

# Sort Integers into a Linked List

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## Abstract

We show that  $n$  integers in  $\{0, 1, \dots, m-1\}$  can be sorted into a linked list in constant time using  $n \log m$  processors on the Priority CRCW PRAM model, and they can be sorted into a linked list in  $O(\log \log m / \log t)$  time using  $nt$  processors on the Priority CRCW PRAM model.

**Keywords:** sorting, linked list, integer sorting, parallel algorithms

## 1. Introduction

It is well known that  $\Omega(\log n / \log \log n)$  is a time lower bound for sorting integers (P. Beame & J. Hastad, 1989). However, if we sort integers into a linked list this lower bound needs not hold. Sorting integers into a linked list is to let smaller integers precede larger integers in the linked list. It is known that  $n$  integers in  $\{0, 1, \dots, m-1\}$  can be sorted into a linked list in  $O(\log \log m)$  time using  $n$  processors on the CRCW PRAM (P.C.P. Bhatt, K. Diks, T. Hagerup, T. Radzik, S. Saxena, 1991). As in approximate sorting (T. Goldberg & U. Zwick, 1995) (T. Hagerup & R. Raman, 1993) we may allow padding when sort integers into a linked list. It is known that  $n$  0-1's can be sorted into a linked list by chaining 0's into a linked list and 1's into another linked list. This can be done in  $\alpha(n)$  time using  $n/\alpha(n)$  processors (P. Ragde, 1993), where  $\alpha(n)$  is the inverse Ackermann function. Sort padded 0-1 into a linked list takes constant time with  $n$  processors. This can be done by making a dummy 0 for each 1 and a dummy 1 for each 0 and then chaining 0's and dummy 0's into a linked list and 1's and dummy 1's into another linked list. Sort integers into a linked list has resulted faster and efficient parallel algorithms for sorting integers in an array (Y. Han & X. Shen, 2002).

In this paper we show that  $n$  integers in  $\{0, 1, \dots, m-1\}$  can be sorted into a linked list in constant time using  $n \log m$  processors on the Priority CRCW PRAM model, and they can be sorted into a linked list in  $O(\log \log m / \log t)$  time using  $nt$  processors on the Priority CRCW PRAM model.

The computation model used in this paper is the CRCW (Concurrent Read Concurrent Write) PRAM (Parallel Random-Access Machine) Model (R. M. Karp & V. Ramachandran, 1991). On the CRCW PRAM memory is shared among processors and multiple processors can read the same memory cell in one step and can write to the same memory cell in one step. When concurrent write happens, we use the Priority CRCW PRAM (T. Hagerup, 1987) in which when multiple processors write the same cell in one step the highest indexed processor wins the write.

We use a trie of height  $\log m$  to sort integers into a linked list. We use  $A[i][j]$  to represent the  $j$ -th node of the trie at level  $i$ . When  $i=0$ ,  $A[0][j]$  is a node at a leaf of the trie. When  $i>0$  then  $A[i-1][2j]$  is the left child of  $A[i][j]$  and  $A[i-1][2j+1]$  is the right child of  $A[i][j]$ . 0 is labeled on the edge from a parent to its left child and 1 is labeled on the edge from a parent to its right child. The label reads from the root of the trie to leaf  $A[0][j]$  is the binary representation of  $j$ . Without loss of generality we assume that  $\log m$  is a power of 2 as when this is not true, we use the smallest power of 2 greater than  $\log m$  in place of  $\log m$ . A trie of 16 leaves is shown in Fig. 1.

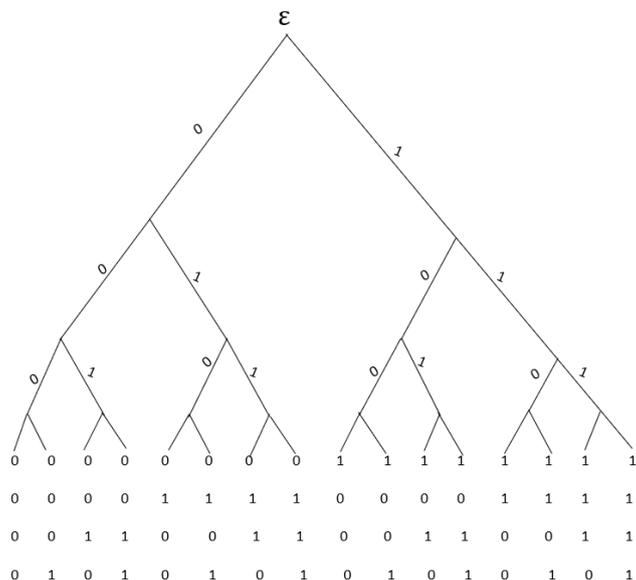


Figure 1. Example of a Trie

When  $nt$  processors are used the trie with height  $\log m$  is divided into  $t$  sections and each number  $a$  at the leaf of the trie will use 1 of the  $t$  processors (concurrently with processors for other leaves of the trie) to write into the  $A[\lfloor i \log m / t \rfloor][a \text{ div } 2^{\lfloor i \log m / t \rfloor}]$ ,  $i=1, \dots, t$ , where  $\text{div}$  is the integer division.

