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## Birth-death Dynamic Evolution of Multipath Signals Effect on WCDMA Receivers

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### Abstract

This paper shows how to generate a birth-death dynamic channel in a laboratory, explain different parameters that are behind or generated by the birth-death behaviour, investigates the effect of the birth-death model on WCDMA receivers, shows how important to pay attention to the channel dynamics by comparing it with static channel behaviour, and shows the effect of different parameters related to the birth-death channel on WCDMA receivers.

**Keywords:** Birth-death, WCDMA, Synchronization errors, Hopping delay

### Introduction

The investigations of channel dynamics, which are generated due to Mobile Station (MS) movement, on future generation receivers are crucial, as it influence both the spatial and temporal channel characteristics (Durrani 2006).

Since many years, extensive studies have been carried out in order to gain more profound knowledge about the propagation channel characteristics (Bultitude 1989, Zhao 2002). Some of them concentrated on the fading phenomenon where they classified it to small scale and large scale (Andrea 2005). The channel dynamics used to be referred to the fast change behaviour of the complex faded envelop within a period of time (Kim 1998). However; for some authors (Zwicky 2000, Nielson 2001, Chiao 2003) channel dynamics are not referred to the fast behaviour of the faded envelope, they are referred to the dynamic change of the delay of each received reflected and scattered paths. The channel dynamics due to variable time delay path behaviour appears to be firstly studied by (Zwicky 2000) through a ray tracing model and Nielsen (Nielsen 2001) through a temporal domain model.

Chiao (Chiao 2003) modelled this temporal variation of path delay by a 4 state markovian process, which describes birth death behaviour of paths noticed through an indoor measurement campaign held at University of Bristol (Tan 2002), where the proposed model fitted the measured data. However; it has to be mentioned that modelling the propagation channel dynamics through a birth-death process is referred to 3GPP organization, where one of its proposed channel models in 3GPP TS 25.104 (3GPP TS 25.104) is a birth death model. The contribution that Chiao made was by considering the case where there could be a birth state or a death state amongst the 4 suggested states. The 3GPP model only consider the birth and death state, which is the probability of having a death for a path equals the probability of having a birth for it, therefore there is no event of a death event only or a birth event only in the model, which could be one of the limits for the 3GPP birth-death model.

The 3GPP birth-death channel model is used by (Frigon 2005) in order to investigate its effect on a developed technique that mitigated the dynamic effect of paths named by WCDMA multipath searcher. The employed searcher enhanced the performance when it is compared to the typical receiver case without the addition of this multipath searcher.

Chen in (Chen 2006), developed an architecture for a multipath searcher technique and tested its performance against 3GPP birth-death channel, the technique showed an added improvement to the practical receiver structure.

Similarly (Kyung 2002) used the 3GPP birth-death model in order to perform conformance 3G mobile testing. The study showed that the receiver did not exceed the Block Error Limit specified in 3GPP 25.104 specifications under fading conditions.

It appears that birth-death channel dynamics are considered as a crucial matter for developer in order to test their developed

receivers as shown in (Chen, Kyung); however they used the birth-death model as a study case only, without showing the impact of different parameters that are related to the birth-death dynamics on the receivers. Even the developed 4 state model by Chiao, is been only presented to model the behaviour of an indoor measurement campaign but its effect on the quality of received signals appears to not been studied.

This paper shows how to generate a birth-death dynamic channel in a laboratory, explain different parameters that are behind or generated by the birth-death behaviour, investigates the effect of the birth-death model on WCDMA receivers, shows how important to pay attention to the channel dynamics by comparing it with static channel behaviour, and shows the effect of different parameters related to the birth-death channel on WCDMA receivers.

The paper is organized as follows: in (1) it presents the Laboratory setup, in (2) it describes how to generate birth-death behaviour via PropSimC2, in (3) it shows testing scenarios, results and discussion and finally a conclusion is drawn in section (4).

## 1. Laboratory Setup and Measurements

The test is carried out through a connection between a wide band protocol emulator (CMU 200) [14], a Multipath Fading Channel Simulator (PropSimC2), a Radio Frequency (RF) Antenna Coupler and a Radio Frequency Shield which holds a Third Generation (3G) Mobile Station (MS) [15], this connection is shown in Figure (1a), where PropSimC2 is at the bottom, CMU 200 in the middle, Antenna coupler and RF Shield on the top.

A Base Band (BB) connection is made between CMU 200, through its IQ 15 bin channel which is shown in figure (1c), and the PropSimC2 Analogue Base band (ABB) Input/Output (I/O) as shown in figure (1d).

A RF connection is made between CMU 200 through its RF2 output port in the front panel, and the RF Shield Unit input port in the rear panel as shown in figure (1b). The Shield isolates the MS from external interference and noise, MS has to be placed in a reference zone provided by the manufacturer, in which a perfect RF match is met. This zone is highlighted by two concentric circles as shown in figure (1f).

In order to start the test, CMU 200, PropSimC2 and the MS are switched on, from CMU 200 start menu, Frequency Division Duplex (FDD) WCDMA modulation overview, which from it Frequency Band (I), Reference Measurement Channel (RMC) (Tanner 2006) of a 12.5 kbps data rate is selected. From the connection menu, fading test is selected, which sends a WCDMA compatible signal to the CMU 200 IQ channel to be faded by the PropSimC2, and takes back the faded signal to be redirected through RF2 output towards the MS which is held in the RF Shield, the MS decode the received signal and sends a report to the CMU 200 in the form of Bit Error Rate (BER).

To display the BER report, receiver quality report is selected from the start menu; which the number of WCDMA blocks to be tested is modified. The number of required transmitted bits or blocks, to represent reliably a given error statistic (BER), can be obtained from (Tanner 2006, Jeruchim 1999):

$$N_{bits} = \frac{\varepsilon}{\sigma_e^2 \times BER}$$

Where  $\varepsilon$  denotes the number of counted errors, BER denotes the error level at which we want to operate, e.g. 0.001. The term  $\sigma_e^2$  is the desired error variance of the result for which a value 0.1 has been suggested (Tanner 2006, Melis 2003).

From the receiver quality menu, Base station level  $I_{or}$  can be adjusted, according to our test; the sent signal to the mobile station has to be compatible with the 0dB power requirement to the transmitted signal.

The set of downlink physical channels (PhCH) for a connection setup with the MS are both synchronization channels P-SCH, S-CH, Primary Common Pilot Channel (P-CPICH), Primary Common Control Channel (P-CCPCH), Secondary Common Control Channel (S-CCPCH), Paging Indicator Channel (PICH), Acquisition Indicator Channel (AICH) and a DPCH. The relative power for each PhCH used in this test is tabulated in Table 1.

Orthogonal Channel Noise Simulator (OCNS) is set to compensate for the remaining power (Tanner 2006), which is not covered by the mentioned physical channels in Table 1.

After the signal is faded by PropSimC2, Additive White Gaussian Noise of -60 dB power ( $I_{or}$ ) is spread over 3.48 Mbps is added to the faded signal.

## 2. Birth-Death Dynamic Channel generation via PropSimC2

A birth-death dynamic channel can be generated using PropSimC2 edit panel, when the PropSimC2 is turned ON it will displays three menus to select from, in order to generate a new scenario the "Create New Simulation" menu is selected, this will display a new window which shows a number of editing icons in its bottom.

Choosing add a new propagation path will display a window with 4 different options, the first one is time delay function the time delay function is the responsible of generating a birth-death event for a path, the function to be selected in order

to do this is “Hopping”, from its name it will generate a hopping time delay, which hops from a position to another, this transition is altered with a period of time called Transition Time period and defined in the PropSimC2 as “Delay duration”, this transition time can be edited from the “delay properties” option, which from it, positions in time domain are defined, which from them a birth-death event will take place, can be edited too.

The third parameter is the path power, since the aim of this experiment to show the effect of channel dynamics which is caused by time delay variation, the path power will be set to 0dB which means no losses is introduced by the environment, therefore any error in the receive side will be caused by the dynamic behaviour of the paths. The third option is the “amplitude distribution”, as with the case of path power option this option is set to constant, which means no variation in the path will occur due to the environment.

The last option is the “Doppler Spectrum Shape”, this option works together with the amplitude distribution option, where a Rayleigh distribution for example will automatically set the Doppler spectrum to the U-shape (Elektrobit 2003), and since the amplitude is constant, which means no Doppler spectrum variation, and then the Doppler spectrum cannot be selected as an option for this experiment. This means that the mobile terminal velocity will not have a significant effect on any possible error at the receive side, hence, the isolation of amplitude, power and velocity effects, do really serve the purpose of this experiment in order to investigate the dynamic effect of path behaviour on the receive side of a WCDMA system.

The birth-death behaviour of a path, as stated above is generated by varying the time delay which is assigned to each path in a hopping fashion, in order to understand this process, the “Tap Delay Line” model for a propagation channel (Elektrobit 2003), will be a good example to simplify the concept of generating a birth or a death event for a path.

A tapped delay line model is shown in Figure 2, it shows one tap which is responsible for the delay, and two multipliers for fading effects, this model is just a simplification for the fading process, the model could be extended to include  $N$  number of multipliers and  $N-1$  delay taps if it has to model  $N$  number of paths.

The model generates a time delay effect by delaying it through a delay line, and then it directs it to a multiplier where the fading effect is applied to the input signal by multiplying it with a complex statistical value which encounters for the attenuation effects that is generated by the environment. The following equation is used to model the overall effect for delaying the input signal and attenuating it:

$$R(t) = \sum_{n=0}^1 a_n \times S(t) \times \delta(\tau - \tau_n)$$

Since the effect of attenuation is not to be taken into account in this study,  $a_n$  is set to have a value of 1. The parameter that is to be studied is  $\tau$  which from it the dynamic behaviour of the channel will be generated. In order to generate a birth-death abrupt event, the time delay of each path has to change abruptly too. PropSimC2 assigns a special variable function to  $\tau$  and varies it in a hopping fashion as described in figure 3.

The abrupt change in the time delay of paths due to birth-death behaviour is shown in figure 3, it can be seen that each 191 millisecond (or any transition time) a birth and a death event occurs. It has to be mentioned that the PropSimC2 do not allow the event of a complete death for both paths at any instance to happen, therefore the birth-death process runs in an alternative way. For example path one dies after 191 ms at 1000 ns time delay position and born abruptly at 3000 ns, path 2 will stay at its position which is for example 4000 ns without any dynamic change. After 382 ms which twice the transition time, the second path is allowed to choose any position in time delay domain and occupy it, but this happens in the condition that path 2 is stand still at its occupied position.

This alternative process guarantees that there will be always some time relativity between the paths, which will maintain the time spread property of the propagation channel (Gombachika 1997).

The hopping behaviour of the time delay of path is illustrated in figure 3, where it shows how the time delay hops from a position to another for each path after a given transition time depending on the path number too.

### 3. Test Scenarios, Results and Discussion:

#### 3.1 The effect of birth-death path dynamics behaviour compared to a static path.

The effect of birth-death path dynamic behaviour compared to a static one in a propagation channel, on a WCDMA receiver can be shown by the bit error rate analysis at the output of the receiver. The basic scenario is to set the PropSimC2 to generate a static channel by setting the path delay function to a fixed one at 0 seconds, this path is assumed to be perfectly received by the receiver where no delay is applied in the whole period of the laboratory experiment. The bit error rate is varied by changing the total output power (Ior) generated from the transmitter (CMU200), this change will produce a bit error rate curve which from it we can compare the effect of channel dynamics induced by birth death behaviour to the static behaviour, and it will show whether a power gain or loss incurred because of this dynamic change.

Figure (4), describes an example for a birth death possible scenario, where the experiment begins with setting two paths at any two positions between [0, 1, 2, 3, 4] ( $\mu$ s), when the simulation of this scenario starts, the first path changes its position after 191 (milliseconds), which is the birth-death transition time, the second path changes its position after 382 (milliseconds) which is twice the transition time of the first path, the two paths are free to take any position in a random fashion, however none of them is allowed to overlap the other, which means there will be always a relative delay between the two paths.

The randomly selected positions which again ranges from [0, 1, 2, 3, 4] ( $\mu$ s), represents different time delays that can be assigned to each born path at that particular time, the modification of these positions can easily be done through PropSimC2 editing screen, the five listed positions are considered as a standard choice for a birth death scenario.

Figure 5, shows the effect of changing the channel behaviour from a static scenario to a dynamic birth death scenario. It is clearly noticed that the receiver performance under dynamic change of the channel is worse than the static case, there is approximately 2.8 dB power loss between the static and dynamic channel.

This loss can be best explained by the power loss that happens due to synchronization errors between the locally generated PN code at the receiver and the incoming delayed wave, where a  $\frac{1}{4}T_c$  (WCDMA chip rate) misalignment could generate 1 dB power loss, assuming that no losses incurred by the receiver and transmitter pulse shaping filters, and a 3 dB loss if a misalignment of  $\frac{1}{2}T_c$  with the incoming wave (Chen 2006).

The bit error rate curve in figure 5, shows that the receiver is really able to work under low signal to noise ratio conditions at the static channel assumption, where at  $I_{or}/I_{oc} = -8.6$  dB the receiver is able to reach the minimum BER voice communication requirement which is  $1 \times 10^{-3}$ , however for the same power level, the receiver just reaches a  $1 \times 10^{-1}$  when the channel dynamics is considered.

### 3.2 The effect of birth-death transition time.

The birth or death transition time of a path is defined by the time needed for a birth or a death event to happen, this time is set to 191 (ms) in the 3GPP TS25.104 specifications. Authors in (Chen 2006) showed that the transition time of a propagation dynamic birth death channel can be exponentially distributed; this conclusion is based on an indoor measurement campaign. PropSimC2 allows the user to modify this parameter from the editing screen.

In order to see the effect of different transition times on the performance of WCDMA receivers, the transmitter power is to be changed through a range of values, which from it, a bit error rate curve will be generated, and from it a comparison with the static channel condition is carried out.

The PropSimC2 is modified through its edit screen to change the value of the transition time for a birth-death event, the minimum allowed value is 25.7 milliseconds and the maximum value for a transition time is 1000 seconds.

Figure 6, shows the effect of transition time on WCDMA receivers, when the transition time changes from 191 millisecond to 90 millisecond, a 0.2 dB power loss is noticed, and a 3dB loss compared to the static channel.

When the transition time changes to 30 millisecond, a 2.2 dB power loss is noticed, this means that's as long as the path stays at a specific position before it transit to another one, will give the receiver the opportunity to synchronize with the delayed wave without introducing significant power loss.

Therefore; when the transition time increases, it means that less opportunity for the locally generated PN code to lock perfectly with the delayed incoming wave, assuming that, the delay shifted the incoming wave from a perfect synchronization position, this misalignment will introduce some power losses.

From the above discussion it can be seen that, an increasing transition time has a positive effect on WCDMA receivers, however this positive effect has a limit, and where increasing the transition time after a certain limit will not affect the receiver performance.

This can be shown in figure 7, where it shows that the bit error rate decreased steeply from  $1 \times 10^{-3}$  to  $1 \times 10^{-5}$  when the transition time increased from 30 millisecond to 191 millisecond, however increasing the transition time more than 191 milliseconds do not have any significant change, this means that 3GPP TS25.104 standard uses the maximum limit for an effective transition time in order to be applied for a birth death propagation channel scenario.

### 3.3 The effect of birth-death time position.

The birth-death time position as defined above, is the position in time where a birth-death event take place, or in other meanings it is the position where a path dies or born at. Figure 8, describes a scenario which shows five different positions that each path can occupy, without overlapping the other.

The scenarios described in figure 8 is used to investigate the effect of time position on WCDMA receivers, the 5 positions scenario described in figure 2, is used as reference, which from it a comparison with a 7 and 9 positions scenario is performed.

Figure (8A), shows a 9 positions possible scenario, where each path is free to move to any position selected from [0, 1, 2, 3, 4, 5, 6, 7, 8] (is) time position set, the change of position is always after a specified transition time, a 191 milliseconds is



selected as a standard transition time for this experiment. Figure (8B), shows a 7 positions possible scenario, the two paths can occupy any position from [0, 1, 2, 3, 4, 5, 6] (is) time position set.

Figure 9, shows that effect of increasing the number of time positions on WCDMA receiver. A small amount of power loss of 0.2 dB is noticed when the number positions is increased to 7 positions and a 0.3 dB loss when the position are further increased to 9 positions. This indicates that the time relativity between the two paths which is defined in section above is the reason to not impose a noticeable amount of power loss (Rohde & Schwarz 2006).

#### 4. Conclusion

This paper showed how to generate a birth-death dynamic evolution of a multipath in a laboratory, it studied the effect of this dynamic evolution and compared it with static behaviour of paths, and the study showed that there is a 2.8 dB power loss when the transmitted signal is affected by dynamic path behaviour compared to the static one. It showed that increasing the transition time of each path has a positive effect in improving the performance of the receiver, however it has a limit at 191 ms where there is no added improvement after this limit, it showed that the position of evolution of each path in time delay does not have a great effect on the receiver as long there is a relativity in time delay between the generated paths.

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Table 1. Power allocation values for different physical channels

Ratio	Relative Power
$P\text{-CPICH\_}E_c / I_{or}$	-10 dB
$S\text{-CPICH\_}E_c / I_{or}$	-10 dB
$P\text{-CCPCH\_}E_c / I_{or}$	-10 dB
$P\text{-SC\_}E_c / I_{or}$	-15 dB
$S\text{-SCH\_}E_c / I_{or}$	-15 dB
$P\text{ICH\_}E_c / I_{or}$	-10 dB
$D\text{PCH\_}E_c / I_{or}$	-10 dB
OCNS	Remaining power



Figure 1 (a). displays PropSim2 in the bottom, CMU 200 in the middle and the RF shield on top

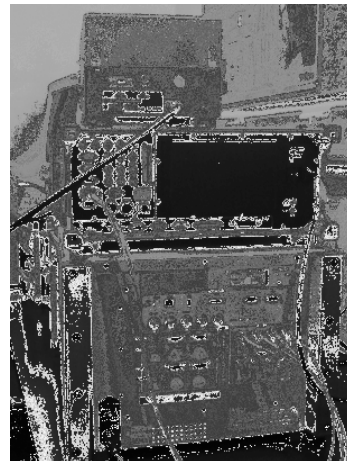


Figure 1 (b). Back panel of the test bed

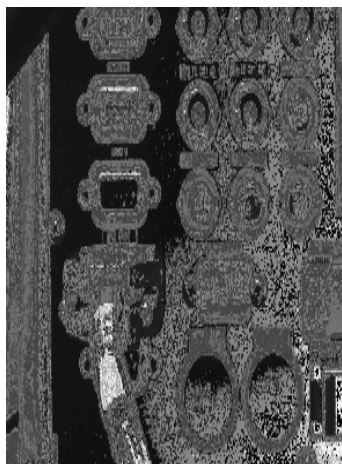


Figure 1 (c). back panel of CMU 200 showing the IQ channel connector

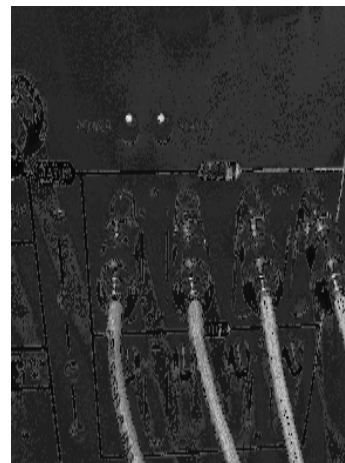


Figure 1 (d). PropSim2 ABB interface





Figure 1 (e). RF shield holding a MS



Figure 1 (f). Circles showing the perfect matching RF zone

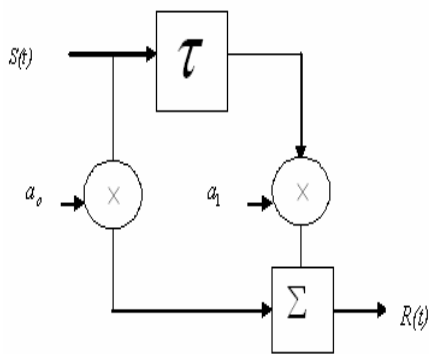


Figure 2. A Tap Delay Line model

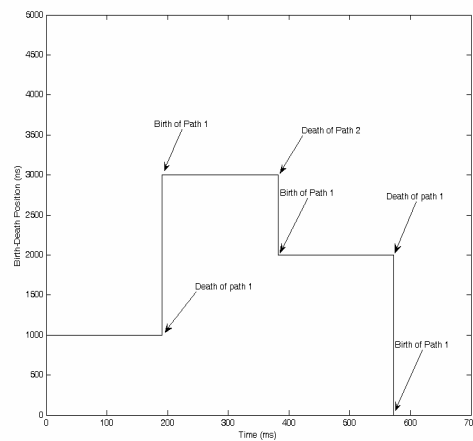


Figure 3. Time delay Vs. Time domain

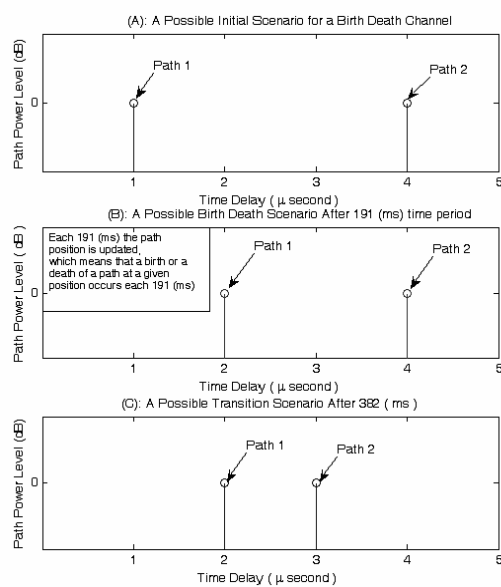


Figure 4. Path Power vs. Time Delay for three different scenarios that generates a birth death behaviour

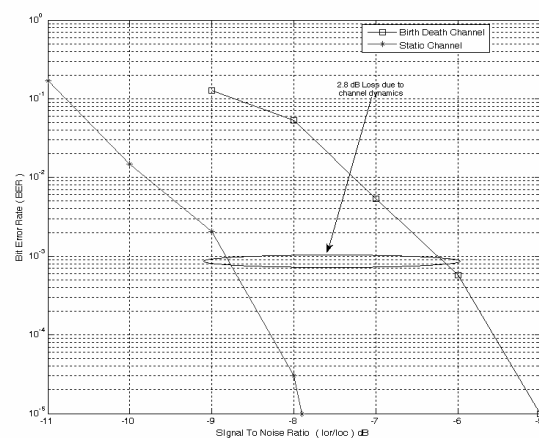


Figure 5. BER vs. Signal to Noise Ratio ( $I_{or}/I_{oc}$ ) for a static and a dynamic birth death channel

