K\gamma_{y}\Delta V_{pp}} \times \sum_{\nu=1}^{K-1} \left( erf\left(\frac{V_{r}^{(\nu)} - x/\gamma_{y} - u_{e}}{\sqrt{2}\sigma_{e}}\right) - erf\left(\frac{V_{l}^{(\nu)} - x/\gamma_{y} - u_{e}}{\sqrt{2}\sigma_{e}}\right) \right) + \frac{\delta(x)}{K}$$
(4)

where

$$erf(x) = \frac{2}{\sqrt{\pi}} \int_{0}^{x} e^{-t^{2}} dt$$
 (5)

is the error-function and  $\delta(x)$  represents the Dirac delta function. When the interfering cell remains in the erased state, it will not induce CCI to the victim cell. Hence, the corresponding interference is represented by Dirac delta function. Based on the above analysis, the PDF of the threshold-voltage for the victim cell that was programmed to the *k*-th programmed state and has been interfered by CCI can be expressed by

$$p_{v}^{(k)}(x) = p_{p}^{(k)}(x) \otimes p_{c}(x)$$
(6)

where the sign  $\otimes$  is the convolution operation. Supposing the victim cell is in the 0-th state (erased state), then

the PDF  $p_v^{(k)}(x)$  in (6) will be computed by using  $p_e(x)$  since  $p_p^{(k)}(x) = p_e(x)$ .

#### 2.2 Date Retention Noise

Retention noise (RN) is caused by charge leakage over time after a flash memory is programmed and repeated P/E operations. According to reference (Lee, Choi, Park & Kim, 2003; Cai, Yalcin, Mutlu, Haratsch, Cristal, Unsal & Mai, 2012; Cai, Yalcin, Mutlu, Haratsch, Crista, Unsal & Mai, 2013; Dong, Xie & Zhang, 2013), the retention noise can be approximated with a Gaussian distribution, whose PDF is given as

$$p_r^{(k)}(x) = \frac{1}{\sigma_{rk}\sqrt{2\pi}} e^{\frac{-(x-u_{rk})^2}{2\sigma_{rk}^2}}$$
(7)

where the mean  $u_{rk}$  and the standard variance  $\sigma_{rk}$  are data-dependent and defined as (Dong, Xie & Zhang, 2013), as given by

$$u_{rk} = K_k (u_c^{(k)} - x_0) \cdot (A_t \cdot N_c^{a_i} + B_t \cdot N_c^{a_o}) \cdot \log(1+t)$$
(8)

$$\sigma_{rk} = 0.3 \cdot |u_{rk}| \tag{9}$$

where  $K_k$ ,  $x_0$ ,  $A_t$ ,  $B_t$ ,  $a_i$ ,  $a_o$  are constants,  $N_c$  and t represents the P/E cycles and the retention time respectively. For the threshold-voltage distribution of the k-th storage state after CCI, we denote  $u_c^{(k)}$  to represent its mean.

Combining the analysis of CCI and RN model, we finally estimate the PDF of the overall threshold-voltage distribution for the victim cell disturbed by CCI and RN as

$$p^{(k)}(x) = \int_{t} p_{v}^{(k)}(x) p_{r}^{(k)}(x-t) dt$$
(10)

#### 2.3 The Mathematical Formula of LLR

Since the charges in a storage cell can be detected by finite number of sensing voltage, when probability density function of each storage state is available, it is easy to compute the initial LLR information for the flash memory channel. For MLC NAND flash memory, storing two bits in a cell, a physical page referring to all cells in one word-line consists of a least significant bit (LSB) page and a most significant bit (MSB) page. The left bit of the storing two bits is the MSB and the right one is the LSB. Recall that the four storage states 11, 10, 00 and 01 are denoted as the state k = 0, 1, 2, and 3, respectively. If a sensed threshold-voltage  $V_{th}$  of memory cells falls into the reference voltage interval ( $R_l, R_r$ ], then the LLR information of the LSB and MSB are respectively calculated as

$$L_{MSB} = \log \frac{\int_{R_{l}}^{R_{r}} [p^{(0)}(x) + p^{(1)}(x)] dx}{\int_{R_{l}}^{R_{r}} [p^{(2)}(x) + p^{(3)}(x)] dx}$$
(11)

$$L_{LSB} = \log \frac{\int_{R_{l}}^{R_{r}} [p^{(0)}(x) + p^{(3)}(x)] dx}{\int_{R_{l}}^{R_{r}} [p^{(1)}(x) + p^{(2)}(x)] dx}$$
(12)

If a series of reference voltages is provided, then the above LLR information can be precalculated. If a soft decoding is performed, the calculated LLRs of channel are inputted to the LDPC decoder as initial soft-decision information. The decoding performance is affected by the choice of reference voltages. Therefore, it is critical to design a method to find (sub-) optimal reference voltages for soft decoding.