**2. The Algorithm with  $n \log m$  Processors**

Let  $I$  be the input array of  $n$  integers in  $\{0, 1, \dots, m-1\}$ .  $I[i]$  is first placed in  $A[0][I[i]]$  at the leaf of the trie. We assume that all input integers are distinct for otherwise we will replace  $I[i]$  with  $I[i]*n+i$  as the input integer. The input integers and processors assigned to them are shown in Fig. 2.

Integer	0	5	3	9	4	8
Processor	0	1	2	3	4	5

Figure 2. Input array with processors assigned

We will build a tree for the input integers based on the trie. An interior node of the tree is a node in trie such that the node has a left child and a right child. Node having a single child in the tree is removed. Such a tree is shown in Fig 3. The reason such a tree is built is because the tree can facilitate searching and finding the predecessor and successor of an integer (Y. Han & H. Koganti, 2018).

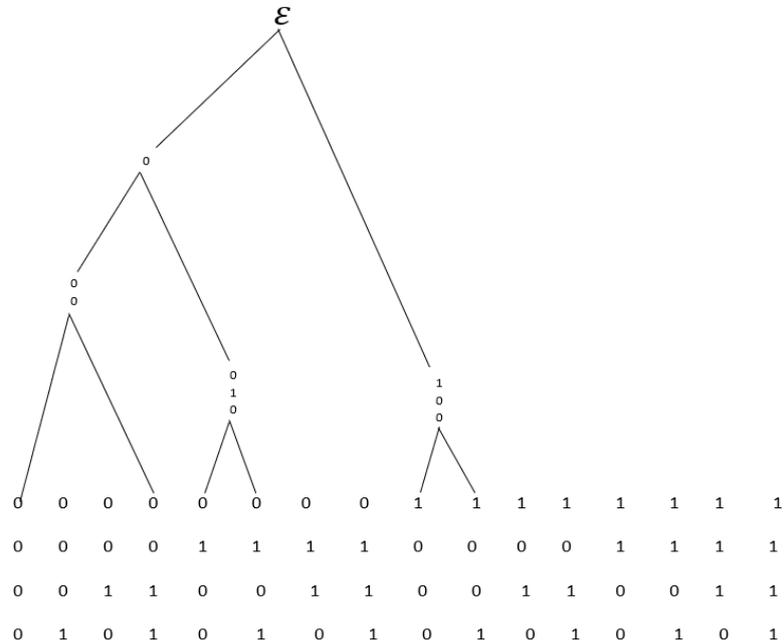


Figure 3. The nodes with one child are removed.

This tree is constructed in constant time with  $n \log m$  processors on the Priority CRCW PRAM and in  $O(\log \log n / \log t)$  time with  $nt$  processors on the Priority CRCW PRAM.

When we use  $n \log m$  processors we allocate  $\log m$  processors for each input integer.  $I[i]$  will use the  $j$ -th processor,  $1 \leq j \leq \log m$ , at  $A[j][\lfloor I[i] \div 2^j \rfloor]$ , where  $a \div b = \lfloor a/b \rfloor$ . Processors at  $A[i][j]$  will first use concurrent write to write its processor id (index) into  $A[i][j]$ . Then the processor(s) at  $A[i][j]$  will check if  $A[i-1][2j]$  and  $A[i-1][2j+1]$  are written. This determines whether  $A[i][j]$  has one child or has two children. We will label  $A[i][j]$  with 1 if it has two children and label  $A[i][j]$  with 0 if it has one child. The leaves are always labeled with 1. This situation is depicted in Fig. 4.