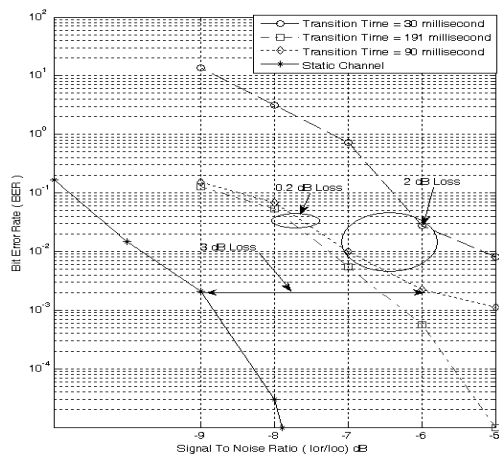


Figure 6. BER vs. Signal to Noise Ratio ( $I_{or}/I_{oc}$ ) considering the case of different transition times

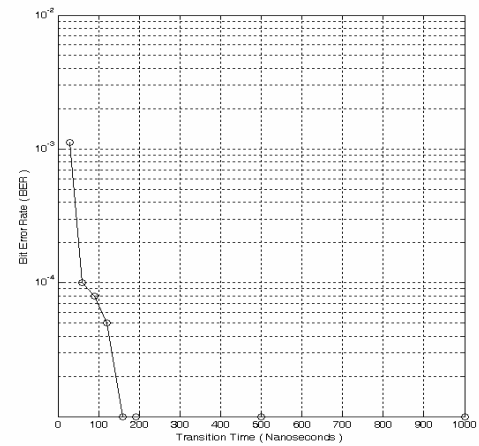


Figure 7. BER vs. Transition Time of a birth death channel

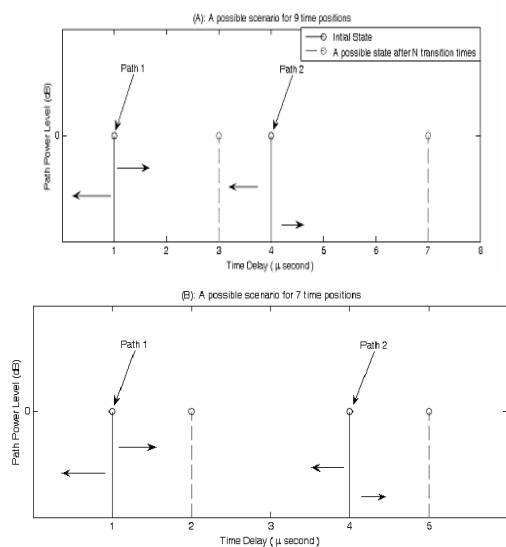


Figure 8. Path Power vs. Time Delay for 2 different scenarios that shows a change in their position in time delay

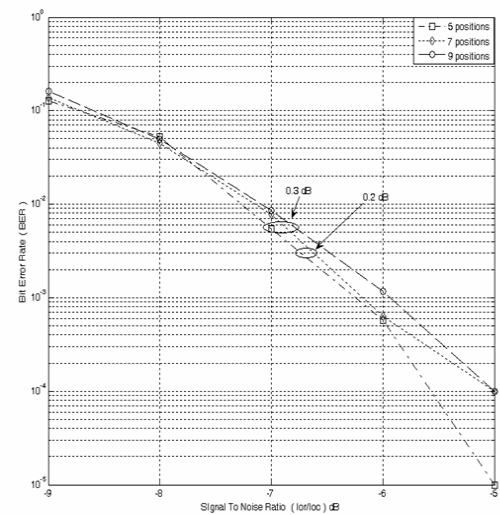


Figure 9. BER vs. Signal to Noise Ratio ( $I_{or}/I_{oc}$ )



## Research and Design of Nuclear

### Instrumentation Measurement Precision

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#### Abstract

There are many factors to influence measurement precision of nuclear instrumentation, which include radioactive statistical fluctuation, signal processing method, use environment and so on. Aiming at these factors, this article adopts some concrete methods to enhance measurement precision and makes the isotope level meter (instrumentation) achieve better effects through the designs of hardware and software.

**Keywords:** Isotope level meter, Measurement precision

With the development of science and technology, the application of nuclear technology in industrial production becomes more and more extensive. Because the nuclear instrumentation has the advantages of non-contact, veracity, delicacy and adopting various complex environments, so its application becomes universal in many industries such as chemical industry, smelting, power generation, cement, paper making and so on, especially in the industries of chemical industry and cement (Xie, 2006). But because of its self characters of isotope instrumentation, it is difficult to achieve higher measurement precision in the actual application, and the general precision is smaller than  $\pm 8\%$ , the better is smaller than  $\pm 5\%$ , so the measurement precision is difficult to fulfill the requirement under the condition of higher requirement (Gao, 2005). In the research process of intelligent continual level meter (GD-1), through the analysis of influencing factors of measurement precision we adopt some hardware measures and software methods, markedly enhance the measurement precision of isotope instrumentation and obtain satisfactory measurement results.

#### 1. Factors influencing measurement precision

##### 1.1 Statistical fluctuation of radioactive sources

The radiation of radioactive isotope in nature possesses statistical character, i.e. radials radiated in unit time are not complete same, but their averages in long period are equivalent. As to detector, when the liquid level is relative stable, the number of radial detected in every unit time must fluctuate in certain range and is not a changeless constant value. Further speaking, the liquid level calculated by instrumentation is not a constant value too, and it must fluctuate in certain range. In addition, when the liquid level has feeble changes such as 3%, are the changes of liquid level calculated by instrumentation still in the statistical fluctuation of radioactive source? The settlement of these problems directly influences the measurement precision of the entire instrumentation.

##### 1.2 Measurement method and signal processing method

When the radials radiated by radioactive source penetrate substance, part radials will be absorbed by substance, and a sort of logarithm relation not a sort of line relation exists between the radial intensity penetrated and the thickness (or highness), so it is very important for the veracity of measurement to utilize what kind of method to deal with this relation. In the analog circuit, the best method is to adopt two-stage, three-stage or multi-stage pump circuit to describe (or simulate) this relation by phase, and obtain an approximate line relation, so we can get the measurement precision. If we adopt digital circuit, a

problem of dealing with logarithm relation still exists, and there is not the digital circuit (or digital circuit combination) which can adopt this requirement. Therefore, it is feasible method to use SCM to realize this function through software programme. Furthermore, the selection of radial detector component also has important influences to measurement precision.

### 1.3 Interventions of environment

When the instrumentation is used in the industrial production environment, it must fall under the interventions from environment. The factors such as electromagnetic field, in-phase power supply crest, strength of radioactive natural background produced by high-power apparatus all influence the measurement precision of instrumentation.

## 2. Hardware design

### 2.1 Selection of core components

Considering factors of running speed, calculation ability and function extension, the master computer adopts SCM AT89C4051 with interior rapid programmable and erasure memorizer, and extends E2PROM, D/A converter, remote data transmitter and display drive by means of serial expansion technology (He, 2000). Therefore, the entire system can possess characters such as simple circuit structure, rapid running speed, powerful calculation ability and clear information flow, and is fitter to enhance measurement precision by means of software. Figure 1 is the hardware structure schematic diagram of the master computer.

### 2.2 Detector and radioactive source

Nal (Ti) crystal has higher detecting efficiency, and its energy resolution can achieve above 8.3%. It is the perfect radial detecting receiving device (Gao, 2005).

The radiation detector is composed of Nal (Ti) crystal, multiplier phototube, preamplifier circuit and shaping circuit. The preamplifier circuit is a simple emitter-follower, and the shaping circuit is the monostable circuit composed by 555. The final output is square signals which are sent to the master computer through cable line. Thus the silo signals can be effectively and completely sent to the master computer, and the remote signal attenuation can be reduced.

In addition, it is helpful for the enhancement of measurement precision to properly increase the intensity of the radioactive source.

### 2.3 Anti-jamming design of hardware

- (1) Adopting the module of switch power supply.
- (2) Insisting on the cabling principle of minimized power supply loop area.
- (3) Photoelectric isolation of in-out and isolation of digital circuit and analog circuit.
- (4) Exerting "Watchdog" circuit.
- (5) PC total line cabling must be as short as possible.
- (6) Adopting cable lines to shield.

## 3. Software design

The core of SCM instrumentation rests with the design of software. For intelligent isotope level meter, it is the core and key of instrument measurement precision design to select proper mathematical model, software design and better anti-intervention measures to effectively eliminate influences of statistical fluctuation. Again, the self-regulation of system running parameters and high precision of calculated results also has certain assuring functions to enhance measurement precision.

### 3.1 Establishment of mathematic model

When the radials radiated by radioactive source penetrate silo substance, a sort of logarithm relation exists between the radial count number and the silo height, i.e.  $P = P_0 e^{-\mu_m \rho d}$ .

Where,  $P$  represents the count rate after medium penetrated,  $P_0$  represents the count rate before medium penetrated, i.e. when  $d=0$ ,  $\rho$  represents the density of medium,  $\mu_m$  represents the absorption coefficient of quality, and  $d$  represents the depth that the radial penetrates the medium.

Supposed that the liquid level is the relative zero position  $d_0$  when the count is  $N_0$ , and the liquid level is the full position  $d_1$  when the count is  $N_1$ , so when the count is  $N$ , the proportional height  $h$  of liquid level relative to zero position and full position is  $h = d \div d_1 \times 100\% = \ln(N_0 \div N) \div \ln(N_0 \div N_1) \times 100\%$ .

To ensure the measurement precision and the error reduction, according the character of logarithm calculation, the above formula can be transformed to  $h = \log_2(N_0 \div N) \div \log_2(N_0 \div N_1) \times 100\%$ .

### 3.2 "Elimination" of statistical fluctuation influence

Though the statistical fluctuation can not be eliminated, but it has certain rules, so under the permissive conditions of measurement precision, the influences of statistical fluctuation to measurement precision can be weakened through measures such as comparison elimination and continual glide average (Wang, 2003, p.43-44).

### 3.2.1 Comparison elimination

Supposed that the radial count received by detector in unit time is  $N_0$ , when the liquid level is at certain height and relative stable, according to statistical distribution rule we can get the possibility that the count  $N_1$  in the next unit time fall into zone  $[N_0-\sigma, N_0+\sigma]$  is 68%, and in order to effectively eliminate the measurement error aroused because of statistical fluctuation and timely detect the change of liquid level, two flag positions including flag 1 and flag 2 of liquid level change trend are set up in the program. When  $N_1$  is smaller than  $N_0-\sigma$ , clear flag 2, and if flag 1 is set, so that means the liquid level has changes (reducing), or else, set flag 1 and abandon  $N_1$  and the liquid level reveals that the height has no change, and then review the count  $N_2$  in next unit time. If  $N_2$  is bigger than  $N_0-\sigma$ , so the statistical fluctuations of  $N_1$  and  $N_2$  may not be considered. In the same way, when  $N_1$  is bigger than  $N_0+\sigma$ , the judging method is same to the above method.

### 3.2.2 Continual glide average

To the counts selected after comparison elimination, we can take the average of recent consecutive 5 counts which include the pulse counts  $N_1, N_2, N_3$  and  $N_4$  in the former 4 time units and the pulse count  $N$  in the present time unit as the reference to calculate liquid level in the present unit time, abandon  $N_4$  and hold  $N$ , then form new  $N_1, N_2, N_3$  and  $N_4$  for the use of next time. In this way, the influences of same change trend of consecutive two pulse counts aroused by statistical fluctuation to the measurement precision of liquid level can be weakened to a large extent.

Through the comparison of experimental measurement, the two above measures can reduce 4% of measurement error.

### 3.3 Design of software trap

To prevent the interventions coming from the factors of exterior strong electromagnetic field (wave) and power supply mutation to SCM, we design software traps in the program except for adopting certain methods in the hardware design. When every subprogram is transferred or returned to the main program, the estimation sentence is joined to avoid improper transfer. And the address sentence (0000H) of jumping program is set in the blank position of the program memory to prevent program run away. In the data processing process, the data analysis sentence is joined to eliminate the bigger or smaller data aroused by environment and ensure the availability and rationality of the data (He, 1998).

### 3.4 Data operation precision

To ensure the veracity and availability of the data, in the program we adopt the format of floating point numbers with multibyte to implement the arithmetic and the logarithm calculation processing to the data, and the significant decimal fraction is three digits. In the program we design the subprogram which can expand 10 and 1/10 times to the data, transform decimal fractions to multibyte integers for calculation, reserve three digit decimal fraction of the calculation result according to round principle, and finally display one digit decimal fraction, consequently the data precision can be guaranteed effectively.

## 4. Conclusions

The application of above methods can enhance and ensure the measurement precision of instrument to some extent, and the testing and locale applicable results of Henan Provincial Electronic Products Quality Supervision & Inspection Department have shown that the measurement precision of GD-1  $\gamma$  Level Meter is  $\leq \pm 2\%$  which is far smaller than the isotope level meters of other types (Wang, 2002, p.561-565). However, as to the enhancement of isotope instrument measurement precision, there are still many methods and measures which can be used (such as the processing technology of yawp), and we welcome your discussions and researches with us together.

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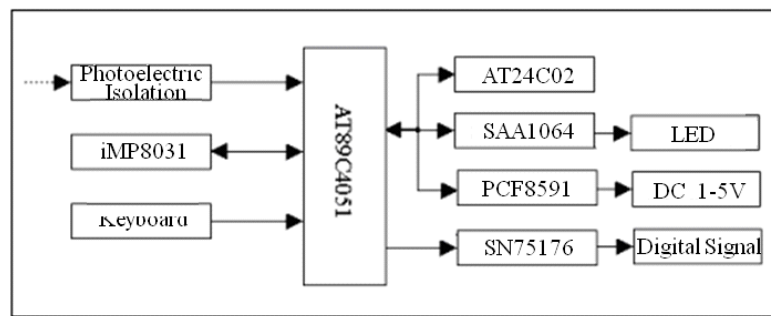


Figure 1. Hardware Structure Schematic Diagram of Master Computer





## Calculation of Shrinkage Stress of Semi-rigid Base Courses

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### Abstract

The calculation model of the shrinkage stress of semi-rigid base course was established. By analyzing the stressing status of the tiny element in the model, the correlative formulae were founded, and the expression of the shrinkage stress was deduced. The maximum value of the shrinkage stress of semi-rigid base course was calculated and the position of the maximum value was presented. Besides, the influencing factors of the maximum value of the shrinkage stress were analyzed.

**Keywords:** Semi-rigid base course, Shrinkage stress, Stress analysis

### 1. Introduction

With the advantages of higher strength, larger rigidity, more excellent integrity and water stability, the semi-rigid base course has become the major structure type of base courses in high-grade highways. However, the major maintenance problem of cracking is becoming more and more severer with more and more applications of this base course and this problem has affected the performance of roads badly. A large amount of study shows that the cracking of semi-rigid base courses is induced by thermal shrinkage and dry shrinkage of semi-rigid materials (Zhang, 1991, pp.16-21). Thermal shrinkage and dry shrinkage of semi-rigid base courses will cause shrinkage stress, and once the shrinkage stress exceeds the tensile strength of the semi-rigid materials, cracks will come into being (Zheng and Yang, 2003). To effectively prevent cracks of semi-rigid base courses, it is necessary to calculate the shrinkage stress of semi-rigid base course.

### 2. Basic assumptions in the calculation

(1) While subbase courses and base courses bring relative displacement in horizontal direction, the friction stress of a certain point on the interface is directly proportional to the horizontal displacement of the point (Wang, 1997), as is shown in Eq. (1):

$$\tau_x = -C_x \cdot u_x \quad (1)$$

where  $C_x$  = Friction coefficient (to subbase courses,  $C_x = 0.6N/mm^3$ ),  $u_x$  = The horizontal displacement of point  $x$  in base courses (the minus of the formula shows that the direction of friction stress is always inverse to the displacement).

(2) It is assumed that the temperature and humidity of semi-rigid base courses is dropping equably from top to the bottom, in addition, the corresponding thermal shrinkage, thermal shrinkage stress, dry shrinkage and dry shrinkage stress are all euable.

(3) By investigating the cracks observed in practical projects, it can be seen that shrinkage cracks of semi-rigid base courses are transverse and parallel each other mostly. So it is assumed that semi-rigid base courses are only restricted longitudinal but not transverse.

### 3. Formula deduction of the shrinkage stress

The cross section of the semi-rigid base course is rectangular. On a random point  $x$  of the semi-rigid base course, a length of tiny element is chosen as the study object, and its length, width and thickness are  $dx$ ,  $B$ , and  $H$  respectively. A stressing model is established (see Figure 1), and the length of the base course for study is  $L$ . In the model, the average shrinkage stress in the section is expressed as  $\sigma(x)$ , and the shearing stress is expressed as  $\tau_x$ , via. The friction stress between the base course and subbase course.

The equilibrium equation of the tiny element in horizontal direction is as follow:

$$[\sigma(x) + d\sigma(x)]BH - \sigma(x)BH + \tau_x Bdx = 0 \quad (2)$$

The solution of Eq. (2) is

$$\frac{d\sigma(x)}{dx} + \frac{\tau_x}{H} = 0 \quad (3)$$

When the temperature and humidity of the semi-rigid base course is decreasing, the displacement of section  $x$  in the base course, which is composed of restriction displacement and free displacement, is expressed as

$$u_x = u_\sigma + (\alpha_t t + \alpha_d \omega)x \quad (4)$$

where  $u_x$  = The displacement of section  $x$  in base course;  $u_\sigma$  = Restriction displacement,  $\alpha_t$  = Thermal shrinkage coefficient,  $t$  = The temperature changes,  $\alpha_d$  = Dry shrinkage coefficient,  $\omega$  = The humidity changes. By differentiating Eq. (4), a differential equation is obtained

$$\frac{du_x}{dx} = \frac{du_\sigma}{dx} + (\alpha_t t + \alpha_d \omega) \quad (5)$$

By differentiating Eq. (5), the following second-order differential equation is obtained

$$\frac{d^2 u_x}{dx^2} = \frac{d^2 u_\sigma}{dx^2} \quad (6)$$

The shrinkage stress in the section can also be given as

$$\sigma(x) = E \frac{du_\sigma}{dx} \quad (7)$$

Where  $E$  = Elastic modulus of the base course. By differentiating Eq. (7) and combining with Eq. (6), a differential equation is obtained as

$$\frac{d\sigma(x)}{dx} = E \frac{d^2 u_x}{dx^2} \quad (8)$$

By combining Eq. (8) with Eq. (3), the governing equation is expressed as

$$E \frac{d^2 u_x}{dx^2} + \frac{\tau_x}{H} = 0 \quad (9)$$

By combining with Eq. (1) and letting  $\beta = \sqrt{C_x / EH}$ , Eq. (9) can be written as

$$\frac{d^2 u_x}{dx^2} - \beta^2 u_x = 0 \quad (10)$$

The general solution of differential equation (10) is evaluated as

$$u_x = C_1 ch\beta x + C_2 sh\beta x \quad (11)$$

The boundary conditions can be expressed as: ① If  $x = 0$ , then  $u_x = 0$ , ② If  $x = L/2$ , then  $\sigma(x) = 0$ . From boundary condition ①, the value of  $C_1$  can be easily calculated as  $C_1 = 0$ . By combining with Eq. (5), Eq. (7) can be written as

$$\sigma(x) = E \left( \frac{du_x}{dx} - \alpha_t t - \alpha_d \omega \right) \quad (12)$$

By differentiating Eq. (11), with  $C_1 = 0$ , the following equation is obtained

$$\frac{du_x}{dx} = \beta C_2 ch(\beta x) \quad (13)$$

By combining Eq. (12) , Eq. (13) and boundary condition ②,  $C_2$  can be calculated as  $C_2 = \frac{\alpha_t t + \alpha_d \omega}{\beta ch(\beta L / 2)}$ , and then

$u_x$  can be expressed as

$$u_x = \frac{\alpha_t t + \alpha_d \omega}{\beta ch(\beta L / 2)} sh(\beta x) \quad (14)$$

By combining Eq. (12) and Eq. (14), the shrinkage stress of the section is calculated as

$$\sigma(x) = -E(\alpha_t t + \alpha_d \omega) \left[ 1 - \frac{ch\beta x}{ch(\beta L / 2)} \right] \quad (15)$$

From Eq. (15), it can be seen that the shrinkage stress has maximum value when  $x = 0$ , and the maximum value is evaluated as

$$\sigma(x)_{\max} = -E(\alpha_t t + \alpha_d \omega) \left[ 1 - \frac{1}{ch(\beta L / 2)} \right] \quad (16)$$

#### 4. Influencing factors of the maximum value of the shrinkage stress

In the expression of  $\sigma(x)_{\max}$ , the value of  $t$  is negative because the temperature is dropping, and during the course of dry shrinkage, the humidity is decreasing gradually, so the value of  $\omega$  is also negative. Thus, the value of the shrinkage stress is positive, viz. tensile stress. From Eq. (15), Eq. (16) and the expression of  $\beta$ , it can be seen that the shrinkage stress has maximum value in the middle of the semi-rigid base course, and the maximum value of shrinkage stress will increase with the increase of elastic modulus, temperature changes, humidity changes, thermal shrinkage coefficient, and dry shrinkage coefficient of the semi-rigid base course. Furthermore, the maximum value of shrinkage stress has relations with the degree of the restriction of the subbase course to the base course, which is depicted as  $C_x$ , the thickness  $H$  and length  $L$ . The maximum value will increase with the increase of  $C_x$ ,  $H$  and  $L$  of the base course. However, all of these relations are not linear.

#### 5. Conclusions

The following are the main conclusions from the study:

The shrinkage stress in the middle of the semi-rigid base course has maximum value. The maximum value has relations with the elastic modulus, thermal shrinkage coefficient, dry shrinkage coefficient of semi-rigid base materials and temperature changes, water content changes of semi-rigid base courses. Besides, the maximum value also has relations with the degree of the restriction of the subbase course to the base course, the thickness and length of the base course. The expressions of shrinkage stresses of semi-rigid base courses presented in this study will have an important value for the design and construction of asphalt pavement with semi-rigid base courses and for the prevention of the cracking of semi-rigid base courses.

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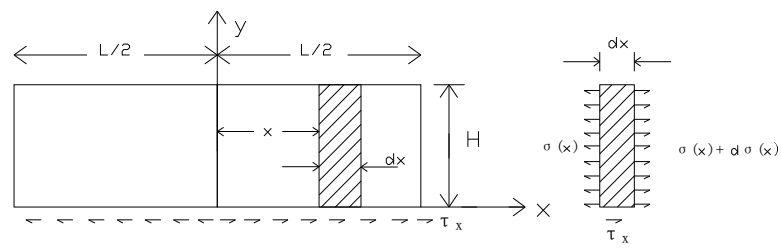


Figure 1. Stressing Model of Semi-rigid Base Course



## The Visual Control of Minuteness Wire Twister Machine

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### Abstract

A new control system of minuteness wire twister machine is introduced in this article, which realizes the visual control taking the touch screen as the core and making AC servo motor and stepping motor as the drive components. The key technique is to automatically control and compensate the position errors between the angular displacement of principal axis and ball screws displacement. The control system solves the problem of yawp existing in the equipment, and the touch screen can actualize man-machine conversation and various control parameters are clear at a glance.