#### 3. New Nonuniform Memory Sensing

#### 3.1 Gaussian Approximation of Threshold-Voltage Distribution

To compute the LLR informations in (11) and (12), it is necessary to know the exact threshold voltage distributions of cells in four storage states that are specified by  $p^{(k)}(x)$ . To this end, the Monte-Carlo simulation is conducted and shows that there are three overlapping regions for MLC NAND flash memory, and that the shapes of threshold-voltage PDFs for four storage states are Gaussian-like in the presence of CCI and RN, as shown in Figure 2(b).



Figure 2. Threshold-voltage distribution of MLC NAND flash memory before disturbed (a) and after disturbed by CCI and retention noises (b)

Assuming that  $u_I^{(k)}$  and  $\sigma_I^{(k)}$  are respectively the mean and the standard deviation of the targeted distribution for the storage state k without CCI and RN. In presence of CCI and RN, the threshold voltage of the storage state k after disturbed is approximated by the Normal distribution with mean  $u_f^{(k)}$  and standard deviation  $\sigma_f^{(k)}$ . The parameters of mean and standard deviation are defined as

$$u_f^{(k)} = u_I^{(k)} + \delta_c - u_{rk} \tag{13}$$

$$\sigma_f^{(k)} = [(\sigma_I^{(k)})^2 + (\sigma_c)^2 + (\sigma_{rk})^2]^{1/2}$$
(14)

where  $\delta_c$  is the mean of CCI distribution and calculated by

$$\delta_c = \int x \cdot p_c(x) dx \tag{15}$$

and  $\sigma_c$  is the standard deviation of CCI distribution, given as

$$\sigma_c = \left(\int x^2 \cdot p_c(x) \, dx - \delta_c^2\right)^{1/2} \tag{16}$$

It should be noted that the values of  $u_f^{(k)}$  and  $\sigma_f^{(k)}$  vary with the coupling strength factor *s*. Nevertheless, we can precalculate the means and the variances of the threshold voltage distributions for four states under a wide range of coupling strength factors *s* and store them in a look-up table.



Figure 3. PDFs of the actual threshold-voltage simulated by Monte-Carlo and the derived Gaussian distribution, where the strength factor s = 1.0 is 1.0 (a) and s = 1.5 (b), PE = 10k and retention time equal 10<sup>4</sup>.

To verify the validity of the above Gaussian approximation, we fit the distribution of storage states obtained by Monte-Carlo simulation with the derived Gaussian distribution. We find that the coincidence is high for a wide range of coupling strength factors. Figure 3 shows the similarity of the PDFs of the two kind distributions for two different coupling strength factor, i.e., s = 1.0 and 1.5.

## 3.2 RPL-Nonuniform Memory Sensing Strategy

As mentioned in subsection 2.3, it is a key topic to find (sub-) optimal sensing voltages for soft decoding, because the error-correcting performance of soft decoding for LDPC codes is affected by the value of the LLR information as defined in formulas (11) and (12) that depends on the choice of sensing voltages  $(R_l, R_r]$ . In the literature of Dong et al. (2013), a nonuniform sensing strategy was designed to sense the dominating overlap area with a higher precision while the rest region with a lower precision. The dominating overlap region was determined by the borders that were selected by adjusting a probability ratio R of the adjacent two storage states based on entropy. The basic idea of the nonuniform sensing strategy is shown in Figure 4.



Figure 4. Illustration of the nonuniform sensing strategy, lost precision and borders of a dominating overlap region

The key of nonuniform sensing scheme is how to determine the boundary of the dominant overlapping regions of adjacent states. If each size of the dominant overlapping region is large, more sensing levels are introduced for better decoding performance, but heavier implementation and latency overhead is required. If the size of the dominant overlapping region is reduced, the number of sensing levels is reduced, but the performance of soft decoding may degrades. From computations of the LLR information defined by formulas (11) and (12), we find

that each LLR is mainly dependent on two largest probability items in the overlapping region. In this work, by this observation, we introduce a concept of LLR-based relative-precision-loss (RPL) ratio  $\theta$ . Then the boundary  $B_l^{(k)}$  and  $B_r^{(k)}$  are obtained by adjusting the value of the LLR-based RPL ratio  $\theta$ . The LLR-based RPL ratio is defined as follows

$$\log \frac{\int_{-\infty}^{B_l^{(k)}} p^{(k-1)}(x) dx}{\int_{-\infty}^{B_l^{(k)}} p^{(k)}(x) dx} = \theta_l^{(k)}$$
(17)

$$\log \frac{\int_{B_r^{(k)}}^{+\infty} p^{(k)}(x) dx}{\int_{B_r^{(k)}}^{+\infty} p^{(k-1)}(x) dx} = \theta_r^{(k)}$$
(18)

where  $\theta_l^{(k)}$  and  $\theta_r^{(k)}$  presents the Relative-Precision-Loss of LLR in the k-th(k=1,2,3) overlapping region.