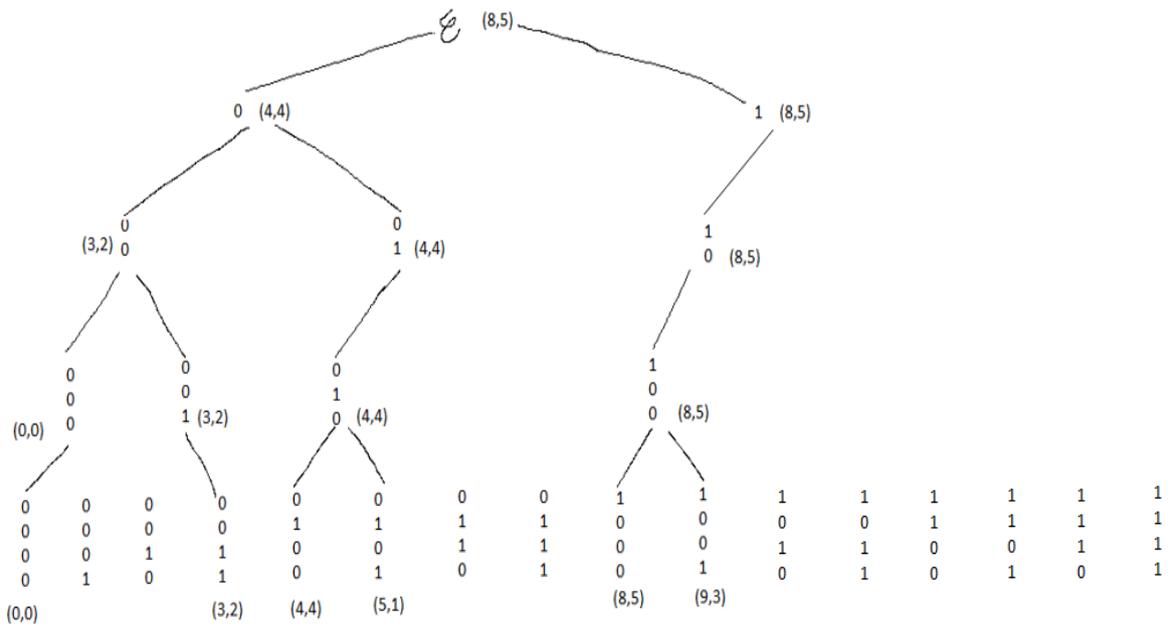


Figure 4. All the nodes are shown

Now for the root of A and each node in A that is labeled with 1 we need to find its nearest descendants that are labeled with 1. Say  $A[i][j]$  is labeled with 1 and processor p wins the concurrent write at  $A[i][j]$ . Let  $A[i'][j']$  and  $A[i''][j'']$  are the two nearest descendants of  $A[i][j]$  that are labeled with 1's. If an integer a is a leaf of  $A[i'][j']$  ( $A[i''][j'']$ ) then because we use Priority CRCW PRAM the processors associated with a win the write at  $A[i'][j']$  ( $A[i''][j'']$ ) and all the ancestors of  $A[i'][j']$  ( $A[i''][j'']$ ) up to  $A[i][j]$ . And another integer b at the leaf of  $A[i''][j'']$  ( $A[i'][j']$ ) and the processors associated with b win the write at  $A[i''][j'']$  ( $A[i'][j']$ ) and all ancestors of  $A[i''][j'']$  ( $A[i'][j']$ ) up to  $A[i][j]$ . If the processor associated with a (b) wins the concurrent write at  $A[i][j]$  then we will use the logm processors associated with b (a) to link  $A[i'][j']$  and  $A[i''][j'']$  to  $A[i][j]$ .

To link  $A[i'][j']$  to  $A[i][j]$ , these logm processors will form an array of size  $B[0..logm]$ .  $B[c]$ ,  $0 \leq c \leq logm$ , will be set to -1.  $B[c]$ ,  $0 \leq c < l$ , will be set to c if  $A[c][j''']$  is labeled with 1, where  $A[c][j''']$  is an ancestor of  $A[i'][j']$  in the trie, it will be set to -1 if  $A[c][j''']$  is labeled with 0. Then we use logm processors to find the maximum in array B. This takes constant time with logm processors (F.E. Fich, P. L. Ragde & A. Wigderson, 1988). The way to link  $A[i''][j'']$  to  $A[i][j]$  is similar. Thus, in constant time we build the tree for the input integers. The tree built is shown in Fig. 3.

To chain the integers into a linked list, we need to let each leaf a in the tree find the lowest ancestor in the tree that has a left (right) child which is not an ancestor of a. For leaf a to find the lowest ancestor in the tree that has a left child which is not an ancestor of a, we will use the logm processors for a, if a's ancestor at level l in trie is not a node in the tree (i.e. it has one child) then processor l will write logm+1 into array B[l]. Processor l will write logm+1 into B[l] also if the ancestor a' of a at level l of the trie has its left child which is an ancestor of a. Otherwise the ancestor a' of a at level l of the trie has its left child which is not an ancestor of a and processor l will write l into B[l]. Then we need to find minimum in array B which takes constant time with logm processors (F.E. Fich, P. L. Ragde, and A. Wigderson, 1988). This situation is shown in Fig. 3.