**Keywords:** PLC, Touch screen, Twister machine, Ball screws

### 1. Introduction

The application range of minuteness wire twister machine becomes more and more extensive, which includes the winding of enamel-insulated wire, the winding of mutual inductance loop and so on. The production characters include continual production on the semiautomatic twister, large outputs in unit time, higher degree of automatic control for technics parameters. The production technology of China gets behind foreign countries (Cai, 2002, p.5-7). Therefore, we adopt PLC and touch screen control to design this minuteness wire twister machine which can make the operation of the whole equipment simpler. The characters of this machine include following aspects.

- (1) Mutual operation. Several equipments of different manufacturers can work in one same system and actualize information exchange. Accordingly users can freely select equipments provided by different manufacturers to integrate system.
- (2) Higher reliability of control. This machine transfers the control function to the locale, and the intelligentization and digitization of the general line on the spot make control quicker and exacter.
- (3) Low fees of installation. This machine can fulfill the requirements of different line diameters, and the winding process is highly efficient and stable, which can fully enhance the production efficiency. And it also offers a more efficient method to actualize volume-production and guarantee quality of products for minuteness wires.

### 2. Principle and function requirements

The winding of the twister machine includes spiral winding and circuit winding. This equipment can implement the circuit winding of minuteness wires, which requests the rev of principal axis and the ball screw fulfill the requirement that when the principal axis rotates one circuit, the ball screw goes for the distance of one line diameter, thus two neighbor tiny lines will have no apertures (Mai, 1997, p.25-27). This equipment uses rotary coder to check the rev of the principal axis, PLC to check signals of sensor for controlling the cutting feed of the step motor. The coder gives certain numerary pulse as a timing unit, so the step motor will follow the frequency and drive across-thread-stand to make reciprocate with certain speed and complete the dense winding of minuteness wires.

The work requirements of this equipment include following aspects.

- (1) The principal axis should be droved by servo motor and the rotary coder will gather the rev of the principal axis.
- (2) The follow movement should be droved by step motor and PLC controls step motor to emit the number of pulse.
- (3) Both left breakpoint switch and right breakpoint switch need one apiece and the continuous pulse output is needed.
- (4) Two limited switches are demanded and the across-thread-stand can implement reciprocate automatically.
- (5) Setting up on/ off pushbutton.
- (6) The touch screen displays the work situation and basic parameters.

The diameter of the minuteness wires are small (generally being 0.10-0.50mm) and the rev of the principal axis is very high, so the minuteness wires must guarantee continual winding and have no superposition or jumping lines. Based on above production requirements, we adopt DVP24ES00T2 PLC and TD220 touch screen, which can not only fulfill the requirements of winding precision, but also reduce production cost and make the whole equipment possess small volume, perfect

function and convenient operation (seen in Figure 1).

### 3. Design and implementation of minuteness wire twister machine

#### 3.1 Design of mechanism

For the winding machine, because it directly influence the quality of product, the production efficiency of loop, so the design of the winding machine is very important, which needs considering the automatic switching when the loop rounds one circuit, convenient alteration in certain range for the diameters of molding loops, replacing of molding loops of various standards, and convenient adjustment of various components of corresponding twister machine (Wu, 2002). This machine requires simple and convenient structure, high efficient drive, high precise orientation, drive reversibility and long useful life. We take ball screws as the driver machine and confirm the precision and length of screws according to the conditions such as using condition, maximal rev and stroke of the principal axis. Because the single nut has small pre-fastening errors, so it is always used in precise orientations with middle or light loads. Therefore, we select FFB ball screw with inner cycle alteration broke pre-fastening nut. To ensure the movement of the across-thread-stand is stable, the strict parallel degree between glide guide track and screw are requested and the beeline bearing guide is adopted. When the continual winding of minuteness wires is implemented, under the condition of redundant time, the core fixing machine of the principal axis must be stable and convenient for dismantling and fulfilling the requirements of different line diameters. Thus, this machine adopts double finial movement support and double directional circularity jumping to ensure certain precision range.

For the strain machine, the winding loop is fixed on the bracket stand and strained by the felt through the spring and across-thread-stand, so the strain will change, the spring rotates and the tiny line will flex automatically. The machine has simple structure and low costs and it can fully fulfill the production requirements (seen in Figure 2).

#### 3.2 Design of control part

The minuteness wire twister machine mainly includes three parts.

(1) The principal axis system. It is droved by servo motor and the stepless speed adjustment of the principal axis is realized by the changes of voltage. The rev of AC servo motor is decided by the servo control voltage which corresponding value is in -10V~+10V. The positive or negative values of the voltage control the rotation with direction or reverse direction. The twister has higher requirement for the precision and stability of the motor rev. In the rotating process, the fluctuation of the speed must be small, and the rotating coherence of step motor at direction and reverse direction should be good. Therefore, this system adopts high precision D/A commutator (which precision is 0.012%), excessive low compensated voltage operation amplifier and high stability voltage norm to make up of D/A conversion circuit with high precision and low excursion.

According to the required rev of the output axis and the maximal rotary diameter which can be fulfilled, we select the motor with small power and big moment of inertia. To the control system design, we only consider that the motor work in the basic speed fully utilizing its power and without weakening magnetism. We adopt the maximal moment mode to adjust strain.

$$\frac{TD}{2} = M_T = 2C_M \Phi I \quad (1)$$

$$T = 2C_M \Phi I / D$$

$$M_J = J \frac{d\omega}{dt} = \frac{GD^2}{375} \cdot \frac{d\omega}{dt} \quad (2)$$

$$J = \frac{MR^2}{2} \quad (3)$$

Where, T is strain, D is the diameter of the coiling block,  $M_T$  is the torsion produced by strain,  $C_M$  is the structure constant of the motor,  $\Phi$  is the flux of the motor, I is the armature current,  $M_J$  is the moment produced by the moment of inertia, J is the moment of inertia,  $\omega$  is the angular velocity, M is the quality of the copper wire, and R is the rotary radius.

Because this equipment mainly works in the constant rev, so according to the formulas we can know the moment produced by the moment of inertia is not big, which is mainly embodied in formula (1). The tensile strength of the copper wire is 140Mp, the density is 6.4g/mm<sup>3</sup>, the maximal diameter of winding is 400mm, so the moments of inertia are  $M=6.4 \times 3.14 \times 400 \times 50 = 401920g$  and  $J=0.5 \times 402 \times 0.2^2 = 8.04kgm^2$ , the torsions are  $T=140 \times 3.14 \times 0.25 \times 0.25 = 27.5N$  and  $M_T=27.5 \times 0.2 \times 1.414 = 7.76Nm$  (where 1.414 is the proportional coefficient of square loop to round loop). Therefore, we can confirm the type of the selected servo motor and the drive proportion of the drive.

(2) The winding system (nuclear part). This control system is mainly composed by industrial computer, D/A conversion circuit, counter and the motor which can control the circuit and the panel input circuit. D/A conversion circuit bring servo control voltage of the master motor and the counter is used to accumulate the pulses outputted by the rotary coder. The computer confirms the angular velocity and rev through timely reading the values of the counter. The rev of the principal axis motor is preset by people who compute the servo control voltage and output it to the principal axis driver for controlling



the principal axis rev transmitted to the planned rev. PLC checks pulses outputted by the rotary coder, obtains the angular displacement and actual rev of the principal axis motor, outputs corresponding follow pulses and frequency, and ensures the rev of the step motor respond the master motor according to strict speed in the winding process. In the winding process, influences from various interferential factors will produce rev fluctuations of these two motors. Therefore, PLC must check the revs of these two motors at real time and correct the rev of the motor according to the rev errors. When the rev of the principal axis changes, PLC needs implement corresponding adjustments to the rev of the step motor to ensure the speed proportion between ball screw and the principal axis keep constant. At the same time, PLC also should check and eliminate the speed warp of the ball screw (Mai, 1997, p.25-27). The selection of the sampling period of the control system has many influences to the control precision and stability. Too long sampling period will reduce the response speed of the control system and too short sampling period will increase computation errors of the motor rev to reduce control precision and stability. Through experiments we find that the sampling period in 10ms-20ms has best effects.

It usually needs six or seven hours' continual winding to finish one loop, so tiny differences between two motor revs will produce big relative position errors. Therefore, the velocity errors between the angular displacement of the principal axis and the rev of the step motor must be controlled and compensated. When errors occur at the relative position, we can not adjust the speed between both sides to correct the errors because it will influence the changes of the local line arrangement width, and we can adjust the time which the screw stays at two points.

After long-term operation, it is avoidless for the zero of the voltage outputted by the D/A conversation circuit to produce excursion. When the voltage zero produces excursions, the rev errors of the motor at about zero rev are big and the rotary direction of the motor will change. So the zero excursions must be eliminated. We can change the output to the zero position of the excursion binary code of the D/A converter and reset the zero of control voltage to keep consistent with the zero of output voltage. The method to look for the zero of output voltage of the D/A converter after excursions is to check the rev changes of the motor at about zero rev and compare them with the output excursion binary code, accordingly obtain the corresponding value of the excursion binary coder at the zero of the output voltage.

(3) The upper computer control system. We use Taida TD220 as the operation display, and this touch screen has characters such as small volume and cheap costs and possesses extensive applications in the winding control. The touch screen communicates with PLC through data cable, and it can replace traditional smart operation display with control panel and keyboard (Cai, 2002, p.5-7). The control system of production line is simple, and parameters are few to be set. This touch screen uses menu to work and the operator can use touched switch to set up parameters such as the rev of the twister, circle number of winding, layer number of loop, line diameter of the minuteness wires and so on and change these parameters on the operation platform. Once these parameters are confirmed, the pulse number emitted by the step motor, the rev of ball screw and the rev of twister can be automatically regulated on the total product line. Under the automatic working condition, the touch screen can display the set line diameter of twister, record total circle numbers of single and complete journey, conveniently implement lathe on/off, frequency following, mistake alarming and display the moving situation of the motor.

### 3.3 Program flow and main I/O position explanation of PLC

The programming of PLC is the key to implement winding process. In this winding system, continual pulse output of PLC is the emphasis of the program, and the time and frequency of pulse emitted by PLC are controlled according to the rotary velocity of the principal axis. We should follow the rotary velocity of the master motor, select appropriate fractionized angle for the step motor, compute frequency and time of PLC combining the nut distance of ball screw to control the cutting feed of ball screw droved by the step motor, and strictly guarantee when the principal axis rotates one circle, the screw goes the distance of one line diameter (seen in Figure 3).

For example, when the principal axis rotates one circle, the minuteness wires directly twist on the principal axis, and the operator may adjust the rev of the principal axis through the frequency at any time, so the step motor must have enough time to respond and follow the frequency in the period that the master motor emits pulses, i.e. the time that the rotary coder emits pulses  $\geq$  the time that PLC emits pulses + the time that PLC implements pulses.

Supposed that the rev of the master motor is 1440r/min, the sampling time is  $T_c$ , the pulse output time is  $T_M$ , the step distance angle of the step motor is 0.036, the nut distance is 10mm, the line diameter is 0.5mm, the pulse output frequency is 20000Hz, the rotary coder emits 200 pulses in one circle, one sampling period includes 50 pulses, so the sampling time can be computed as follows.

$$T_c = \frac{50}{1440/60 \times 20} = 0.01(s)$$

And the pulse number needed by the step motor when it goes one quarter of one line diameter and receives  $360/0.036=10000$  pulses can be computed as follows.

$$\frac{10000}{\chi} = \frac{10}{0.5/4} \quad \chi = 125 \quad (\text{Pulses})$$

The time that PLC emits 500 pulses can be computed as follows.

$$T_m = \frac{125}{20000} = 0.00625 \text{ s}, \text{ when } T_M < T_C.$$

The explanation of main I/O positions for PLC is seen in Table 1.

#### 4. Conclusions

This control system successively adopts servo motor and step motor with high performance, D/A conversation circuit with high precision and low excursion, and convenient human-computer interface. The control process of minuteness wires winding is simple and the volume of winding equipment is fully decreased. The PLC programming has strong reliability, powerful functions and more flexibility. The characters of touch screen such as simple operation and extensive usage are fully embodied in the control system. The human-computer interface with humanization makes operator acquire few professional knowledge to conveniently and quickly operate the equipment. However the shortage is that we have not realized automatic formfeed, printing glue and paper cutting, which is the direction that we should continue to strive in the future design.

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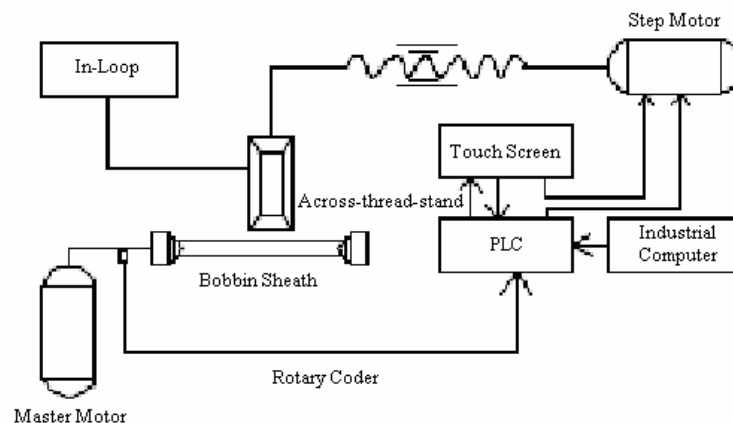


Figure 1. Principe of Twister Machine

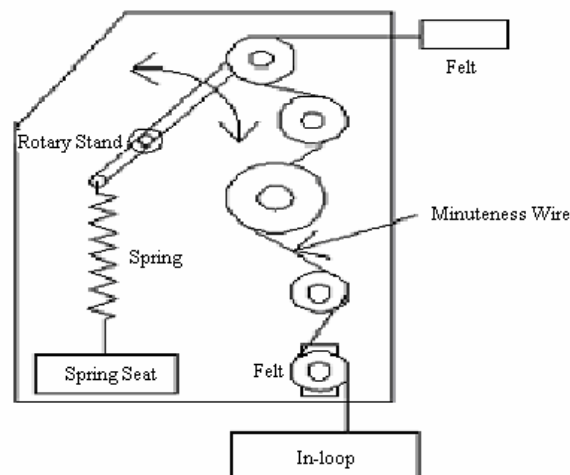


Figure 2. Sketch of Tension Structure

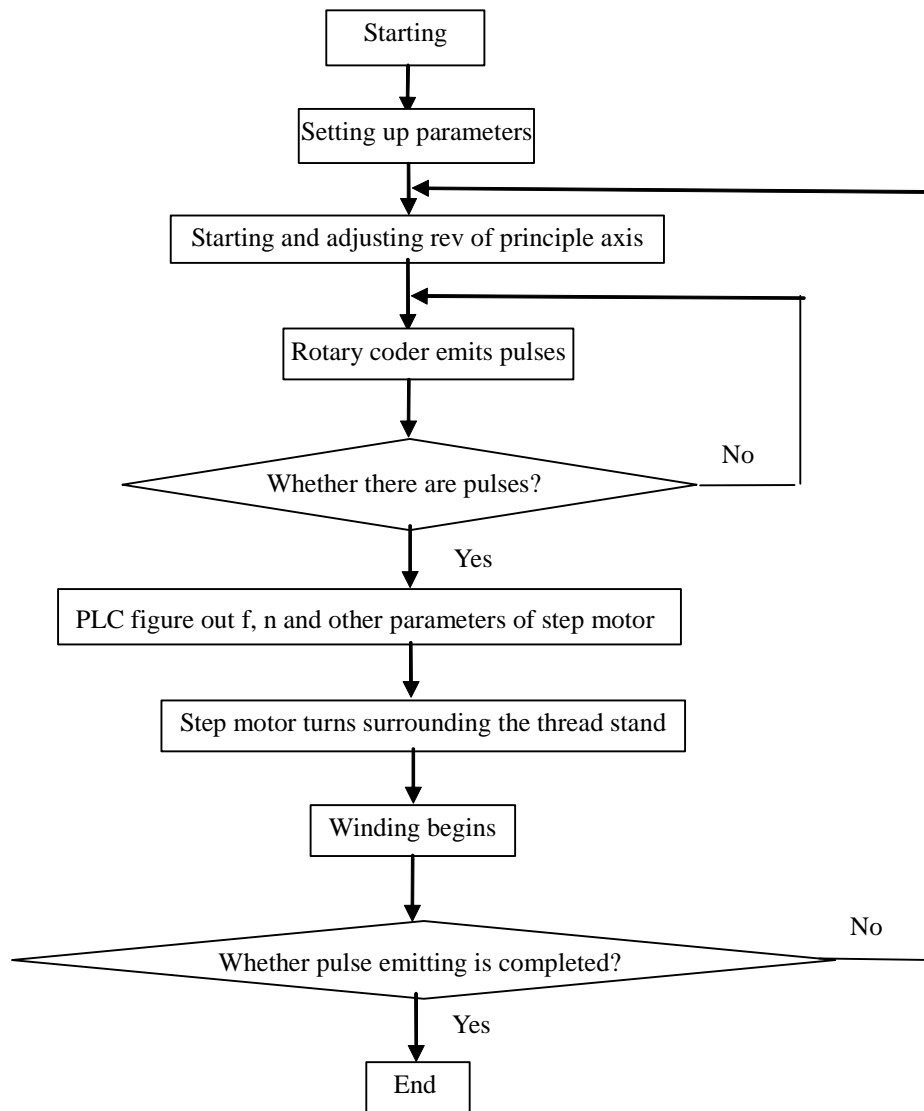


Figure 3. Program Flow

Table 1. Main I/O positions of PLC

Input signal of PLC	Address	Explanation
	X0	Input signal of direction power level
	X1	Input signal of electric pulse
	X10	Left limited switch
	X11	Right limited switch
Output signal of PLC	Y0	Output of high frequency pulse
	Y1	Direction power level of motor
Relative address of touch screen	D100	Total circle number of winding
	D150	Circle number of one way
	M200	Clearing of circle number
Special address	M1000	Constant switch point of watch operation
	M1028	Time-base change of timer
	M1029	Implementing over of watch pulse
	M7	X0 inspires M7 and keep M1029 ON



## Strategic Selection of Push-Pull Supply Chain

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### Abstract

The selection of pull strategy or push strategy for specific products depends on not only the change of demand, but also the importance of scale economy for production and distribution. In fact, almost no complete pull strategy or complete push strategy is adopted from begin to end in practice, and in most cases, the combined push-pull strategy is adopted in a marketing process. This paper will probe into the combined push-pull supply chain strategy.

**Keywords:** Supply chain, Push strategy, Pull strategy

### 1. Concepts of push supply chain and pull supply chain

The design and operation of effective supply chain are very important to every enterprise. To the design of supply chain, generally speaking, the corresponding supply chain should be selected according to the characters of products, and functional product adopts efficiency supply chain and innovational product adopts reactive supply chain. The partition of efficiency supply chain and reactive supply chain is based on the function of supply chain. As viewed from the operation of enterprise, it is called supply chain push strategy to adopt efficiency supply chain flow operation for the enterprise and it is called supply chain pull strategy to adopt reactive supply chain flow operation for the enterprise.

#### 1.1 Push supply chain

Push supply chain takes manufacturers as core enterprises, sells commodities to consumers designedly according to the production and repertory of products, which drive roots from the production of manufacturers in the upper of supply chain. Its mode is seen in Figure 1. In this operation mode, various nodes on the supply chain are loose, pursue decreasing costs of physical functions, and belong to a sort of representation of supply chain in the seller's market. Because the changes of consumers' demand can not be known, so the repertory costs of this operation mode are high and the reaction is slow to the changes of market.

#### 1.2 Pull supply chain

Pull supply chain takes consumers as cores, notices the changes of consumers' demand, and organizes production according to consumers' demand. Its mode is seen in Figure 2. In this operation mode, various nodes on the supply chain have higher integrative degrees. Sometimes, to fulfill the demand of consumer difference, it will further increase costs of supply chain and it belongs to a sort of representation of supply chain in the buyer's market. This operation mode has higher requirements for the total diathesis of supply chain, and as viewed from the developmental tendency, pull supply chain is the main direction for the development of operation mode for supply chain. Respective flow diagram of push supply chain and pull supply chain is seen in Figure 3.

### 2. Characteristics of push strategy and pull strategy

In practice, it is few to completely adopt push strategy or pull strategy, because though single push strategy or pull strategy has respective advantages, but they have limitations too.

#### 2.1 Characteristics and limitations of push supply chain

In a push supply chain, the decisions about production and distribution are made according to the results of long-term forecast. Exactly speaking, manufacturer forecasts demands according to the order forms from shopkeepers. In fact, the changes of order forms obtained from shopkeepers and repertory are bigger than the changes of consumers' actual demands, which is usually called bullwhip effect, and this phenomenon will make the plan and management of enterprise become difficult. For example, manufacturer doesn't know how to confirm his production ability, and if it is confirmed according to maximal demands, that means manufacturer must assume expensive costs of resource leaving unused in most

cases, and if the production ability is confirmed by average demand, he needs look for expensive complementary resources in pinnacle term of demand. In the same way, the confirmation of transportation ability also faces thus problems: which one should be the standard, maximal demand or average demand? Therefore, in a push supply chain, some situations such as the increase of transportation cost aroused by urgent production conversion, higher repertory level and ascending production cost always occur.

Pull supply chain needs long time to make reactions for the change of market, which will induce a series of bad reactions, for example, in the pinnacle term of demand, because it is difficult to fulfill consumers' demand, the service level will be descended, or when some product demands disappear, it will make supply chain produce large numbers of repertory even products out of season (David, 1999, p.112).

## *2.2 Characteristics and qualifications needed of pull supply chain*

In pull supply chain, the production and distribution are drove by demand, and in this way, the production and distribution will assort with consumers' demands but not forecast demands. In a real pull supply chain, enterprises need not too much repertory, and they only need make reactions to order forms.

Pull supply chain has following advantages. (1) It can reduce advance term through better forecasting the arrivals of shopkeepers' order forms. (2) Because of reduced advance term, the shopkeepers' repertory can reduce correspondingly. (3) Because reduced advance terms are shortened and the changes of system are reduced, the changes faced by manufactures will lessen. (4) Because the changes are reduced, the repertory level of manufacturer will be reduced. (5) In a pull supply chain, the repertory of system can be reduced obviously, so the resource utilization rate will be enhanced. Certainly pull supply chain also has limitations which extrusive representation is that because the pull system can not make plan in a long advance time, so the scale predominance of production and transportation can not be embodied (David, 1999, p.113).