From the definition given by (17) and (18), we can see that the values of  $\theta_l^{(k)}$  and  $\theta_r^{(k)}$  change with  $B_l^{(k)}$  and  $B_r^{(k)}$ . Such changes are depicted in Figure 5 for a wide range of different coupling strength factors. From Figure 5, we can find that  $\theta_l^{(k)}$  and  $\theta_r^{(k)}$  in the 2-th and 3-th overlapping regions almost have the same trends for different coupling strength factors. After a lot of data processing and experimentations, we choose the LLR-based RPL ratios in three dominating overlap regions as

$$\theta_l^{(k)} = \begin{cases} 4.40, & k = 1\\ 2.55, & k = 2,3 \end{cases}$$
(19)

$$\theta_r^{(k)} = \begin{cases} 2.45, & k = 1\\ 2.85, & k = 2, 3 \end{cases}$$
(20)

And the corresponding boundaries of the dominant overlapping regions of every two adjacent storage states can be obtained at the same time. In each dominant overlapping region, a more fine-grained sensing with more voltage levels is adopted; but in the remainder region, a less precision sensing with less voltage levels is utilized. In this work, we apply the equal division to separate the sensing range between the hard-decision reference voltage and the neighbor boundary of the adjacent storage states. And each remainder region has no further division.





Figure 5. The values of  $\theta_l^{(k)}$  and  $\theta_r^{(k)}$  with different borders of  $B_l^{(k)}$  and  $B_r^{(k)}$  for different coupling strength factors *s*, where *k*=1, 2, 3

#### 3.3 Simulation Results

In this subsection, we employ a rate-0.85(750, 5000) LDPC code in MLC NAND flash memory to evaluate the error correcting performance of soft decoding when the new nonuniform sensing strategy based on the LLR-based RPL ratios proposed in previous subsection is applied. For comparison, we also evaluate the soft decoding performances for the uniform sensing strategy presented by Alrod *et. al* in (2013) and the nonuniform sensing strategy based on entropy presented by Dong *et.al* in (2013) where the probability ratio *R* is set as 512 to determine the boundaries. In simulations, the parameters value of MLC NAND flash memory system are set as follows:  $u_e = 1.4$ ,  $\sigma_e = 0.35$ ,  $\Delta V_{pp} = 0.2$ ,  $K_k = 0.333$ ,  $A_t = 3.5 \times 10^{-5}$ ,  $B_t = 2.35 \times 10^{-4}$ ,  $a_i = 0.62$ ,  $a_o = 0.3$ ,

 $V_p = 2.6, 3.2, \text{ and } 3.8$ . The CCI coupling strengthen factor *s* varies from 1.5 to 2.0 with the step size as 0.1. In

simulations, we plot the BER performances of the proposed RPL-nonuniform sensing strategy, Dong's nonuniform sensing strategy and the traditional uniform sensing strategy under different sensing precisions.

Figure 6 shows the BER versus the CCI. It can be observed that the proposed RPL-nonuniform sensing strategy

outperforms the traditional uniform sensing strategy, and that the BER performance of the proposed RPL-nonuniform sensing strategy enhances as the number of sensing levels increases. It can be seen that the proposed RPL-nonuniform sensing strategy outperforms Dong's nonuniform sensing strategy. For a given number (e.g., 15 and 31) of sensing levels, the performance advantage usually increases as the CCI coupling strength factor *s* becomes larger. This performance advantage may decrease as the number of sensing levels increases because of the more precise sensing for more levels. It can be seen also that, the performance of the proposed 15-level RPL-nonuniform sensing is close to that of 31-level uniform sensing, which shows that our proposed strategy reduces the sensing precision latency by about 45%.



Figure 6. BER performance of LDPC code with cell-to-cell coupling strength factor *s* for different sensing level strategies, where the P/E cycles equal 10000 and retention time equal 10000



Figure 7. BER performance of LDPC code curves versus retention time for different sensing level strategies, where the coupling strengthen factor s = 2.0 and PE cycles equal 10000

Figure 7 shows the BER versus the retention time. It can be observed that, compared with the Dong's nonuniform sensing strategy and the traditional uniform sensing method, the proposed RPL-nonuniform sensing scheme can compensate the impact of retention time on the stability of flash memory cells to a greater extent.

## 4. Conclusion

In this paper, through Monte Carlo simulation, we discover that the threshold voltage disturbed by various noises (including CCI and RN) approximately to be Gaussian distributed, and then derive an easily-computable mathematical formulation to characterize the distribution of storage states. We further analyze the calculation of LLRs to introduce the parameter of relative-precision-loss ratio, and then propose a new nonuniform memory sensing strategy. With this sensing strategy, a series of reference voltages is obtained, and the soft-decoding LLRs for LDPC codes are evaluated. The proposed RPL-nonuniform sensing scheme still maintains the good anti-noises performance in MLC NAND flash memory while reducing the memory sensing level, which is also verified by a comprehensive simulation and comparison.

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