If leaf a locates b as the lowest ancestor in the tree that has a right child which is not an ancestor of a and a' locates b as the lowest ancestor in the tree that has a left child which is not an ancestor of a' then we link a to a'. This builds the linked list for the input integers in constant time.

**Theorem 1:** n integers in  $\{0, 1, \dots, m-1\}$  can be sorted into a linked list in constant time with  $n \log m$  processors on the Priority CRCW PRAM.

As we noted (Y. Han & H. Koganti, 2018) that the tree built here can be augmented to facilitate predecessor and successor queries and insertion in  $O(\log \log m)$  time.

### 3. The Algorithm with nt Processors

When we have  $nt < n \log m$  processors, we will assign t processors to each input integer. Integer a will be dropped at  $A[0][a]$  and the i-th processor for a will write at  $A[i \log m / t][a \div 2^{\lfloor i \log m / t \rfloor}]$ . Then processors for a will find the highest level in the trie that they win the write. Let this level be level l. Then all the t processors allocated to a will move to  $A[\lfloor i \log m / t \rfloor][a \div 2^{\lfloor i \log m / t \rfloor}]$ . This cuts the trie into t sections as shown in Fig. 6. Now the linked list in each subtrie is built recursively.

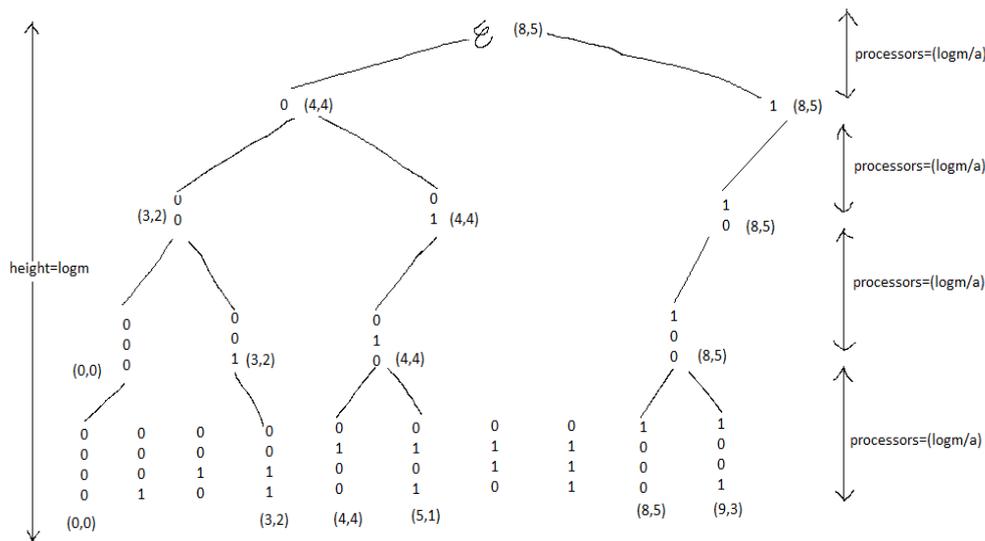


Figure 6. Trie divided into sections





The processors of the integers in the linked list will compare the new integer with its respective number and place the new integer in its correct position, in that way the linked list is sorted and contain all the input integers. Using  $n \log m$  processors we can form a sorted linked list in constant time with priority CRCW approach. As another approach the trie is divided into a section's, each section has  $(\log m/t)$  levels and each section is given with  $t$  processors for each integer as shown in Fig. 3. Using priority concurrent read concurrent write approach with  $(\lg \lg m / \lg t)$  time the integers can be linked to a linked list in constant time.

#### 4. Conclusion

The chaining algorithm presented in this paper has some desirable characters. An important feature demonstrated by us is that for linking them into a linked list we need not to sort the input integers into a sorted array which need at least  $\Omega(\log n / \log \log n)$  time. In fact when  $n \log m$  processors are available  $n$  input integers in  $\{0, 1, \dots, m-1\}$  can be sorted into a linked list in constant time with Priority CRCW approach. When  $nt$  processors are available  $n$  input integers in  $\{0, 1, \dots, m-1\}$  can be sorted into a linked list in  $O(\log m / \log t)$  time. On the positive side the algorithm is simple and easy to program, it has no hidden factors and is fast in practical terms.

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