Though pull supply chain possesses many advantages, but to obtain success, there are two relative conditions needed. The first one is that there must have quick information transfer mechanism which can transfer consumers' demand information (such as data in sales places) to different enterprises participating in the supply chain timely. The second one is that the advance term must be reduced through various approaches. If the advance term can not be reduced with demand information, the pull system is difficult to be realized.

## **3. Strategic selection of push supply chain and pull supply chain**

To a specific product, what supply chain strategies should be adopted? Should enterprise adopt pull strategy or push strategy? The above discussions mainly start from the changes of market demand and consider how the supply chain deals with the operation problem of uncertain demand. In actual management process of supply chain, we should consider not only uncertain problems from demand party, but also the importance of enterprise's production and distribution of scale economy.

Figure 4 gives a frame model of supply chain strategy which can definitely suit for product and industry. The vertical axis represents uncertain information of consumers' demand, and the top means higher uncertainty of demand. The horizontal axis represents the importance of scale economy for production and distribution. The left extension represents more obvious scale economy of distribution and production. In same other conditions, if the uncertainty of demand is higher, we should adopt pull strategy which manages supply chain according to actual demand, on the contrary, if the uncertainty of demand is lower, we should adopt push strategy which manages supply chain according to long-term demand forecast.

In the same way, when other conditions are same, the scale benefit has important functions to reduce costs, and if the values of combined demand are higher, we should adopt push strategy and manage supply chain according to long-term demand forecast, and if scale economy is not important and the combined demand can not reduce costs, we should adopt pull strategy.

Figure 4 partitions one area into two parts through two-dimensional variables. Area IV represents that the uncertainty of demand is low, but the products having nature of scale economy such as beer, fine dried noodles, food fat and so on in the commodity industry all belong to this sort. The demand of these products is very stable, so enterprises can manage repertory according to long-term forecast, also can reduce transportation costs through full load transportation, which is very important to cost control for the whole supply chain. At this time, it is not fit to adopt pull strategy and traditional push strategy is fitter.

Area III represents the product possesses low demand uncertainty, which indicates it is a push supply chain, and its importance of scale economy is low too, so it also can be thought a pull supply chain. Many rapidly flowing books or CDs belong to this sort. Whether to adopt push strategy or pull strategy is decided by whether the cost and demand are confirmed, so we should seriously analyze the situation according to concrete conditions (in this article, we don't do this.)

Area I represents industries or products such as computer which has higher uncertainty and unimportant scale economy of production, installation and distribution. To these products or industry, in theory we should adopt pull supply chain strategy.

In practice, almost no complete pull strategy or complete push strategy is adopted from begin to end. So the combined push-pull strategy is put forward. For example, the lower of the supply chain, i.e. the direction facing consumers should enhance responses to the greatest extent, because consumers or your clients don't care how the whole supply chain operate and they only care about your response speed after their order forms produce. So as viewed from operation of supply chain, we should try to enhance responses and reduce costs to the greatest extent or complete response speed by reasonable costs, which requests the party of supply chain organizes production and distribution according to low costs, high efficiency and requirement of scale economy, enhances responses according to consumers' requirement to the greatest extent, and forms a sort of combined strategy of supply strategy which adopts push strategy first then pull strategy or adopts pull strategy then push strategy.

#### 4. Selection of combined push-pull strategy

In the combined push-pull strategy, some layers of supply chain such as several initial layers are managed by push strategy and other layers adopt pull strategy. The meeting of push and pull is called push-pull borderline which is seen in Figure 5.

Still taking computer in area I (in Figure 4) as an example, though the demand of these products has higher uncertainty, the scale benefit is not very extrusive and we should adopt pull strategy in theory, but in fact computer manufacturer doesn't adopt pull strategy completely. Taking Dell as an example, the installation Dell computer is implemented according to consumers' final orders, at this time, it implements typical push strategy. That is to say, the push part of supply chain begins before the installation and the pull part of supply chain begins after the installation and according to actual demands of consumers, which is a sort of combined supply chain strategy with former push later pull, and the push-pull borderline is the starting point of installation.

Another form of combined push-pull strategy is to adopt combined supply chain strategy with former pull and later push. Area ④ (in Figure 4) represents those products and industries which have higher uncertainty and very obvious scale benefits in the process of production and transportation. The furniture industry is the most typical example for this situation. In fact, the products offered by furniture manufacturer are almost same in the aspect of material, but the differences in the aspects of shape, color and conformation are very obvious, so the demand uncertainty is very high. On the other hand, because of big volume of furniture, the transportation costs are very high. So it is necessary to distinguish production and distribution strategies. As viewed from production, because high demand uncertainty, enterprise can not implement product plan according to long-term demand forecast, so the pull strategy should be adopted for production. And because of big volume and high transportation costs of furniture, so the characters of scale economy must be fully considered for distribution strategy, and the transportation costs are reduced through large scale transportation. In fact, many furniture manufacturers have adopted this sort of strategy. That is to say, the furniture manufacturers begin to produce furniture when they receive consumers' orders, and when the productions are finished, they will deliver these products and other products which need to be transported to that region to the shopkeepers' shops and consumers. Therefore, the supply chain strategy of furniture manufacturers can be summarized to adopt pull strategy to produce furniture according to actual demand and adopt push strategy to transport furniture according to fixed time table, and it is a combined supply chain strategy with former pull and later push.

In a word, when enterprises design supply chain, they should not only consider the characteristics of product and market demand, but also consider the importance of scale economy for their own production and distribution. Only through comprehensive considerations, enterprises can select push supply chain strategy, pull supply chain strategy or combined push-pull supply chain strategy fitting for the developments of enterprises.

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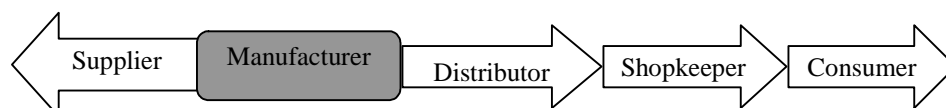


Figure 1. Push Supply Chain Diagram (Cha, 2003, p.161)

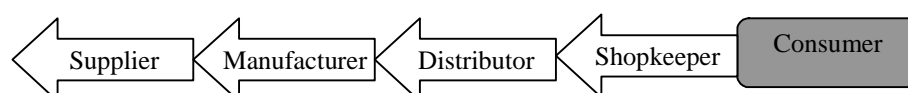


Figure 2. Pull Supply Chain Diagram (Cha, 2003, p.161)



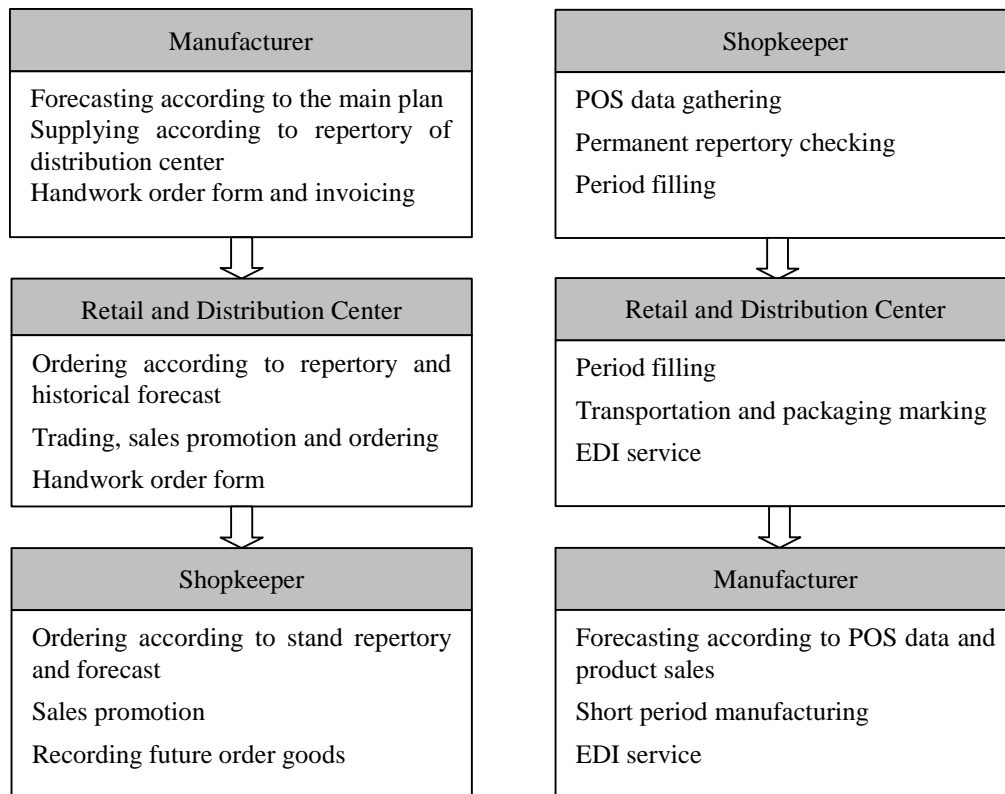


Figure 3. Push Supply Chain and Pull Supply Chain Flow Diagram (Liu, 2005, p.27)

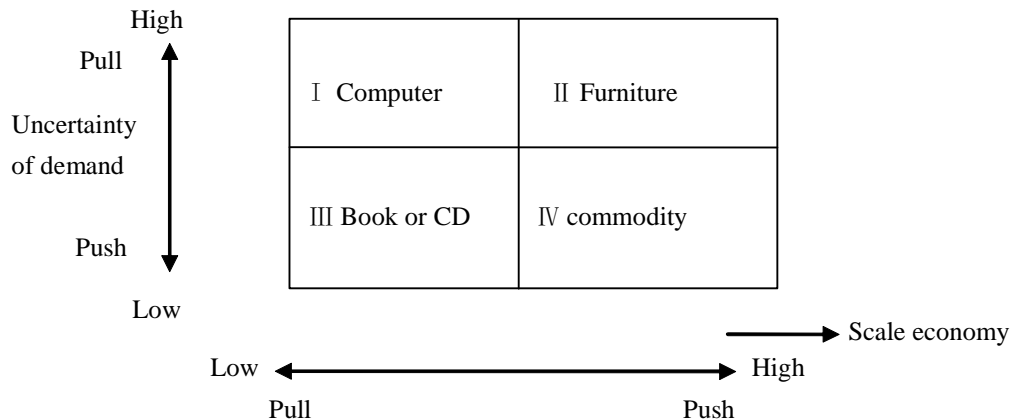
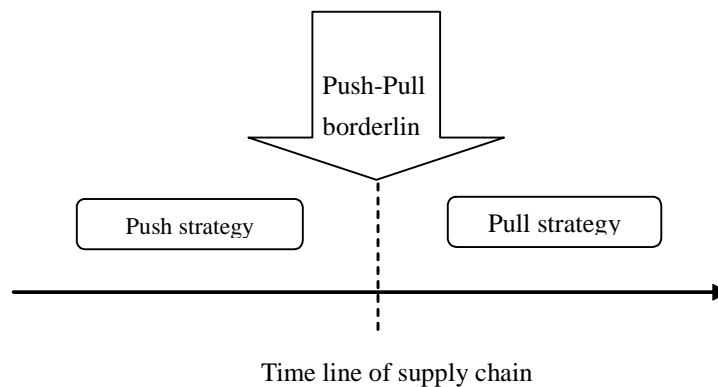


Figure 4. Push-Pull Strategy of Supply Chain (David, 1999, p.115)





## Research of AUV Shell Mathematics Model

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### Abstract

The finite element analysis and research on the vibration mode of a certain AUV with the analytic method are expatiated. Based on the basic equations of thin shells theory, this paper analyses and sets up the cylindrical shell vibration mathematical model, and validated the correctness of the model by ANSYS. The method presented is effective in analyse and dynamical design of AUV weaken vibration and low noise structure.

**Keywords:** Cylindrical shell, Mathematics model, Vibration mode, AUV, FEA

During the work progress of AUV, such as torpedo and mine, the power system activates vibration of the shell and causes noise radiation in hydro-medium. The radiating noise makes great affect on the concealment, and enlarges the enemy forewarning distance. The research of vibration has important theory value and practical meaning on AUV. The AUV is combines in spherical shell, cylindrical shell, conical shell and other revolutionary shells. The cylindrical shell is the primary, and the spherical shell and the conical shell are similar to the cylindrical shell, so the vibration mode of cylindrical shell is prevalent. This paper provides a method to calculate the eigenfrequency so as to validate the structure plan.

### 1. The balance differential equations of free vibration

A middle surface patch of cylindrical shell and internal forces in the orthogonal coordinate system are shown in Figure 1.  $\alpha$  and  $\beta$  are the lines of main curvature, and  $\gamma$  is the normal pointed to convex direction.  $N_1, N_2, N_{12}, N_{21}, M_1, M_2, M_{12}, M_{21}, Q_1, Q_2$  are the internal forces acted on the  $\alpha$  plane and the  $\beta$  plane,  $k_1$  and  $k_2$  are the main curvatures on the  $\alpha$  direction and the  $\beta$  direction,  $R$  is the radius of the middle surface, and  $k_1 = 0, k_2 = R$ ,  $A$  and  $B$  are the Lamé coefficients on the  $\alpha$  direction and the  $\beta$  direction, and  $A = B = 1$ ,  $p_1, p_2, p_3$  are the component of loads on the  $\alpha$  direction, the  $\beta$  direction and the  $\gamma$  direction. The plus directions of the internal forces are shown in Figure 2.

The sum of all the internal forces components on the  $\alpha$  direction divided by  $d\alpha d\beta$  is 0, that is  $\sum F_\alpha = 0$ , in the same way,  $\sum F_\beta = 0, \sum F_\gamma = 0$ . The moment of all the internal forces to the  $\alpha$  axis is 0, that is  $\sum M_\alpha = 0$ , in the same way,  $\sum M_\beta = 0, \sum M_\gamma = 0$ . After operated, the balance equations of the cylindrical shell are:

$$\left. \begin{aligned} \frac{\partial N_1}{\partial \alpha} + \frac{\partial N_{12}}{\partial \beta} + p_1 &= 0 \\ \frac{\partial N_2}{\partial \beta} + \frac{\partial N_{12}}{\partial \alpha} + \frac{Q_2}{R} + p_2 &= 0 \\ \frac{\partial Q_1}{\partial \alpha} + \frac{\partial Q_2}{\partial \beta} - \frac{N_2}{R} + p_3 &= 0 \\ \frac{\partial M_1}{\partial \alpha} + \frac{\partial M_{12}}{\partial \beta} - Q_1 &= 0 \\ \frac{\partial M_2}{\partial \beta} + \frac{\partial M_{12}}{\partial \alpha} - Q_2 &= 0 \end{aligned} \right\} \quad (1.1)$$

From the last two equations of equations (1.1), the expressions of  $Q_1$  and  $Q_2$  can be reason out. The expressions reckoned in the former three equations and the affects of the cross shearing force  $Q_2$  to the balance on the  $\alpha$  direction and the  $\beta$  direction are neglected, then

$$\left. \begin{aligned} \frac{\partial N_1}{\partial \alpha} + \frac{\partial N_{12}}{\partial \beta} + p_1 &= 0 \\ \frac{\partial N_2}{\partial \beta} + \frac{\partial N_{12}}{\partial \alpha} + p_2 &= 0 \\ \frac{\partial^2 M_1}{\partial \alpha^2} + 2 \frac{\partial^2 M_{12}}{\partial \alpha \partial \beta} + \frac{\partial^2 M_2}{\partial \beta^2} - \frac{N_2}{R} + p_3 &= 0 \end{aligned} \right\} \quad (1.2)$$

At the state of free vibration, according to D'Alembert's Principle, the free vibration balance differential equations of the cylindrical shell are:

$$\left. \begin{aligned} \frac{\partial N_1}{\partial \alpha} + \frac{\partial N_{12}}{\partial \beta} + p_1 - m \frac{\partial^2 u}{\partial t^2} &= 0 \\ \frac{\partial N_2}{\partial \beta} + \frac{\partial N_{12}}{\partial \alpha} + p_2 - m \frac{\partial^2 v}{\partial t^2} &= 0 \\ \frac{\partial^2 M_1}{\partial \alpha^2} + 2 \frac{\partial^2 M_{12}}{\partial \alpha \partial \beta} + \frac{\partial^2 M_2}{\partial \beta^2} - \frac{N_2}{R} + p_3 - m \frac{\partial^2 w}{\partial t^2} &= 0 \end{aligned} \right\} \quad (1.3)$$

Where,  $m$  is the unit area mass of the cylindrical shell middle surface,  $u$ ,  $v$  and  $w$  are the component of displacements on the  $\alpha$  direction, the  $\beta$  direction and the  $\gamma$  direction of any point on the middle surface.

The geometric equations (1.4) and the physical equations (1.5) of the cylindrical shell are:

$$\left. \begin{aligned} \varepsilon_1 &= \frac{\partial u}{\partial \alpha} & \varepsilon_2 &= \frac{\partial v}{\partial \beta} + \frac{w}{R} & \varepsilon_{12} &= \frac{\partial u}{\partial \beta} + \frac{\partial v}{\partial \alpha} \\ \chi_1 &= -\frac{\partial^2 w}{\partial \alpha^2} & \chi_2 &= -\frac{\partial^2 w}{\partial \beta^2} & \chi_{12} &= -\frac{\partial^2 w}{\partial \alpha \partial \beta} \end{aligned} \right\} \quad (1.4)$$

$$\left. \begin{aligned} N_1 &= \frac{Eh}{1-\mu^2} (\varepsilon_1 + \mu \varepsilon_2) & N_2 &= \frac{Eh}{1-\mu^2} (\varepsilon_2 + \mu \varepsilon_1) & N_{12} &= \frac{Eh}{2(1+\mu)} \varepsilon_{12} \\ M_1 &= D(\chi_1 + \mu \chi_2) & M_2 &= D(\chi_2 + \mu \chi_1) & M_{12} &= (1-\mu)D\chi_{12} \end{aligned} \right\} \quad (1.5)$$

Where,  $\varepsilon_1, \varepsilon_2, \varepsilon_{12}$  are the inplane strains on the middle surface,  $\chi_1, \chi_2, \chi_{12}$  are the bending strains on the middle surface  $E$  is the elastic modulus,  $\mu$  is the Poisson's ratio,  $D$  is the bending strength, and  $D = Eh^3/12(1-\mu^2)$ .

The strains are eliminated by combining the equations (1.4) and the equations (1.5), the result are brought into the equations (1.5), then, the free vibration balance differential equations of the cylindrical shell expressed by displacements are:

$$\left. \begin{aligned} \frac{\partial^2 u}{\partial \alpha^2} + \frac{1-\mu}{2} \frac{\partial^2 u}{\partial \beta^2} + \frac{1+\mu}{2} \frac{\partial^2 v}{\partial \alpha \partial \beta} + \frac{\mu}{R} \frac{\partial w}{\partial \alpha} + \frac{1-\mu^2}{Eh} (p_1 - m \frac{\partial^2 u}{\partial t^2}) &= 0 \\ \frac{\partial^2 v}{\partial \beta^2} + \frac{1-\mu}{2} \frac{\partial^2 v}{\partial \alpha^2} + \frac{1+\mu}{2} \frac{\partial^2 u}{\partial \alpha \partial \beta} + \frac{1}{R} \frac{\partial w}{\partial \beta} + \frac{1-\mu^2}{Eh} (p_2 - m \frac{\partial^2 v}{\partial t^2}) &= 0 \\ \frac{h^2}{12} \nabla^2 \nabla^2 w + \frac{\mu}{R} \frac{\partial u}{\partial \alpha} + \frac{1}{R} \frac{\partial v}{\partial \beta} + \frac{w}{R^2} + \frac{1-\mu^2}{Eh} (p_3 - m \frac{\partial^2 w}{\partial t^2}) &= 0 \end{aligned} \right\} \quad (1.6)$$

Where, the differential operator is  $\nabla^2 = \frac{\partial^2}{\partial \alpha^2} + \frac{\partial^2}{\partial \beta^2}$ .

## 2. The solution of free vibration eigenfrequency

The solution of balance differential equations of free vibration can be solved by mixed method. Supposed circumferential load, that is  $p_1 = p_2 = 0$ , the internal force function  $\varphi = \varphi(\alpha, \beta)$  is brought in, and supposed,

$$N_1 = \frac{\partial^2 \varphi}{\partial \beta^2}, N_2 = \frac{\partial^2 \varphi}{\partial \alpha^2}, N_{12} = -\frac{\partial^2 \varphi}{\partial \alpha \partial \beta} \quad (2.1)$$

The equations (2.1) and the equations (1.5) are brought in the third equation of the equations (1.2). The normal

shell vibrates freely by the force of inertia. The normal free vibration balance differential equations of the cylindrical shell,

$$\left. \begin{aligned} D\nabla^4 w + \frac{1}{R} \frac{\partial^2 \varphi}{\partial x^2} - m \frac{\partial^2 w}{\partial t^2} &= 0 \\ \frac{1}{Eh} \nabla^4 \varphi - \frac{1}{R} \frac{\partial^2 w}{\partial x^2} &= 0 \end{aligned} \right\} \quad (2.2)$$

Dimensionless coordinate is brought in,  $\xi = \alpha/R$ ,  $\eta = \beta/R$ , the equations (2.2) evolved to:

$$\left. \begin{aligned} D\nabla^4 w + R \frac{\partial^2 \varphi}{\partial \xi^2} - mR^4 \frac{\partial^2 w}{\partial t^2} &= 0 \\ \frac{1}{Eh} \nabla^4 \varphi - R \frac{\partial^2 w}{\partial \xi^2} &= 0 \end{aligned} \right\} \quad (2.3)$$

Both of the two ends are supported, and the boundary conditions are,

$$(w)_{\alpha=0} = 0, (w)_{\alpha=l} = 0, (M_1)_{\alpha=0} = 0, (M_1)_{\alpha=l} = 0 \quad (2.4)$$

Supposed the expressions of the internal force function  $\varphi$  and the deflection  $w$  are,

$$\left. \begin{aligned} \varphi &= \sum_a \sum_b A_{ab} \sin \lambda_a \xi \sin \mu_b \eta \sin \omega_{ab} t \\ w &= \sum_a \sum_b B_{ab} \sin \lambda_a \xi \sin \mu_b \eta \sin \omega_{ab} t \end{aligned} \right\} \quad (2.5)$$

The boundary conditions (2.4) are fulfilled in the equations (2.5), and the equations (2.5) are brought in the equations (2.3),

$$\left. \begin{aligned} -D(\lambda_a^2 + \mu_b^2)^2 \sum_a \sum_b B_{ab} \sin \lambda_a \xi \sin \mu_b \eta \sin \omega_{ab} t - R\lambda_a^2 \sum_a \sum_b A_{ab} \sin \lambda_a \xi \\ \sin \mu_b \eta \sin \omega_{ab} t + mR^4 \omega^2 \sum_a \sum_b B_{ab} \sin \lambda_a \xi \sin \mu_b \eta \sin \omega_{ab} t &= 0 \\ \frac{1}{Eh} (\lambda_a^2 + \mu_b^2)^2 \sum_a \sum_b A_{ab} \sin \lambda_a \xi \sin \mu_b \eta \sin \omega_{ab} t \\ - R\lambda_a^2 \sum_a \sum_b B_{ab} \sin \lambda_a \xi \sin \mu_b \eta \sin \omega_{ab} t &= 0 \end{aligned} \right\} \quad (2.6)$$

Equations (2.6) are simplified, that is,

$$\left. \begin{aligned} R\lambda_a^2 A_{ab} + [D(\lambda_a^2 + \mu_b^2)^2 - mR^4 \omega_{ab}^2] B_{ab} &= 0 \\ \frac{1}{Eh} (\lambda_a^2 + \mu_b^2)^2 A_{ab} - R\lambda_a^2 B_{ab} &= 0 \end{aligned} \right\} \quad (2.7)$$

If the coefficient determinants of  $A_{ab}$  and  $B_{ab}$  are zero,  $\varphi$  and  $w$  are not identical to zero, that is,

$$\begin{vmatrix} R\lambda_a^2 & D(\lambda_a^2 + \mu_b^2)^2 - mR^4 \omega_{ab}^2 \\ \frac{1}{Eh} (\lambda_a^2 + \mu_b^2)^2 & -R\lambda_a^2 \end{vmatrix} = 0 \quad (2.8)$$

then the eigenfrequency is,

$$\omega_{ab} = \left\{ \frac{1}{mR^2} \left[ \frac{D}{R^2} (\lambda_a^2 + \mu_b^2)^2 + \frac{Eh}{(\lambda_a^2 + \mu_b^2)^2} \lambda_a^4 \right] \right\}^{\frac{1}{2}} \quad (2.9)$$

Supposed the expression,  $\lambda_a = \frac{a\pi R}{l}$  ( $a=1, 2, 3, \dots$ )

Got the minimum value of  $\lambda_a$ , that is,  $\lambda_1 = \pi R/l$ , and supposed  $d\omega_{ab}/d\mu_b = 0$ , that is,

$$\frac{d\omega_{ab}}{d\mu_b} = \frac{\mu_b}{mR} \left\{ \frac{1}{m} \left[ \frac{D}{R^2} (\lambda_a^2 + \mu_b^2)^2 + \frac{Eh}{(\lambda_a^2 + \mu_b^2)^2} \lambda_a^4 \right] \right\}^{\frac{1}{2}} \left[ \frac{2D}{R^2} (\lambda_a^2 + \mu_b^2) - \frac{2Eh\lambda_a^4}{(\lambda_a^2 + \mu_b^2)^3} \right] \quad (2.10)$$

That is,

$$\frac{2D}{R^2} (\lambda_a^2 + \mu_b^2) - \frac{2Eh\lambda_a^4}{(\lambda_a^2 + \mu_b^2)^3} = 0, \text{ and } D = \frac{Eh^3}{12(1-\mu^2)}, \text{ then}$$

$$\mu_b^2 = \lambda_a \left( \sqrt{\frac{12R^2(1-\mu^2)}{h^2}} - \lambda_a \right) \quad (2.11)$$

The minimum value of  $\mu_b$  is,

$$\mu_1^2 = \lambda_1 \left( \sqrt{\frac{12R^2(1-\mu^2)}{h^2}} - \lambda_1 \right) \quad (2.12)$$

The minimum eigenfrequency can be computed by  $\lambda_1$  and  $\mu_1$ , that is,

$$\omega_{\min} = \left\{ \frac{1}{mR^2} \left[ \frac{D}{R^2} (\lambda_1^2 + \mu_1^2)^2 + \frac{Eh}{(\lambda_1^2 + \mu_1^2)^2} \lambda_1^4 \right] \right\}^{\frac{1}{2}} \quad (2.13)$$

### 3. The analysis of a certain AUV shell and emulation by ANSYS

The shell of AUV is made up of spherical shell, cylindrical shell, conical shells and other revolutionary shells by thread coupling, bolt coupling, wedge coupling and hoop coupling. All of them are rigid coupling. The radius of the cylindrical shell is  $R = 0.265$ , the length of cylindrical shell is  $L = 4.0$ , the thickness of shell is  $h = 0.005$ , the elastic modulus is  $E = 7.47 \times 10^{10} \text{ pa}$ , the Poisson's ratio is  $\mu = 0.36$ , the unit area mass of the cylindrical shell middle surface is  $m = 9.781$ .

$$\text{The bending strength } D = \frac{Eh^3}{12(1-\mu^2)} = \frac{7.47 \times 10^{10} \times 0.005^3}{12(1-0.36^2)} = 893.986$$

$$\text{The minimum value of } \lambda_a \text{ is, } \lambda_1 = \frac{\pi R}{l} = \frac{\pi \times 0.265}{4.0} = 0.20813, \text{ then } \lambda_1^2 = 0.04332$$

$$\mu_b^2 = \lambda_1 \left( \sqrt{\frac{12R^2(1-\mu^2)}{h^2}} - \lambda_1 \right) = 0.20813 \times \left( \sqrt{\frac{12 \times 0.265^2 \times (1-0.36^2)}{0.005^2}} - 0.20813 \right) = 2.68062$$

$$\omega^2 = \frac{1}{mR^2} \left[ \frac{D}{R^2} (\lambda_a^2 + \mu_b^2)^2 + \frac{Eh}{(\lambda_a^2 + \mu_b^2)^2} \lambda_a^4 \right] = \frac{1}{9.781 \times 0.265^2} \left[ \frac{893.986}{0.265^2} (0.04332 + 2.68062)^2 + \frac{7.47 \times 10^{10} \times 0.005}{(0.04332 + 2.68062)^2} \times 0.20813^4 \right] = 275035.75$$

The minimum eigenfrequency is,

$$\omega = \frac{\sqrt{275035.75}}{2\pi} = 83.47 \text{ Hz}$$

Emulation analysis of the cylindrical shell is operated using the ANSYS, and the minimum eigenfrequency is 84.77Hz.

### 4. Conclusions

Sum up, the error between the computational result and the emulational result of the minimum eigenfrequency is 1.53%. The different eigenfrequency can be calculated by different  $\lambda_a$  and  $\mu_b$ , the error between the computational result and the emulational result of the eigenfrequency is no more than 5%. The method is effective in analyse and dynamical design of AUV weaken vibration and low noise structure.

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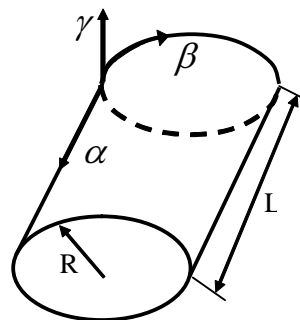


Figure 1. The coordinate

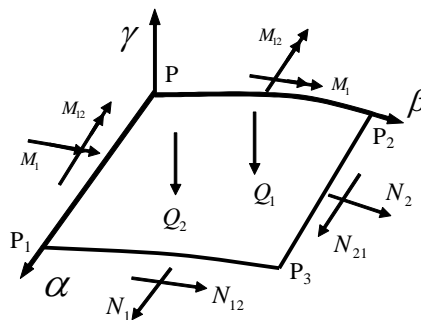


Figure 2. The internal forces



## Preparation and Performance Characteristics of Resin-filled EVAL Hollow Fiber Membrane

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### Abstract

Take the ethylene-vinyl alcohol (EVAL) copolymer as the infrastructural material, the anion exchange resin D301R as the functional grains, adopt dry-wet method to make resin-filled EVAL hollow fiber membrane, test the pure water flux of the membrane, the rejection rate of the bovine serum albumin (BSA) and the static absorption performance of BSA. Check the influences of coagulation bath temperature and copolymer mass fraction to pure water flux and rejection rate. The experimental results indicate that the mass fraction of EVAL is 18%, the mass fraction of resin is 24%, and when the temperature of coagulation bath is in 40~50°C, the prepared resin-filled EVAL hollow fiber membrane has good mechanical intensity, high pure water flux, better BSA adsorption performance that the adsorption capacitance can achieve 25.04mgBSA/g membrane.

**Keywords:** EVAL, Hydrophilia, Ion exchange resin, Hollow fiber membrane

### 1. Introduction

As the hydrophilic membrane material, EVAL has its special advantages. EVAL is the crystal atactic polymer composed by hydrophilic vinyl alcohol unit and hydrophobic ethylene unit, which polymer chain has certain proportional hydroxide radical, so it has certain hydrophilia, and the experiment of contact angle (Matsuyama H, 2001, p.2583-2589 & Young T H, 1998, p.717-724) also invalidates EVAL is the hydrophilic material, and this sort of material is not easy to be polluted when the albumens are separating, and CH<sub>2</sub> unit endow it better mechanical intensity (T. Okaya, 1992), and it possesses good bio-compatibility and chemical stability, so it is fit for being infrastructural materials. As the filled grains, the ion exchange resin has certain adsorption capability to protein. This experiment takes EVAL as the infrastructural material, ion exchange resin as filled grains to spin hollow fiber membrane, and the prepared resin filled EVAL hollow fiber membrane albumen has large adsorption capacitance, combining the characteristics of large exterior area of hollow fiber membrane and hydrophilia of EVAL, which is not easy to be polluted.

M.E. Avramescu et al (M.E. Avramescu, 2003, No.218. p.219-233 & M.E. Avramescu, 2003, p.177-193 & M.E. Avramescu, 2002, p.155-173.) prepared resin-filled EVAL flat membrane adsorbent which had good adsorption capacitance and higher desorption rate for BSA, and changed the nature of the membrane for the affinity separation of protein. Zhang, Yuzhong et al adopted controlled phase separation method to prepare resin-filled EVAL fiber adsorbent which had good albumen adsorption capacitance and higher desorption rate, and formed coarse opening structure on the surface and with the increase of filling of resin, the coarseness degree and opening degree on the fiber surface was increased (Zhang, 2005, p.224-232).

This work takes EVAL as the infrastructural material, alkalescence anion exchange resin D301R as the functional grains and adopts dry-wet method to prepare resin-filled EVAL hollow fiber membrane and described its performances.

### 2. Experiment

#### 2.1 Raw materials

The infrastructural material is EVAL with 44% of ethylene content, and is made by Japan Kuraray Co., Ltd.

The filled grain is alkalescence anion exchange resin D301R made by Tianjin Nankai University Chemical Plant.

The solvent is DMSO made by Tianjin BODI Chemical Co., Ltd.

The additive is CP made by Tianjin Kermel Chemical Reagent Co., Ltd.

The model material is BSA made by China United Stars Industry Co., Ltd.



## 2.2 Experimental apparatus

- (1) The spinning machine of chemical fiber made by Tianjin Motimo Membrane Eng. & Tech. Co., Ltd.
- (2) The QUANTA 200 scanning electron microscope made by Holland FEI Company.
- (3) The UV2450 ultraviolet and visible spectrophotometer made by SHIMADZU Company.
- (4) HZQ-C air bath agitator made by Harbin Donglian Electronic & Technology Development Co., Ltd.

## 2.3 Preparation of resin-filled EVAL hollow fiber membrane

### 2.3.1 Preparation of micron-sized ion exchange resin

Because the granularity of the resin grain made by the factory is too large and the obtained filature liquid is not stable and easy to deposit and has only small adsorption capacitance, so we need to perform subsequent machining.

#### (1) Drying

The water content of the resin just out of the factory is higher, so we need to dry it and then crush it. Put the resin in the vacuum oven to dry it at 80°C until its weight doesn't change.

#### (2) Crushing

We can adopt rubbing crushing method to obtain the micron-sized ion exchange resin.

### 2.3.2 Preparation of resin-filled EVAL hollow fiber membrane

To make resins evenly distribute in the filature liquid, with mixing around by the magnetic force, first put solvent, polymer and additive into three flasks, increase the temperature to about 60°C and continue to mix until dissolved, then put in resin several times and mix to stable filature liquid, and put in filature jar to be marinated for 24 hours. The prepared process to adopt dry-wet method to prepare resin-filled EVAL hollow fiber membrane is seen in Figure 1. The filature liquid is extruded from the tube spinneret, and the interior pore is filled with core liquid to make fiber form hollow. The thin flow of filature liquid directly enters into the coagulation bath through certain altitudinal air clearance and separation happens. The prepared fiber wipes off additive and solvent through bath, and is marinated in the 30% glycerite for 48 hours, and then is aired and stored.

## 2.4 Performance characteristics

### 2.4.1 Mensuration of pure water flux

We use the ultrafilter evaluation device made by Tianjin Motimo Membrane Eng. & Tech. Co., Ltd. to mensurate the pure water flux. Adjust the pressure to 0.1MPa, maintain the temperature at 25°C, and mensurate the membrane flux when the system is stable. Take the average through tests of several times, and compute the water flux according to the following formula.

$$Q = \frac{V}{At}$$

Where, Q represents the water flux (L/m<sup>2</sup>.h), V represents the volume of the filtered liquid (L), A represents the effective area of the membrane (m<sup>2</sup>) and t represents the testing times (s).

### 2.4.2 Mensuration of rejection rate

Choose BSA which molecular weight is 67 thousands to dissolve in the cushion liquid which pH value is 7.4, confect 0.1% solution, maintain the solution temperature at the lower temperature, filtrate this solution by the prepared EVAL membrane small sample, take the original liquid and the corresponding filtered liquid, then measure the absorbencies of the original liquid and the filtered liquid by the UV2450 ultraviolet spectrometer. The computation formula of rejection ratio is

$$R = \frac{E_o - E_s}{E_o} \times 100\%$$

Where, R represents the rejection ratio, E<sub>o</sub> represents the absorbency of the original liquor and E<sub>s</sub> represents the absorbency of the sieved liquor.

### 2.4.3 Observation of membrane structure

We observe the membrane structure using QUANTA200 scanning electron microscope. Break the membrane in the liquid nitrogen, fix the sample in the clamp and dry it in the vacuum and at room temperature, then deposit a thin layer of gold on the surface in the vacuum, then we can observe the membranes section structure.

### 2.4.4 Static adsorption capacitance of protein

The protein static adsorption capacitance of resin-filled EVAL hollow fiber membrane can be confirmed by the BSA interim adsorption experiment. The sorbent sample which we have know its quantity is marinated in the BSA cushion

solution until it balances (in this experiment, we adopt 24 hours), and we compute the static adsorption capacitance of resin-filled EVAL hollow fiber membrane to the protein through measuring the changes of BSA concentration. In the adsorption experiment, the pH values of the cushion solution we use are 7.4 and 9.1, and the material liquid protein BSA concentration is 1mg/ml. The protein static adsorption capacitance of resin-filled EVAL hollow fiber membrane ( $Q$ ) can be computed by this formula.

$$Q = (C_0 - C) V / W$$

Where,  $Q$  represents protein static adsorption capacitance (mgBSA/g membrane),  $C_0$  represents the initial concentration of material liquid protein BSA (mg/ml),  $C$  represents the concentration when the material liquid protein BSA has implemented adsorption for 24 hours (mg/ml),  $V$  represents the volume of the material liquid (ml), and  $W$  represents the weight of the membrane sample (g).

### 3. Results and discussions

#### 3.1 Structure analysis of resin-filled EVAL hollow fiber membrane

Figure 2 is the SEM photo of the resin-filled EVAL hollow fiber membrane structure. From the exterior in Figure 2, we can see that there are micropore-structures in the exterior which can make BSA contact with resin through micropore. From the section in Figure 2, we can see the resin enwrapped by the polymer, and when the BSA material liquid fills EVAL hollow fiber membrane through resin, it contacts the resin and achieves the function of adsorption. From the interior in Figure 2, we can see many protuberances, because in the membrane forming process, due to high speed separation, resins are assembled to the interior in the exchange process of solution and water, many protuberances and stains are formed.

#### 3.2 Influence of coagulation bath temperature to the performance of resin-filled EVAL hollow fiber membrane

Fix the mass fractions of resin and the EVAL, control the temperature of coagulation bath is in 20~60°C, we will check the influences of coagulation bath temperature to the pure water flux and rejection rate.

The temperature of coagulation bath is an important factor to influence the membrane structure and performance. From Figure 3, we can see that with the gradual increase of coagulation bath temperature, the pure water flux of resin-filled EVAL hollow fiber membrane gradually increases, and the rejection rate gradually reduces. After the thin flow of filature enters into the coagulation bath, the solvent in the casting membrane liquid begins transferring to the coagulation bath, which makes the concentration of the polymer in the casting membrane liquid gradually increase, and polymers begins to assemble each other, so the membrane is finally formed (Gao, 1989). When the temperature of coagulation bath increases, the exchange speed between solvent and nonsolvent quickens and first the compact layer rapidly forms, and coagulation bath quickly immerses in the interior of the membrane, and the mixing speed of the dense and rare phases in the membrane interior becomes quick, which makes the membrane interior form more big cavums, accordingly make water flux continually increase and the rejection rate gradually reduce. Too high or low temperature of coagulation bath temperature is not propitious to the molding of the membrane, and when the temperature is too high, the exchange speed of solvent and nonsolvent is quick and the membrane is easy to induce stains, and when the temperature is too low, the formed membrane is dense, which induces the flux is very low. This experiment selects the temperature of 40~50°C as the best coagulation bath temperature.

#### 3.3 Influence of EVAL mass fraction to the performance of resin-filled EVAL hollow fiber membrane

Take DMSO and CP respectively as the solvent and the additive, fix the mass fraction of the resin D301R in 16%, increase the mass fraction of EVAL to 13%~19%, we will check the influences of EVAL mass fraction to the pure water flux and rejection rate.

As seen in Figure 4, when the concentration of EVAL increases from 13% to 19%, the pure water flux of resin-filled EVAL hollow fiber membrane will reduce with it and the rejection rate will increase with it. When the mass fraction of EVAL is in 13%~19%, with the increase of EVAL mass fraction, the performance of forming membrane gradually becomes better. With the increase of polymer concentration in the filature liquid, the voluble degree among macromolecule chains increases, but the polymer poor phases which can form membrane exterior pores and channels relatively reduce, so with the increase of polymer concentration, the pure water flux of the membrane reduces and the rejection rate increases. But when the content of high polymer EVAL is lower, the agglutinate degree of the filature liquid is lower, which makes the spun hollow fiber configuration is difficult to be controlled and has lower intensity. Therefore, synthetically considering two factors including pure water flux and hollow fiber membrane intensity, this experiment take the best concentration 18% as the emphasis to study.

#### 3.4 Influence of resin mass fraction to the performance of resin-filled EVAL hollow fiber membrane

##### 3.4.1 Influence to BSA rejection rate

When the pH value of BSA solution is 7.4, the rejection performance of resin-filled EVAL hollow fiber membrane is best, so we only study the rejection rate of the membrane when the pH value is 7.4.

Table 1 shows the rejection data of the resin-filled EVAL hollow fiber membrane with different resin-filled quantities. As viewed from the data, when the mass fraction of the polymer is fixed, with the increase of resin mass fraction, the rejection

rate of hollow fiber membrane increases, the resin content increases, the adsorption ability to the albumen also increases, the albumen concentration in filtered liquid reduces, the rejection rate increases. But as viewed from the integration, the rejection rate is very low, and when pH value is 7.4, the fiber mainly depends on the aperture to reject the BSA molecules, which causes maybe is that the aperture of the hollow fiber membrane is too big, or the membrane has stains, which will induce lower rejection rate.

### 3.4.2 Influence to BSA static adsorption capacitance

One important function of the resin mass fraction to resin-filled EVAL hollow fiber membrane is that it fair influences the adsorption capacitance of the protein. This experiment chooses the cushion liquids which pH value are respectively 7.4 and 9.1.

Fix the 18% of mass fraction of EVAL polymer, increase the mass fraction of resin D301R, from Figure 6 we can see that with the increase of resin mass fraction, the adsorption capacitance of BSA will increase. When filling the resin grains to the membrane, because of the increase of adsorption function radical in the membrane radical, the static adsorption capacitance of membrane to BSA will be enhanced. Combining Figure 5, we can see that with the increase of resin mass fraction, the adsorption spots will increase, and the adsorption capacitance to BSA will also increase.

### 3.4.3 Influence to structure

From Figure 7, we can see that with the increase of resin mass fraction, the resin grains obviously increase on the section of resin-filled EVAL hollow fiber membrane, and exterior and interior gradually become uneven because of the resin grains existed in the high polymer. When the resin content is lower, the resin grains in EVAL present single individuals which are distributed evenly and enclosed by polymers and in the forming process of the membrane, the high polymers begin separation, the disturbed function of the resin grains is not obvious, and when the resin content further increases, the disturbed function of the resin grains increase, and the stains on the interior of the hollow fiber membrane also increase with it. When the resin content is low, the high polymer EVAL in the resin-filled EVAL hollow fiber membrane has less structure deficiencies. With the increase of resin content, though the distribution of the solid grains inclines to even, but the whole structure inclines to loosening, and the deficiencies such as the microcracks and hollow pores become more.

## 4. Conclusions

(1) This experiment adopts the dry-wet method to prepare resin-filled EVAL hollow fiber membrane, and the prepared hollow fiber membrane has higher pure water flux and good BSA static adsorption capacitance.

(2) The pure water flux increases with the increase of coagulation bath temperature and reduces with the increase of EVAL content of the polymer, and when the temperature of the coagulation bath is in 40~50°C and the mass fraction of the polymer is 18%, the every sort of performance of the resin-filled EVAL hollow fiber membrane is excellent.

(3) With the increase of mass fraction of the ion exchange resin, the static adsorption capacitance of BSA increases, and when the resin mass fraction is 24% the adsorption performance and mechanical intensity are good.

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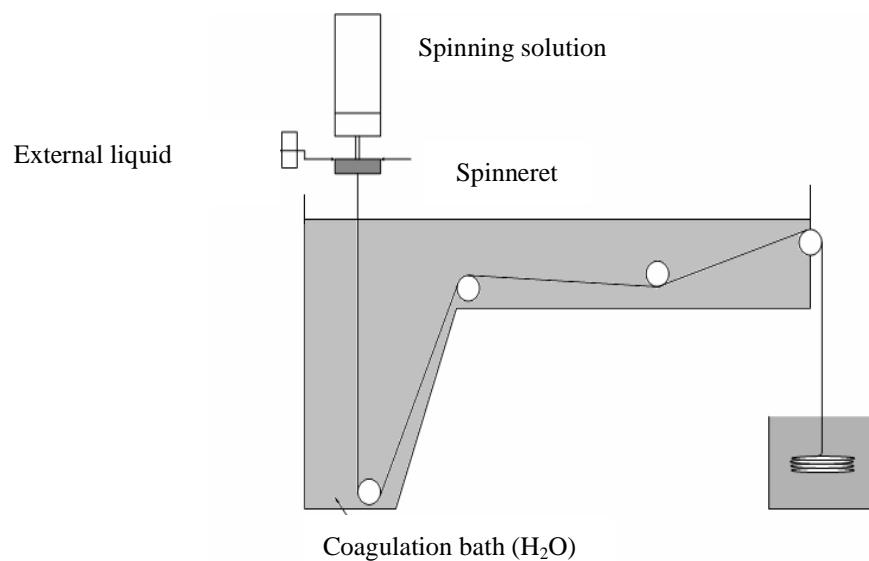


Figure 1. Preparation Equipment of Resin-filled EVAL Hollow Fiber Membrane by Dry-wet Method

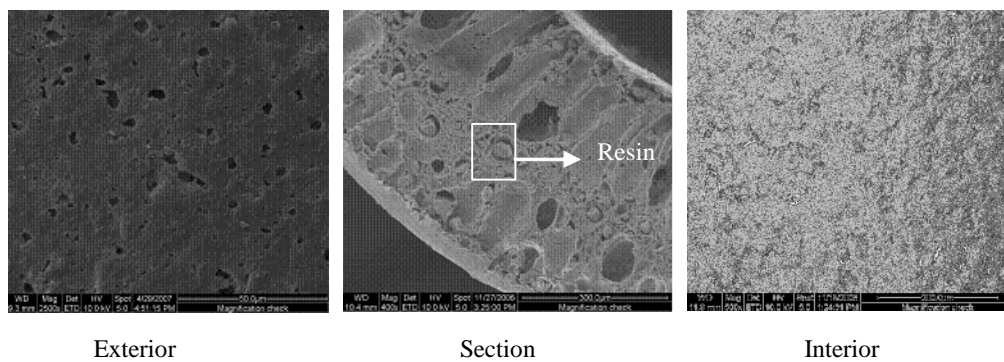


Figure 2. SEM Photo of Resin-filled EVAL Hollow Fiber Membrane Configuration

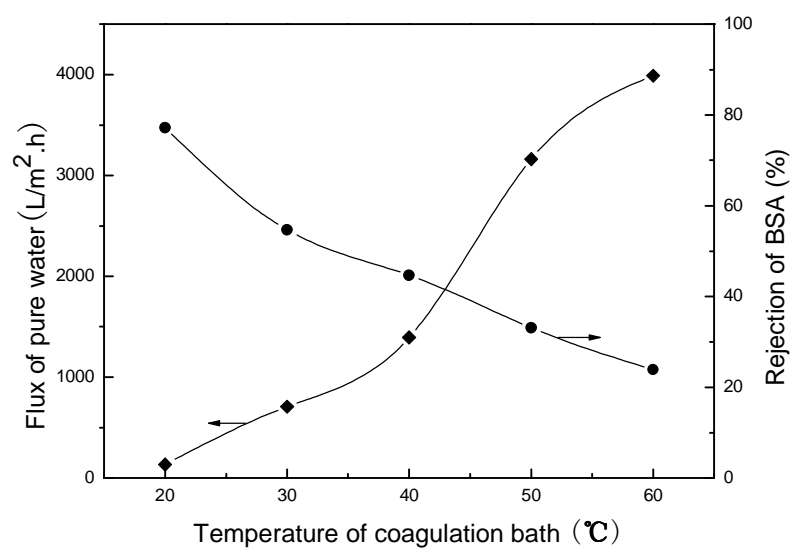


Figure 3. Influence of Coagulation Bath to Pure Water Flux and BSA Rejection Rate

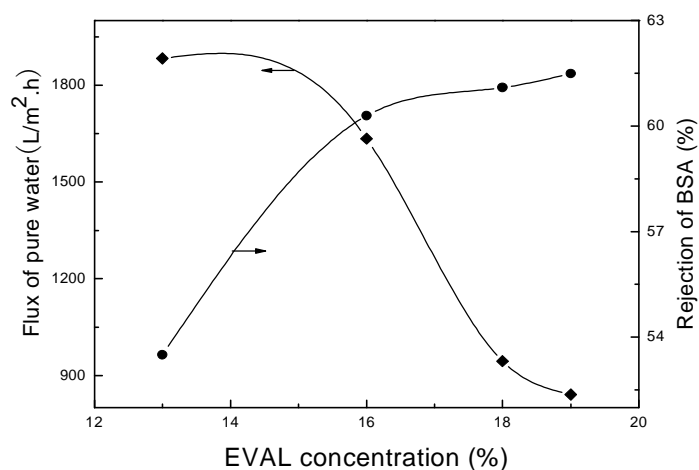


Figure 4. Influence of EVAL Mass Fraction to Pure Water Flux and BSA Rejection Rate

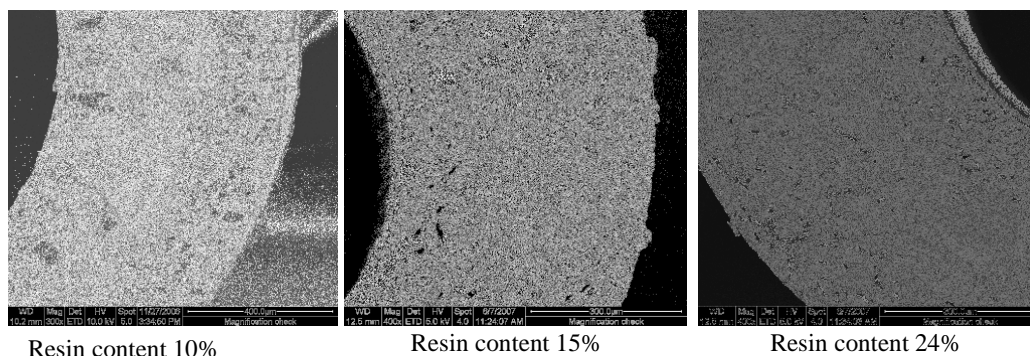


Figure 5. Section SEM Photo of Resin-filled EVAL Hollow Fiber Membrane with Different Mass Fractions

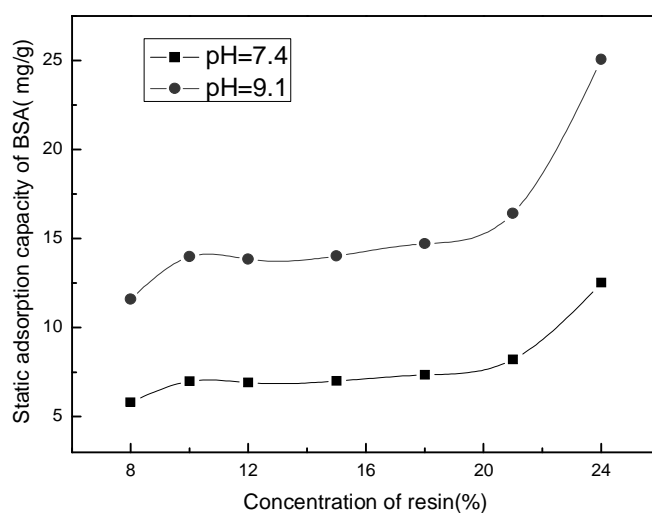


Figure 6. Influence of Resin Mass Fraction to BSA Static Adsorption Capacitance



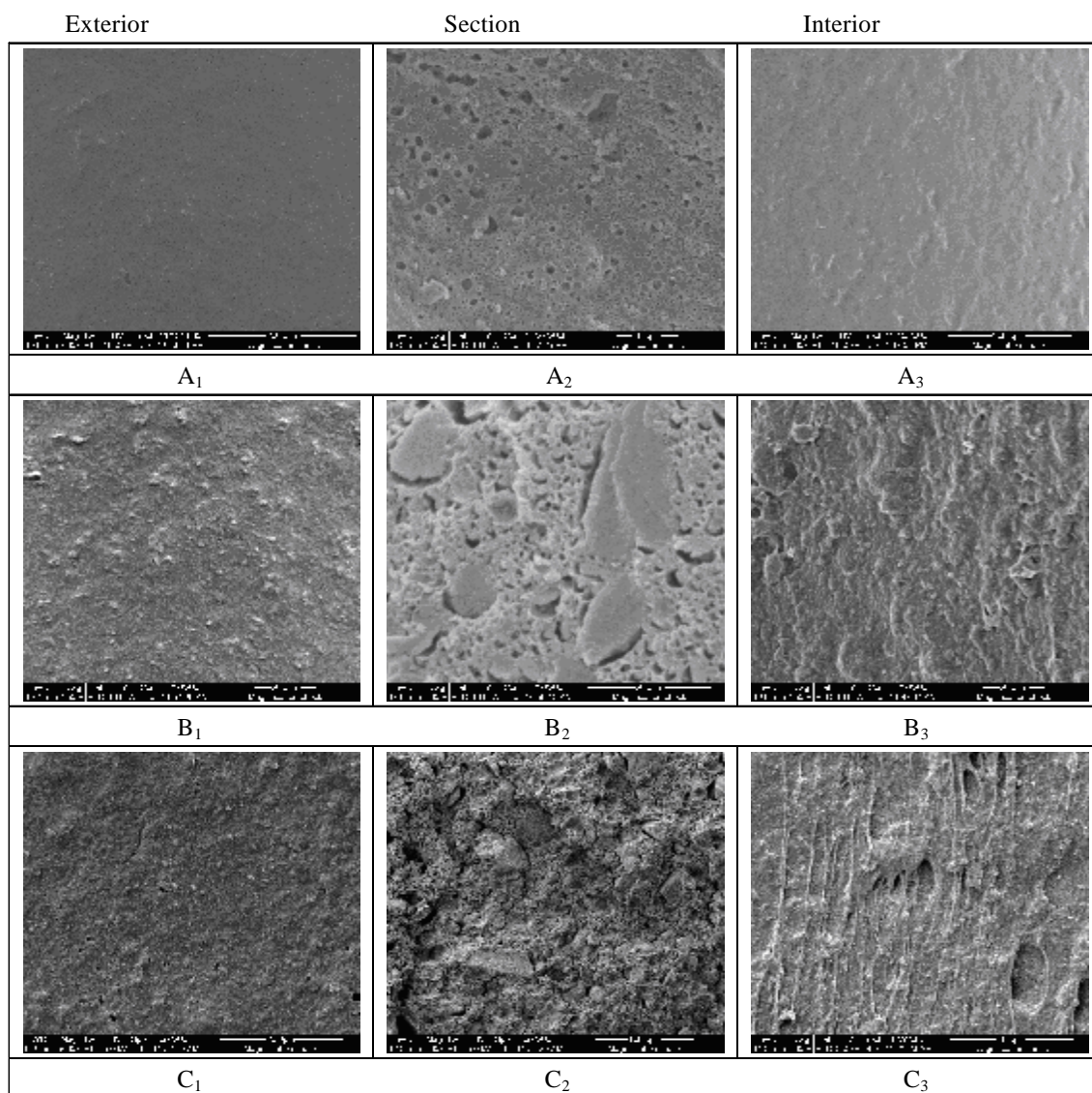


Figure 7. SEM Photo of Resin-filled EVAL Hollow Fiber Membrane with Different Mass Fractions (Mass fraction of EVAL is 18%. In  $A_1 \sim A_3$ , the mass fraction of resin is 10%. In  $B_1 \sim B_3$ , the mass fraction of resin is 18%. In  $C_1 \sim C_3$ , the mass fraction of resin is 24%.)

Table 1. Rejection performance of resin-filled EVAL hollow fiber membrane with different resin mass fractions

D301R/EVAL	10/18	12/18	15/18	18/18	21/18
Rejection Rate (%)	5.6	13	18	43	55



## Research of Quality Improvement and Quality Innovation Based on Knowledge Fermenting Model

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### Abstract

Quality improvement and quality innovation are the important approach to enhance competitive force for enterprises. Quality improvement is a process of knowledge innovation in nature which must be actualized by organizational learning. This article starts from the relations among quality improvement, quality innovation and the ability of organizational learning, analyzes the knowledge moving rule in the interior process of quality improvement and innovation and expatiates on the creation, development and diffusion mechanisms of quality knowledge in the quality flow based on the knowledge fermenting theory of organizational learning. Furthermore, taking the quality control (QC) group as an example, this article analyzes the behaviors and functions of nuclear factors such as quality knowledge sourdough, quality knowledge matrix and quality knowledge enzyme in the process of quality knowledge fermenting, and these nuclear factors function mutually in the quality knowledge fermenting bar. This article also puts forward five types of quality knowledge fermentation and analyzes their characters respectively, points out the implementation of knowledge fermentation possesses meanings to enhance the level of quality improvement and quality innovation for enterprises.

**Keywords:** Quality innovation, Quality improvement, Knowledge fermenting, Organizational learning

### 1. Introduction

With the globalization of economy, quality information increases explosively and quality innovation emerges in endlessly. More innovations in modern large-size enterprises are from the intersection and integration of multiple knowledge and mutual endeavors of organizational members, which can not be provided by individual's ability, but needs the innovative abilities of organization ore colony, i.e. the ability of organizational learning. As viewed from this meaning, the quality improvement and quality innovation of enterprise are the process of knowledge innovation in essential. The ability of knowledge innovation is decided by the organizational learning ability, so to realize continual quality improvement and innovation of enterprises through organizational learning has become the important task in the domain of quality management and knowledge management.

Under this background, in this article we analyze the knowledge attributes in the process of quality improvement and quality innovation and study the mechanism of creation, development and diffusion of quality knowledge in the quality flow. Taking QC group as an example, we also research the types (including assimilation fermentation, adaptive fermentation, encounter fermentation, evolution fermentation and reforger fermentation) and characters of quality knowledge fermentation and point out the meanings of the implementation of knowledge fermentation for enhancing the level of enterprise quality improvement and quality innovation.

### 2. Relations among quality improvement, quality innovation and organizational learning

In fact, for the relation of quality and organizational learning, quality management master Deming had sparkplugged that it is a learning cycle through changing the step "check" in the cycle of PDCA (plan, do, check, act) put forward by him to "study" as early as in 1986. Another quality expert Juran emphasized that "TQM is a sort of learning movement which makes people want to study and development". Japanese quality master Ishikawa put forward the usage of quality cycle in the process of improving performance. The base of quality cycle is based on the following principles: unconstraint, self development, everyone participation, mutual development and durative. To work together for the team must base on the freewill sharing of durative latent knowledge. Ron Dvir analyzed the futures of quality management team and knowledge management, made these two aspects to affiliate, and proved that both sides had mutual stimulative relation from demonstration and reasoning again.

Quality management is correlative with knowledge management and organizational learning, which can be seen from the definitions of organizational learning and quality management. The organizational learning is defined as the process of enhancing improvement through better cognitions, which is very similar with the target and process of quality improvement. Another definition of organizational learning is the process of checkout and correction. Though these relations exist



between organizational learning and quality management, but there are few researches for the integration of them. Maric C., Ferguson Amores et al put forward the hypothesis that complete quality management and learning organization not only can not be dissevered, but also can complemented each other, compared both characters through literature summarization and case analysis, and validated in which aspects they can complemented each other. QC group is an important organizational conformation in quality management, some scholars has realized the importance of organizational learning in QC group. Qu, Kunru pointed out the creation and development of QC group was a process syncretizing western and eastern cultures and continually implementing quality management and knowledge innovation, and to follow this process self-consciously must promote the knowledge innovation of enterprise. Liuyu used MIT professor Peter M. Senge's five strategies to discuss establishing innovative QC group and making it possess the ability keeping innovation from five aspects such as fostering innovative character, activating innovative motivation, building innovative team, inspiring creativity of colony and training innovative thinking. Long, Yuzhen pointed out that the establishment of learning team can fully promote the sustainable developments of QC group, and discussed how learning organization utilizes the sustainable innovation, high efficient operation and efficient communication of QC group from the characters of learning team. With regard to learning methods, Liu, Zhaoyan deeply analyzed the application of learning organization in quality management from two aspects including "single-loop learning, double-loop learning" and "study how to study, study map" on the 1<sup>st</sup> Proseminar of Learning Organizational theory leading and Application Tendency.

From above researches, we can see that foreign and domestic academe and enterprise industry have begun to attempt affiliating quality management with organizational learning. However the results are limited. Though they emphasized the important functions of organizational learning in quality management, but there are few systematic researches how relative theories of organizational learning improve the development of quality management. The domain of quality management has not definitely and self-consciously adopted the theory view of organizational learning to study quality management, which is first embodied in that the present popular quality standard system has not taken organizational learning as the golden rules and method of quality management, and in practice large numbers of quality operators also lack the concept of organizational learning. Under this situation, the principle of organizational management contained in the standard and frame of quality management can not be easily and truly understood and implemented.

To explain the mechanism of the creation, development and diffusion of quality knowledge in quality flow by means of introducing knowledge fermenting model also offers clear approach for quality improvement and quality innovation.

### **3. Knowledge fermenting model of organizational learning**

- (1) The development of organizational knowledge is always from a sort of "initial knowledge" which is also called knowledge sourdough.
- (2) The development of knowledge depends on "knowledge matrix" with definite quality. The knowledge matrix includes three aspects. The first one is active (reactive) knowledge, i.e. people who participate in the process of the research from knowledge innovation to knowledge fermentation. They can continually complement knowledge "nutrient" to initial knowledge through mutual communication. The second one is the passive knowledge which includes knowledge and information such as file, data and audio-video data obtained from various channels. This knowledge needs be selected and exerted by people, and it also can offer knowledge "nutrient" for knowledge fermentation. The third one is the knowledge enzyme, which can assimilate knowledge boundaries, promote knowledge integration, evolvement and communication.
- (3) The knowledge fermenting bar is a place which can implement knowledge "colony affinity". In knowledge fermenting bar, under the "nourishing" of knowledge matrix and materials of knowledge and information, the initial knowledge can obtain developments and multiplying through the catalysis of knowledge enzyme.
- (4) The environment means rich and colorful objective phenomena and things. It can offer various resources of knowledge, information and intelligence including various contradictions people face and practical checkup standards of knowledge. The intelligence resource and knowledge information resources are obtained from environment.
- (5) Knowledge and information tools (such as IT and communication technology) help people to acquire various knowledge in initial knowledge and knowledge matrix.
- (6) The results of knowledge fermentation are continual increase of organizational knowledge. The level of knowledge environment and knowledge technology will influence the efficiency and effects of knowledge fermentation.

### **4. Analysis of quality improvement and quality innovation based on knowledge fermenting model**

From the knowledge fermenting model, we can see that the knowledge fermentation mainly emphasizes several factors influencing knowledge increase such as "knowledge sourdough", "knowledge matrix", "knowledge enzyme in knowledge matrix" and "knowledge fermenting bar". Taking QC group as an example, we will expatiate on the functions of QC group in organizational learning.

#### **4.1 Quality knowledge sourdough**

How enterprises put forward exact requirement of quality improvement and quality innovation is the start that quality improvement and quality innovation can be created, and the process to find out this requirement can be called the process to find out knowledge sourdough. To timely and efficiently find this quality knowledge sourdough is the sign whether QC

group possesses thinking energy. Traditional QC group usually has fixed members who apply themselves to find problems in work and solve them together. If QC group can not systemically try to find new quality originalities and quality targets, it will induce disappearance of new ideas and new thinking methods, and finally go to deathly stillness. Therefore, in the developmental process, QC group should solve the problem of the origin and creation of “quality knowledge sourdough”, which include continual update of knowledge body, mechanism that new people participate and opening work methods. There are several channels can help QC group obtain quality knowledge sourdough.

- (1) Problem sourdough. It means the problems occurring in the movement of organizational quality management.
- (2) Standard sourdough. It means the quality management methods in excellent organizations.
- (3) Exterior brain sourdough. It means the diagnoses that quality experts or exterior quality auditor made to organizational quality management.
- (4) Inspiration sourdough. It means new ideas and new originalities in the movement of organizational quality management.

These quality knowledge sourdoughs are not produced without foundations, which are closely relative with organizational movements. The evocators of quality knowledge sourdough mainly include organizing routine quality movements, the quality movement evocated by special problems and the quality movement taking development as its aim. To organize routine quality movement means the originalities produced because of factors such as organizing quality management system, working habits, and seasonal knowledge convening process, such as seasonal quality group movement, quality meeting and so on. The quality movement evocated by special problems is that the organization adopts actions to solve certain quality problems, for example, the organization solves the quality problem produced by consumer's opinions. The quality movement taking development as its aim is that the organization implements quality movement in order to further enhance quality, such as pursuing 6 $\sigma$ , realizing zero deficiency and so on. Except for above evocators, all supplier, consumer, competitor, cooperator of the organization are the indirect factors to evocate quality knowledge sourdough. The organization should establish proper quality development strategy to create better environment for the creation of quality knowledge sourdough.

#### *4.2 Identification and improvement of quality knowledge matrix*

Aiming at the tasks or requirements of quality improvement, how can QC group realize the object of quality innovation? Obviously, random constituent QC group unnecessarily possesses the innovative ability. The buildup of QC group is the key of quality innovation. The concept of knowledge body is to put forward a sort of specific guideline and require group members' knowledge composing and learning ability can fulfill the requirements to capture quality difficulty. In the process of solving problems, traditional QC group doesn't consider whether group members possess the ability to solving problems, which restricts the functions of QC group. Therefore, QC group can improve the matrix of quality knowledge through many methods such as training, expert guidance and opening composing mechanism.

The matrix of quality knowledge includes active quality knowledge matrix, passive quality knowledge matrix and quality knowledge enzyme (because of the importance of quality knowledge enzyme, we will discuss it alone). The active quality knowledge matrix mainly means experts, scholars and personnel with quality knowledge in the organization, and they actively participate in quality improvement and quality innovation, communicate each other and continually complement and perfect initial knowledge by means of their own quality knowledge. The passive quality knowledge matrix mainly means the technical materials and experiment facilities about quality management in the organization. Quality knowledge matrix is the main body of quality knowledge fermentation which can directly influence the effects of quality knowledge fermentation. Therefore, the organization should try to improve quality knowledge matrix, and enhance efficiency and effects of quality knowledge fermentation. The improvement of active quality knowledge matrix can adopt many methods such as quality training, quality knowledge communication, talk and discussion, quality management consultation, and the introduction of quality talents. The improvement of passive quality knowledge matrix can be actualized by establishing quality knowledge repository.

#### *4.3 Quality knowledge enzyme*

Though having experts with different professional knowledge backgrounds and from different departments, but how to cooperate is another key to complete quality innovation aim for QC group. As learning QC group, it must need flat organizational structure which can be full of democratic spirits, fully mobilize everyone's enthusiasm, so we can consider developing people with authority, ability, objectivity and backgrounds of management and psychology as the cadreman of organizational learning who can exert functions of elicitation, inducement and promoting to realize quality innovation. From past abortive lessons of QC group, some enterprises only externally studied other successful QC group, and they didn't mobilize QC members' enthusiasm and creativity, so QC group was only stay on the form.

In organizational learning, the factor which has functions of organization, agency, harmony, communication and promoting is called knowledge enzyme. To promote quality knowledge fermentation, the organization should especially emphasize the cooperation and catalysis of quality managers, because the quality managers are the constitutor and executor of quality system and quality culture, the organizer of organizational quality movement and they can connect quality knowledge and their carriers in different spaces and different sorts, so the quality managers are the main form of quality learning (knowledge)

enzyme who have functions of agency and bridge. Outstanding quality managers in enterprise are the key to acquire successes for quality innovation. When many enterprises implement standards such as ISO9000 or HSE, they usually adopt outsourcing to solve the establishment problem of quality system, which is the classic example to enhance quality level through enhancing learning enzyme. The quality managers of the organization should realize their core function in catalyzing quality improvement and quality innovation, and promote quality innovation of enterprise through enhancing their own “livingness”. Their functions include layout of quality strategy (long term layout, middle term layout, short term layout), organizing movements of quality knowledge value chain (quality knowledge acquiring, quality knowledge sharing, quality knowledge innovation, quality knowledge protection, quality knowledge application), establishing quality system and rules and supervising implementation, proper promoting (promoting rules, locale promoting), evaluation and improvement, and quality culture construction (such as cultures making for quality knowledge sharing and innovation).

#### 4.4 Quality knowledge fermenting bar

The quality knowledge fermenting bar means the place or mechanism that various factors in or out organization are gathered to implement “colony affinity” of quality knowledge. Except for personnel and knowledge, out of question, QC group also needs the support of material conditions such as flat organizational structure making for communication, base establishment and environment convenient for team communication, democratic decision-making mechanism, resource distribution, leaders’ support and emphasis. The gathering of these resources offers the place and opportunity to communicate for group members. Under different conditions, knowledge fermenting members have different aims and gatherings and form different fermenting forms.

(1) Assimilation fermentation. In the process when QC group extends experience and knowledge, the knowledge receivers need combine exterior experiences with their original knowledge and experience, chew and understand knowledge and achieve right operation to knowledge, which is assimilation fermentation. The introduction of learning organization and large numbers of relative researches fully indicate the important function of assimilation fermentation.

(2) Adaptive fermentation. QC group cannot simply and mechanically apply quality management experiences of other enterprises. It must establish an implementation standard combining the principle of quality management system and the practice of enterprise to realize the increase function of ISO9000 for enterprise. When knowledge is used in practice and the application object of knowledge changes, the adaptive evolutions are usually needed and take effect, which indicates the knowledge fermenting function has high adaptability.

(3) Encounter fermentation. QC group centralizes experts’ (supplier and consumer) knowledge of many subjects in the interior or exterior of enterprise to dig tackling tasks or problems faced by enterprise and produce new plan, new method and new knowledge, which is encounter fermentation. The encounter fermentation includes assimilation fermentation and adaptive fermentation.

(4) Evolvement fermentation. Just as sourdoughs will selecting the superior and eliminating the inferior with the change of environment, knowledge is evolving continually, and people can not isolate us on the experiences, technology and methods that we have already had for ever. If the implementation of ISO9000 is a sort of adaptive fermentation, so the update of ISO9000 such as from edition 94 to edition 2000 is a sort of process of evolvement fermentation.

(5) Reforger fermentation. The fermenting process can produce bran-new species and matters. Some essential innovations of QC group are also a sort of result of knowledge fermentation, for example, the introduction of ISO9000 belongs to innovative fermentation, though before it is introduced, the practice and theory researches has acquired quiet great developments about the establishment of quality system, but as a sort of new management technology and authentication system, its establishment realizes qualitative flight.

Table 1 is the character comparison of traditional QC group and the QC group with organizational learning, and it embodies the function of organizational learning to QC group. From analysis in the table we can see that the QC group after organizational learning has stronger innovative ability and is fitter for the competition with rapid changes.

## 5. Conclusions

Starting from the development rule of quality management, in this article we demonstrate the theory that the further development of quality management needs deep research of organizational learning. Based on past researches on the relation of quality management and organizational learning, we point out these researches were still staying on the stage emphasizing the importance of organizational learning to quality management. This article introduces the convening-fermenting model of organizational learning into quality management, takes QC group as an example, detailedly analyzes the existence forms of quality knowledge sourdough, quality knowledge matrix, quality knowledge enzyme and quality knowledge fermenting bar and their function mechanism to quality management, compares the character differences between traditional QC group and the QC group with organizational learning, offers references for further development of quality management.

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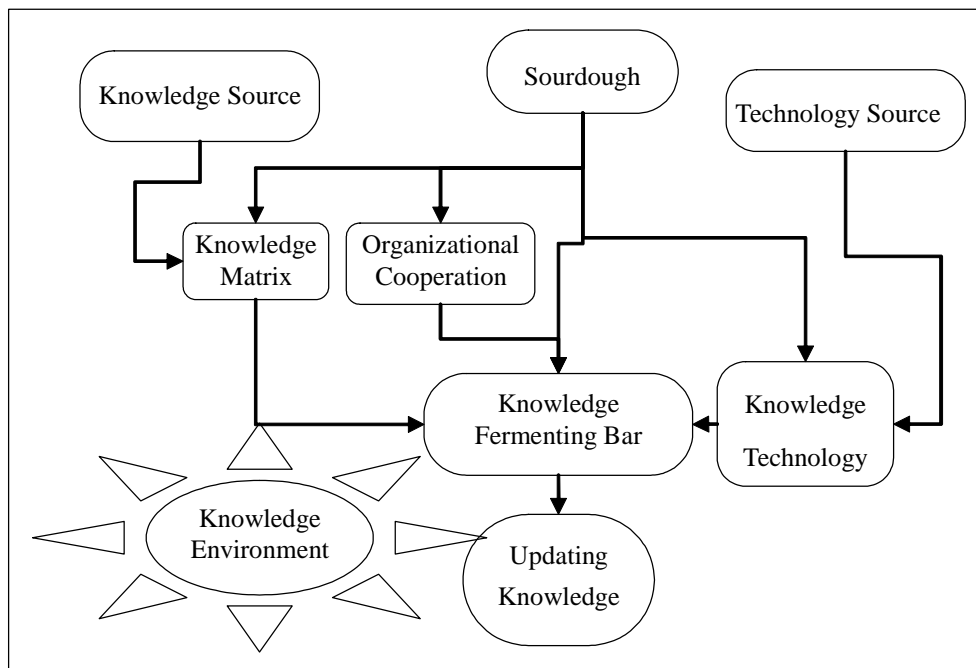


Figure 1. Knowledge Fermenting Model

Table 1. Characteristic comparison between traditional QC group and QC group with organizational learning

System	Subsystem	Traditional QC group	QC group with organizational learning
Time	Existent time	Middle/ Long term	Long term
Culture	Aim	Problem oriented	Ability oriented
	Value view	Development of enterprise	Mutual development of personnel and enterprise
	Will	Quality oriented	Extensive, not only limited in quality domain
Sourdough	Source	Mainly inner	Introducing new exterior ideas often
Knowledge matrix	Maturity	uncertainty	Maturity
	Knowledge needed	Professional quality knowledge	Comprehensive knowledge
Knowledge enzyme	Action mode	authoritative	Cooperative and communicational
	Mode of organizational cooperation	Organic, professional	Organic, incompact (based on mutual trust)
Knowledge bar	Boundary	Yes	No
	Learning method	Single-loop learning	Double-loop learning
	Layer	Static, more efficient on the operation layer	Dynamic, more efficient on the strategic and tactic layers
Ability	Quality guarantee ability	High	High



## Mobile Government: New Model for E-government in China

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### Abstract

This article examines the feasibility of spreading “e” of e-government from solely web-based technology to mobile field after reviewing the development of e-government in China and the present situation of China. Through comparing with traditional web-based e-government and analyzing experiences in both western country and China, a new model GMCC (Government Management & Call Center) is put forward as an assistant construction of m-government.

**Keywords:** M-government, E-government, GMCC, China

### 1. Introduction

There is not any universally accepted definition of the e-government concept (Halchin, 2004, pp. 406-419., p. 407), thus there are different comprehension of electronic. In the definition of e-government by Means, “e” refers to “electronic means” (Means and Schneider, 2000, pp.121); In UN & ASPA, 2002, “e” was defined as “Internet and the World-Wide-Web”(2002); and P.T. Jaeger took it as including using other ICTs in addition to the Internet and the Web, such as database, networking, discussion support, multimedia, automation, tracking and tracing, and personal identification technologies (Jaeger, 2003, pp.323-331). In this article, we intentionally take electronic of e-government in a broader way as the integration of Internet, telephones, and call centers.

E-government since its debut has evolved four stages in China (He & Yang, 2006, pp.37):

Initial stage: Office Automation Project (OA). Central and regional governments started to apply OA in mid 80s, 20th century. Government agencies began to use computers for office work and few information centers were established during this period.

Application stage: Informationization of Government Affairs. This period started from the 1990s when the “Tri-Golden Project”-abbreviation for the Golden Bridge, Golden Gateway and Golden Card project-was first sketched to be carried out by the central government aiming at a systematic informationization of government affairs. The main points are laid on infrastructure construction for informationization and for data and other information exchange for strategically departments.

Developing stage: Government Connection Project(GCP). E-government in late 1990s entered its time of prosperity due to continuous development of net-building technologies and information infrastructure. Early in the year 1998, the first network for information sharing was established in Shenzhen (Liu, Xu and Nie, 2005). In 1999 information centers in more than 40 ministries (departments, agencies) advanced a proposal of the establishment of the “Government Connection Project”, which paved the way for further development of e-government.

E-government in China is now in the forth stage which could be termed as e-government Project. The passage of “e-government Construction Guidelines in China” on July.3rd by National Informationization Group is a milestone which signals a new step for balanced overall planning and progress of e-government in China.

As Mobile telecommunication tools have always been featuring their affordable prices with strong capabilities of interaction and a user specific service system which all come down to one point of creating a user friendly interface, it's of highly possible for a publication of electronic government administration oriented towards the public on the premise of a *well-defined information system from the aspect of mobile telecommunication*.

### 2. Construction of m-government

#### 2.1 basis of m-government realization

Considering the present situation of China, there are two primary factors that make m-government application feasible:

##### 2.1.1 Popularization of mobile phones

Until the time of Dec. 2006, there are up to 137 million netizens and more than 450 million people are enjoying the benefits of Mobil phones nationwide, which is about 3.2846 times the number of the internet users in this country (2007). In other



reports, 93% city dwellers and an average of 33.9% of the whole population are entitled to cell phone services (Song, 2006), among whom 17 million access to the Internet through handset and are accounting for a roughly 12.4 percent of whole netizens (2007). From these statistics, we could see the popularization of mobile infrastructure in China and diversity current of Internet access based on combination of non-stationary and Internet-based terminals.

#### 2.1.2 Maturity of mobile telecommunication technology

The amalgamation of mobile telecommunication and mobile computing accelerate the development of mobile technology. Mobile interaction resulting from the application and development of mobile technology makes Ubiquitous Computing and Anytime & Anywhere connection no more a theory and meanwhile provides a solution for real-time information exchange.

There are mainly 4 types of mobile technologies (Song, 2006):

- (1) Radio-based two-way telecommunication (for exclusive or public use) and broadcasting.
- (2) Mobile phonic service based on beehive phone, Short Message Service (SMS), Multi-media Messaging Service (MMS) and the Wireless Application Protocol (WAP), General Packet Radio Service (GPRS) and the 3rd Generation (3G).
- (3) Services on the availability of mobile apparatus, including laptop, Personal Digital Assistant (PDA), beeper, Radio Frequency Identification (RFID) and Global Positioning System (GPS).
- (4) Wireless network like WIFI, WIMAX, bluetooth, etc.

### 2.2 Contents of m-government

#### 2.2.1 Services based on message

Short message is the representative. It resembles e-mail on Internet, which acquires low technology while realizes broadest application. Most e-government digital services sprouted on early stage belong to this category, such as message bulletin (water pollution report in Harbin); inward short message (meeting announcement by sending messages in Guang Dong government department); outward short message (feedbacks to customs of its transaction application) (April 4, 2007). Information flowing direction of services based on message transferring could be either unidirectional or di-directional. Government can send messages to all mobile users in an area without knowing exact numbers and mobile users can acquire or customize information by sending message without entangling in complex government organization.

#### 2.2.2 Services based on Anywhere Internet Access (AIA)

Anywhere Internet Access (AIA) means the combined application of GPRS, CDMA and the future 3G data transferring technology (Song & Li, 2006). Some typical examples are the city supervising system in Beijing and other regional systems of police resource management. AIA technology is also useful on occasions of distant data acquisitions in environment protection departments, security agencies and dangerous material surveillance department. And WAP, this type of public service should be included since netizens are also given access to government information via GPRS, CDMA which embodies the same function of other e-government applications. These WAP sites have been built by the Hong Kong Special Administrative Region Government and some mainland governing structures.

#### 2.2.3 Interactive voice response system (IVRS)

Interactive Voice Response Systems (IVRS) are extensively used for common and structured transactions such as information about ticket reservation, knowing the bank balance, authorizing a transaction, knowing the position of an application or complaint, and authentication of user for secured transactions (Awdhesh and Rajendra, 2007). For example, the Indian Railways provide instant information regarding the confirmation of the tickets, positions of the train by its IVRS (April 4, 2007) query system.

### 2.3 Government assistant: Government Management & Call Center

In July 2005, Song Gang brought forward "setting up two centers". The one is Supervision Center, which is an independent entity with recruited mobile supervisors and operate a call center as well. The other is Command Center, whose function of coordination is reinforced based in District Integrated Municipal Administration Commission. Responsibility of Mobile Supervisors are as follows: Report to and receive orders from the supervision center; Responsible to inspect and confirm problems in relevant cells; Constant connection to the supervision center through the mobile handset; Position and working status monitored by the supervision center (Song, 2005).

Awdhesh K. Singh and Rajendra Sahu established GCC model (Awdhesh and Rajendra, 2007). Since the interaction of citizens with government is limited and formal, as well as the information overload on Internet, it is much easier for citizens to get information by dialing the Government Call Centers (GCC) and choosing from the standard menu options. Queries can be registered with the GCC and the replies can be sent to the user through SMS, voice mail, or by post. It thus realizes the one-stop service.

In sum, the "two center" model is to realize the supervision of operation process of Mobile government while GCC aims to complement the web-based government information system and provide convenience services for citizen. I conceive to

combine these two models as a new GMCC (Government Management & Call Center) model. The figures of only web-based e-government service delivery model(Figure 1) and mobile government combining with GMCC Service Delivery model(Figure 2) are below:

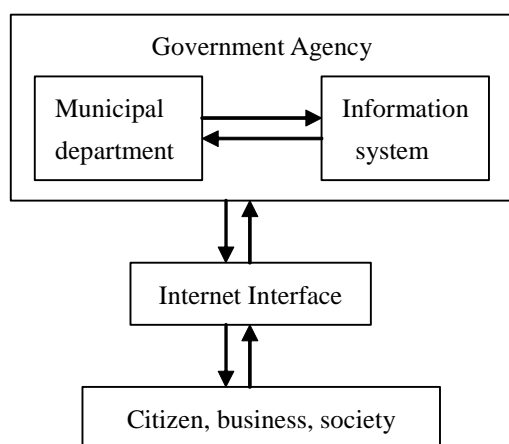


Figure 1. Only web-based e-government service delivery model

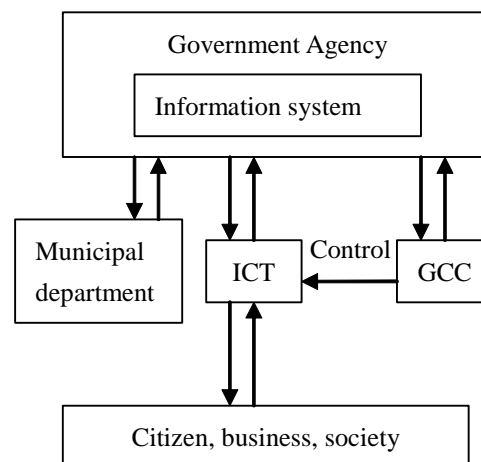


Figure 2. Mobile Government Combining With GMCC Service delivery

### 3. Advantages and disadvantages between models based on network and mobile technology

Firstly, secrecy protections in mobile terminals which have been evolved thorough innovations are comparatively securer than personal computers. But top secrets or extremely important documents are not suggested to be included in wireless transference or processing (Mete, 2007). Secondly, feasibility of large scale documents' one-time transference is not as good as personal computers given the limitations on available storage and processing capability of mobile terminals. However, it could fulfill the requirements of real-time interaction and be handy in relatively urgent situations of immediate approvals or disapprovals, instant feedbacks, etc. Thus, transaction based on mobile technology could be bettered applied on B2C and other daily or ordinary information provision. While technology such as telephone and Internet can enable virtual interaction and build up networks of nodes to transcend the limitation of boundary, the convergence of mobile information and communication technology further enables fluid coordination of work across space and time with an emphasis on 'being local' to provide highly personalized, localized, context aware services to local citizens, thus bridge the virtual and the physical. A shift from Internet based e-government to m-government with a resulting growth in the fluidity of mobile interactions has been showed below: (See Table 1)

Table 1. Social Topology, ICT and Government Service Delivery Model (Song, 2007)

Social Typology	Region	Network	Fluidity
Characteristics	Boundary	Relation	Variation & transformation
Typical ICT Application	Mainframe, local network, (and Pre-ICT)	Telephone, Internet, e-mail end user computing	Mobile phone, PDA, Other convergence technology, Mobile computing or Ubiquitous computing
Interaction	Physical and collocated	Virtual	Virtual and Physical
Government Service Delivery	Hierarchy	Internet Based E-government service	Mobile Government

### 4. Specific Examples of mobile-government in City of Foshan, China

During the session of CPPCC(The Chinese People's Political Consultative Conference) and NPC (National People's Congress) of Foshan in Jan. 2007, China Mobile's branch in the city specially designed a series of services including "the Two Sessions Special Area", "Quick E-Access to the Two Sessions" and "Lecture of the Two Sessions" according to the requirements of communication stability and service. At the same time, booklets on how to use mobile service for the meetings are distributed among deputies and committee members. Other information about weather, traffic situations is available to delegates for the successful operation of the meetings through SMS.

A Vehicle Violation and Informing System was invoked in the cooperation between the branch of China Mobile in Foshan and Chancheng's municipal law enforcement department. The project was initiated on May 1st, 2006. It is designed that



violated parking in restricted areas will be first informed to the driver by short messages asking for a immediate leave before unexpected fines are demanded from the law enforcement department if a so-called "Driver Secretary" service has been subscribed. Since the introduction of the system, traffic management has been improving with better performance of the law enforcement department as we can see.

In police management systems, mobile-government platform enables citizens to inform the police of emergency via short messages when a phone call was not possible. Fire fighters with the help of this system could get more information about accurate positioning and human resource management thus enhancing their abilities in dealing with more severe and dangerous as well as difficult to handle accidents. The completion of Mobile Management on Power Load, which is capable of distant meter-reading and supervising, makes it feasible to mitigate power shortage by way of wireless instruction and live management.

For the time being, more than 20 government departments (among them are taxation bureau, weather station, agriculture administration and land supervising agency, etc) in Foshan have short message service for citizens about personal certificates approving progress.

## 5. Conclusion

China, as the globally largest consumer of mobile telecommunication, will embrace a new information era featured by wireless internet access via mobile terminals, as this trend towards 3G continues. The popularization of e-government in China on present stage could be achieved by expanding comparatively mature mobile technology. In addition, GMCC established by government could burden functions both on surveilling operations of mobile information service and providing information assistant based on phone.

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## Antibacterial Activated Carbon Fibers

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#### Abstract

A new type of antibacterial and absorbent acrylonitrile (AN)-vinylidene chloride (VDC) copolymer fibers were used as base materials for preparing activated carbon fiber (ACF) absorbents by means of oxidation, carbonization, and activation in a continuous semi-open high temperature furnace. The PAN/VDC fibers were spun from the mixtures of AN-VDC copolymer-dimethylformamide solution and silver-impregnated activated carbon (Ag-AC) powders. The activated carbon fibers prepared by PAN/VDC fibers of various contents of Ag-AC were studied. Scanning electron microscopy was used to observe the surface morphology of the ACFs. The BET specific surface areas of the ACFs were determined from  $N_2$  adsorption isotherms; and the absorbability was measured by methylene blue (MB) absorption. The results showed that the ACFs prepared by PAN/PVDC fibers containing Ag-AC have greater favorable adsorbing ability. The silver content was greater than 0.24wt% in the ACFs, which can provide antibacterial efficacy.

**Keywords:** Activated carbon fibers, Antibacterial, Adsorption, Silver-impregnated activated carbon

#### 1. Introduction

There has been growing concern for public health and environmental safety over the last few decades. Various adsorbents have been applied to remove pollutants in water and air. Activated carbon fiber (ACF) with the highest specific surface area, proper mesopores and excellent adsorption capacity has been widely used in environmental treatment (Chen, Zeng and Lu, 1999. Chen and Zeng, 2002). The raw materials of the ACFs are polyacrylonitrile fibers, viscose, phenolic resin fibers or pitch fibers, etc (Tang, Zheng etc. 2007). They can be obtained by oxidation, carbonization and activation at a temperature of 700-1000°C in an atmosphere of steam or carbon dioxide (Donnet and Bansal, 1990). The highly adsorptive efficacy of ACF is mainly attributed to its porous structure, including the pore shape, the pore sizes and their distribution (Brasquet, C. and Le-Cloirec, 1997. Pelekani, C. and V.L., 1999). Supporting antibacterial agents, such as Ag, can not only keep the excellent adsorption capacity of the ACFs, but also endow these ACFs with antibacterial activity (Chen, Liu and Zeng, 2005). The application of ACF, however, is limited for economical reason. Increasing the recovery rate can be a solution to this obstacle.

Previous studies have shown that the metal additives can generate mesopores during activation, the metal often appear to coalesce during activation leading to a variation in pore sizes (Oya, Yoshida, Alcaniz-Monge, Linares-Solano, A. 1996. Yim, Kim, Gallego, N. and Edie, 2002. Tamai, Hisashi, Makiko, Shigeyuki and Yasuda, 1997. Lee, Basova, Edie, Dan, Laura, Steven, Seung-Kon, 2003). In this study, we introduced a new technique to produce ACFs, using PAN/PVDC fibers containing silver-impregnated activated carbon as raw material. The aim of this study was attempt to obtain ACFs that had more mesopores and higher recovery rate.

#### 2. Experimental

##### 2.1 Preparation of PAN-VDC /Ag-AC fibers

Predetermined mass of dried powders of silver impregnated activated carbon (Ag-AC; 1500 mesh) were mixed with PAN-VDC/dimethylformamide (DMF) solution containing 20wt% of polymers. The spinning solution was stirred for 3hrs at 70°C, filtered and then deaerated at 70°C for 4hrs. The spinning conditions for PAN-VDC/Ag-AC fibers are shown in Table 1.

##### 2.2 Preparation of activated carbon fiber

The PAN-PVDC/Ag-AC fibers were physical activated with wet  $N_2$  and chemical activated with  $(NH_4)_3PO_4$  and  $(NH_4)_2SO_4$  mixture respectively to prepare the activated carbon fibers. Before carbonization and activation, the samples were stabilized in muffle furnace at 250°C. The engineering process of carbonization and activation is shown in Figure 1. The samples  $F_0$ - $F_4$

had been carbonized at 850°C in flowing  $N_2$  for 30min and activated at 800°C in flowing wet  $N_2$  for 40min. The sample was then cooled in flowing dry  $N_2$ . The only difference between physical and chemical activation in this study was that the later fibers were first immersed in  $(NH_4)_3PO_4$  and  $(NH_4)_2SO_4$  mixture (4:6 wt/wt). The ACFs prepared by physical activation and chemical activation were labeled as  $AF_0$  to  $AF_4$  and P- $AF_0$  to P- $AF_4$  respectively.

### 2.3 Characterization of the fibers

UV spectrophotometer (7220, Beijing Rayleigh Analytical Instrument Corporation) was used to measure the absorbability of the fibers to methylene blue (MB)-water solution. 0.2g fibers were put in a 400ml flask; and 300ml of MB solution of various concentrations (10-50mg/l) were added. The pH of solution was in the range of 3.7-4.5.

The surface morphology of the fibers and the distribution of Ag-AC particles in the fibers were observed by a scanning electron microscope (SEM, Quanta 200).

The specific surface area ( $S_{BET}$ ) was obtained from  $N_2$  adsorption-desorption isotherms at 77K (CHEMBET-3000, Quantachrome, USA) on samples preheated at 200°C for 3h in  $N_2$ .

## 3. Results and Discussion

### 3.1 Properties of the PAN-VDC /Ag-AC fibers

The PAN-VDC fibers containing 10wt%~25wt% Ag-AC were fabricated. There is no difference in the spinning condition of these samples. The spin ability of the fibers tended to decrease gradually with increasing the content of Ag-AC in the spinning solution.

The mechanical properties of these fibers are listed in Table 2. As the content of Ag-AC increased, the fiber tensile strength decreased correspondingly. It can be concluded that the compounding of the Ag-AC in spinning solution has significantly influence on the structure of the fibers.

### 3.2 Characteristic of the ACFs

In order to prevent melting and distortion, polyacrylonitrile fibers and pitch fibers generally need to be stabilized before carbonization (Jing, Fang, and Zhang, 2001). Effects of stabilizing to the fibers are listed in Table 3. During the process of stabilizing, fibers lost weight and shrank mainly in the first half hours. The existences of Ag-AC weaken the weight lost and the length shortened. Characteristics of the ACFs are shown in Table 4. The  $S_{BET}$  and the recovery rate rise with the increase of the Ag-AC content. The existence of ammonium salt has similar effect to the ACFs when it is compared with Ag-AC. PAN-PVDC fiber began to cyclization at 250°C, meanwhile, ammonium salt decomposed and released  $NH_3$  which produced lots of mesospores in the fibers; and  $PO_4^{3-}$  reacted with fiber generated Carbon phosphate, which make C hard to release ( $CO_2$  CO) (Zhao, Zang, Ma, Li, and Sheng, 2001). So the weight lost and the lengths shortened were weakened. As silver didn't lose in the entire ACF preparation, we can obtain the Ag content of ACF by dividing Ag content of precursor into ACF's recovery rate.

### 3.3 Morphology of the ACFs

The micrographs of the ACFs are shown in Figure 2. The side surface (a) of sample  $AF_0$  is very smooth; and few holes can be found in the profile. By contrast, there are many strumae on the side surfaces of Samples  $AF_3$  and P- $AF_3$  (see c, i).

When heated the precursor fibers, the fibers began to melt and shrink, the polymer chain was destroyed to form new framework regularly. However, the presence of Ag-AC destroyed this regularity. Both Ag-AC and  $(NH_4)_3PO_4$  can produce more apertures on the ACFs (see f, d). When both of them were used on a sample, the effect will be more obvious (see j).

### 3.4 Adsorption Capacity of the ACFs

The adsorption capacities of the ACFs are presented in Figure 3. The ACF samples prepared by physical activation and chemical activation were labeled as AF and P-AF respectively. The absorbability of the ACFs tended to increase gradually with the increasing content of Ag-AC. The increase of absorbability, however, is not direct proportional to the content of Ag-AC. One of the reasons is that the Ag-AC, which has participated in absorption, mainly dispersed in the surface layer of the fiber. In addition, the Ag-AC dispersed in the core of the fibers had less influence on the absorption. The Ag-AC powders dispersed in the fibers produced a great deal of new micro-holes. 1g ACFs containing 20wt% Ag-AC absorb 48.26mg MB. By contrast, 1g ACFs without Ag-AC only absorbs 22.45mg MB. Therefore the fibers containing Ag-AC can provide greater adsorption capacity. Same as the  $S_{BET}$  results, samples P- $AF_3$  has the best absorbability of the methylene blue.

## 4. Conclusions

The ACFs based on PAN-PVDC/Ag-AC fibers were prepared. The presence of Ag-AC in precursor can greatly increase the ACFs' specific surface area, as well as its adsorbent capacity.  $(NH_4)_3PO_4$  has introduced in the ACF preparation process and its presence also increased the specific surface areas of the ACFs. The best way to prepare ACF was using PAN-PVDC/Ag-AC fibers and  $(NH_4)_3PO_4$  as precursor and activator respectively. The stabilizing should be last at least 1.5h at 250°C. The

$S_{\text{BET}}$  can be as high as  $350\text{m}^2/\text{g}$  and the silver content is  $0.246\text{wt}\%$ . The ACFs can provide antibacterial and adsorbent properties.

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Table 1. Spinning conditions of PAN-VDC/Ag-AC fibers

Spinneret Specification	0.1mm $\Phi$ ×1000
Spinning Speed	1.76m/min
Coagulation Bath I Composition	DMF:H <sub>2</sub> O(mass ratio 60:40)
Temperature	23°C
Take up Roller Speed	1.80m/min, Draw ratio $\lambda_1=1.02$
Coagulation Bath II Composition	DMF:H <sub>2</sub> O(mass ratio 15:85)
Temperature	35°C
Take up Roller Speed	3.9m/min, Draw ratio $\lambda_2=2.17$
Coagulation Bath III Composition	DMF:H <sub>2</sub> O(mass ratio 0:100)
Temperature	75°C
Take up Roller Speed	7.8m/min, Draw ratio $\lambda_3=2.00$
Total Draw ratio( $\lambda_1\lambda_2\lambda_3$ )	4.43
Heat set	Relax
Temperature	120°C
Time	30min

Table 2. Properties of the PAN-VDC /Ag-AC Fibers

Sample No	Feed content of Ag-AC /wt%	Content of Ag /wt%	Titers/ dtex	Tensile strength /cN/dtex	Elongation /%
F <sub>0</sub>	0	0	6.4	2.3	38
F <sub>1</sub>	10	0.077	6.5	1.9	26
F <sub>2</sub>	15	0.115	6.8	1.7	30
F <sub>3</sub>	20	0.154	6.4	1.6	25
F <sub>4</sub>	25	0.192	6.5	1.4	20

Table 3. Recovery rate of the fibers after heated (%)

Time heated		AF <sub>0</sub>	P-AF <sub>0</sub>	AF <sub>1</sub>	P-AF <sub>1</sub>	AF <sub>3</sub>	P-AF <sub>3</sub>
0.5h	weight	73.65 <sup>*</sup>	93.25	76.62	98.57	77.17	98.86
	length	30.0 <sup>**</sup>	66.7	53.3	78.7	60.0	86.6
1.5h	weight	70.66	89.57	74.03	94.29	76.09	97.73
	length	28.7	61.3	51.3	76.7	56.7	84.0
2.5h	weight	70.66	88.96	74.03	94.29	76.09	97.73
	length	28.7	60.7	51.3	76.7	49.3	83.3

\*weight recovery rate = (weight of fiber after 0.5h heated) / (weight of fiber before heated) × 100%;

\*\*length recovery rate = (length of fiber after 0.5h heated) / (length of fiber before heated) × 100%.

Table 4. Characteristic of the ACFs

	AF <sub>0</sub>	AF <sub>3</sub>	P-AF <sub>0</sub>	P-AF <sub>3</sub>
S <sub>BET</sub> (m <sup>2</sup> /g)	86.51	151.8	190.2	385.2
Ag content (wt%)	0	28.6	0	24.6
Recovery rate (%)	38.76	50.38	46.15	62.51

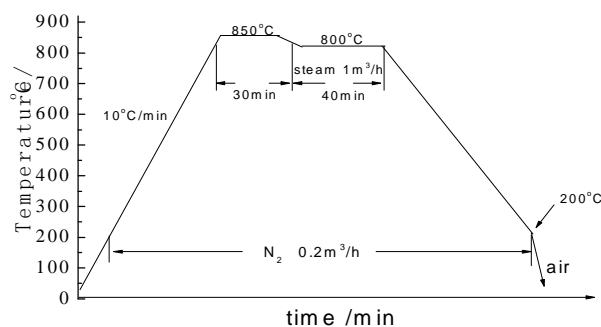
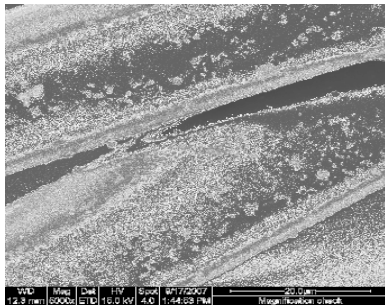
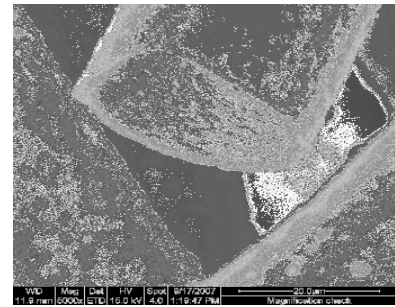


Figure 1. The engineering process of carbonization and activation

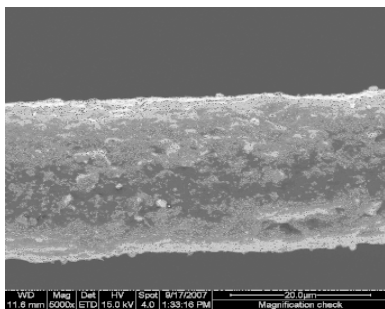




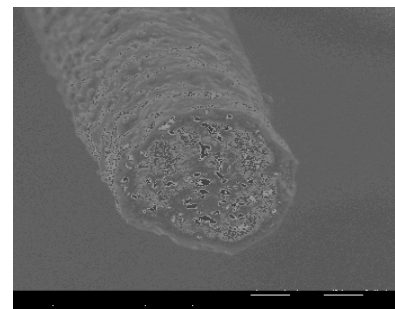
(a) Side surface of  $AF_0$



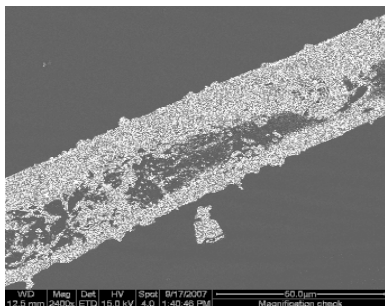
(b) Cross-section of  $AF_0$



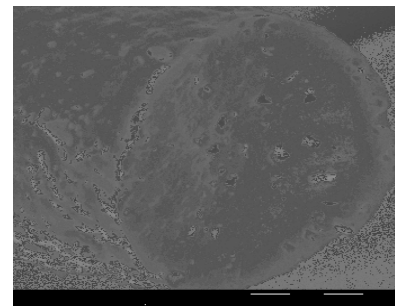
(c) Side surface of  $AF_3$



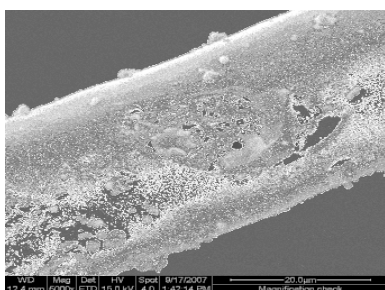
(d) Cross-section of  $AF_3$



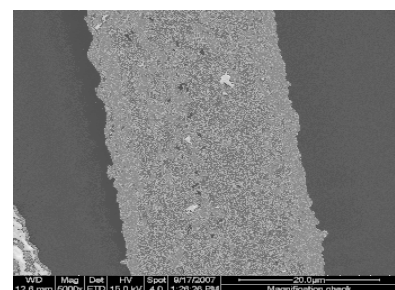
(e) Side surface of  $P-AF_0$



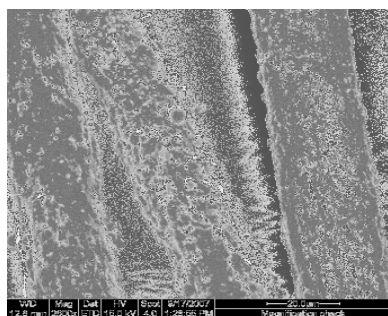
(f) Cross-section of  $P-AF_0$



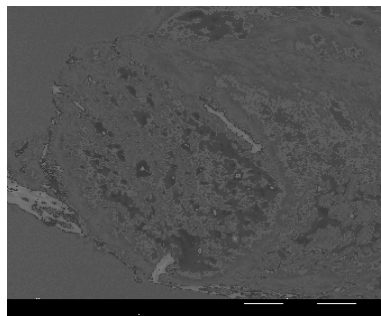
(g) Side surface of  $P-AF_0$



(h) Side surface of  $P-AF_3$



(i) Side surface of P- $AF_3$



(j) Cross-section of P- $AF_3$

Figure 2. SEM micrographs of the ACFs

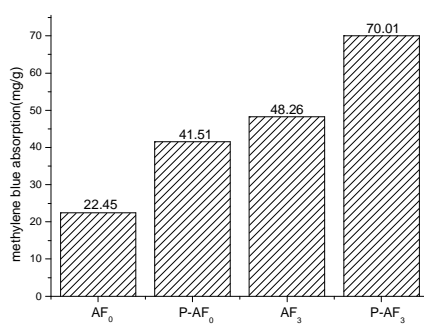


Figure 3. Maximum adsorption to MB



## Investigation on Influential Factors of Volatile Oil and Main Constituent Content from *Curcuma kzoangsiensis*

S. G. Lee C. F. Liang. In Guangxi Producing Areas

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### Abstract

To quantitatively analyze volatile oil in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. produced in different places and seasons, and to quantitatively analyze curcuminol by gas chromatographic (GC). The volatile oil was distilled by the steam distillation (XD) and the curcuminol was determined by GC on a HP-5 column (0.32 mm×30m, 0.25μm), Inlet temperature 200°C, FID 250°C, flow 1.0 ml·min<sup>-1</sup>, splitless. Temperature programming started at 60°C, holding for 4 min, then increased to 210°C at a rate of 3°C·min<sup>-1</sup>. The quantity of volatile oil in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. in different places and collecting time were detected. The contents of volatile oil and curcuminol was the highest in Binyang place. The quantity of volatile oil was the richest in January and February, and the same result was obtained for curcuminol. As a result, January and February was the best time for the collection of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang.. The contents of volatile oil and curcuminol should be taken as a standard for the evaluation of the quantity of *Curcuma phaeocaulis* val.. and the determination of its collecting time.

**Keywords:** *Curcuma kzoangsiensis* S. G. Lee C. F. Liang., Volatile oil, Curcuminol, Cultivation

Zedoary turmeric --- *Curcuma phaeocaulis* (Zingiberaceae) is the dry rhizome of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. and common turmeric which is usually called *Curcuma* Zedoary. As a perennial herb, Zedoary turmeric grows mainly in provinces such as Guangxi, Sichuan and Yunnan, etc. And its main effective fractions are root and rhizome, whose active constituents mainly include volatile oil which is the effective components of anti-tumor and curcumin which is the main effective components of blood lipid reducing, antioxidation and antibacterial (China Pharmacopoeia I 2005, p.194). Studies have been revealed that the contents of effective components in medicinal plants are controlled by the genetic gene, while it is also influenced by ecological factors and the stages of growth and development. With a long cultivation history, the genuine medicinal material of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. grows mainly in Binyang place, Luchuan place, etc. In the experiment, we extract and determine volatile oil in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected from different producing places and in different collecting time by the steam distillation (XD), and determine the contents of the Curcuminol which is the effective components in volatile oil by gas chromatography (GC) to utilizing adequately germplasm resource and standardizing quality evaluation of Zedoary turmeric, and we study the effect of ecological factor in genuine producing area on the effective parts of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. By determining the content of volatile oil, so that we can provide a scientific basis for the cultivation and harvesting and for the improvement on quality and output of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang..

### 1. Experimental material and equipments

#### 1.1 Medicinal materials

The necessary materials for investigation on different producing areas factor: *Curcuma kzoangsiensis* S. G. Lee C. F. Liang collected from seven main producing areas in Guangxi province including Luchuan, Pingnan, Hengxian, Daxin, Yining, Shangsi, and Binyang in January, 2007; the necessary materials for investigation on different collecting times factor: *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected from Guilin YongFeng traditional Chinese medicinal materials cultivation bases on 15<sup>th</sup> in each month from October, 2006 to February, 2007 (They own identical in germplasm source and cultivation conditions); hoard the above materials for reserving after go through the following processing such as ablution, slicing, solar drying, smash, and sieving with a 20 mesh sieve. Our staff room make it clear



that all the medicinal materials are *Curcuma kzoangsiensis* S. G. Lee C. F. Liang., which is zingiberaceous plant of curcumol.

### 1.2 Equipments and reagents

Agilent 6890N gas chromatography. FA1004 Electronic balance (made in ShangHai JingKe Instrument Factory); tempering heater(made in SangHang Electrophysiological Instrument Factory); a set of volatile oil tester and reflux condensing works. Curcumol reference (National Institute for the Pharmaceutical and Biology Products, Batch number: 1018520104).All the reagent used in the experiment are analysis purity.

## 2. Experimental methods and results

### 2.1 Extraction of volatile oil

Both the extraction method of volatile oil and determination of the yield of oil base on that of referred on the appendix XD of China pharmacopoeia 2005. We weight 50g medicinal materials referred above (*Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected from different producing places and in different collecting times), respectively. Then put them into a round-bottom flask ( Limitation of volume should be 1000ml),and enter 400ml water with several glass drops ,and soak for 2h after swaging to make them homogeneous. Connect the volatile oil tester and reflux condensation tube, and enter waters from the top of the condensation tube until it submerged the calibration part of the volatile oil tester and flow into the flask. Put them into the heating sleeves (heating temperature 130-140°C), warm slowly until the liquid boil slightly, then cold it naturally for 10min, open the plug on the lower end of the volatile oil tester to let the water out slowly and close it when the top of the oil reaches 5mm above the calibration 0. After having been placed for 1.5h, we should open the plug again, just close it when the top of oil reaches the calibration 0, read the volume of the volatile oil and copy it.

### 2.2 Chromatogram conditions and system suitability method (Zhu, Y. E., 2006, p.389)

HP-5 column (0.32 mm×30m, 0.25  $\mu$ m) Fused-Silica Capillary Column, Inlet temperature 200°C, FID 250°C, flow 1.0 ml·min<sup>-1</sup>, splitless. Temperature programming starts at 60°C, holding for 4 min, then make temperature reach 210°C at a rate of 3°C·min<sup>-1</sup>. In this chromatographic condition, the peak of the curcumol and that of the others' can be separated effectively, as Figure1.

### 2.3 Preparation of reference solution

Put 2.5 mg precisely weighted reference of curcumol into volumetric flask (Limitation volume 5ml), and enter ethyl acetate to make reference solution of 2000  $\mu$ g·ml<sup>-1</sup> and 400  $\mu$ g·ml<sup>-1</sup>.

### 2.4 Preparation of sample solution

Weight precisely 10g powder of the *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected from different producing places and in different collecting times, respectively, then put them into a round bottom flask (Limitation volume 500ml),enter 300ml water, the extraction method of volatile oil is same with "item 2.1".And enter ethyl acetate into the tube and extract twice,4h for each time, transfer separately the volatile oil into a measuring flask (Limitation volume10ml) with ethyl acetate , ethyl acetate reaches the calibration, swag for making them homogeneous , then samples are gotten .

### 2.5 Test of linear relationship

Take precisely 2000  $\mu$ g·ml<sup>-1</sup> solution of reference in high content 0.2, 0.4, 2, 4, 10 ml into volumetric flask of 10ml volume, enter ethyl acetate until it reaches the calibration, swag to make them homogeneous. take precise 1 $\mu$ l into the gas chromatography, ordinate the area of the peak and abscissa the content of curcumol, linear regression, get linear equations  $Y=2.09X-21.67$ ,  $r=0.9998$ , which indicates that the curcumol has a good linear relationship ranging from 40.0 $\mu$ g·ml<sup>-1</sup> to 2000  $\mu$ g·ml<sup>-1</sup>.

### 2.6 Test of accuracy

Take separately identical solution of reference and samples are tested for continuously 6 times in the chromatographic condition of "item 2.2", then determine area of the peak, RSD=0.34%, which makes it clear that the accuracy is good.

### 2.7 Test of stability

Take one portion identical solution of reference. Then analyze it on the rule of 0, 1, 2, 4, 8, 16, 24h in the chromatographic condition of "item 2.2", separately. And the result is RSD=1.67%, the tested component is stable within 24h.

### 2.8 Test of reappearance

Weight precisely identical sample 10g, 6 portion, and prepare the solution of sample as "item 2.4",then determine it in the chromatographic condition referred above, result may be RSD=1.8%, whose repeatability is good.

### 2.9 Recovery rate of added sample

Weight 5g sample, whose content has been known, 12 portions. And put them into a round bottom flask(volume 500ml),

enter separately solution of reference in  $2000\ \mu\text{g}\cdot\text{ml}^{-1}$  and  $400\ \mu\text{g}\cdot\text{ml}^{-1}$  each 6 portions, enter 300ml water, then prepare sample solution as “item 2.3”, and determine as “item 2.2”. Then calculate contents together with recovery rate according to the area of peak, and recovery rate of the two different sample solutions are 98.0% (RSD=2.0%), 100.5% (RSD=1.9%).

## 2.10 Determination on the contents of volatile oil and curcuminol

### 2.10.1 Determination on the contents of volatile oil and curcuminol collected in different producing places

Extract and determine volatile oil sample collected from different producing areas as “item 2.1”, and determine the contents of curcuminol in chromatographic condition of “item 2.2”. The statistics and analysis are treated by SPSS10.0, and the obtained results show in Table 1, Figure 2.

The differences of volatile oil are very clear in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected from different producing places, it is extremely remarkable according to variance analysis ( $F=31.95>F_{0.01}$ ,  $\text{Sig.}=0.000<0.01$ ) in Table 1 and Figure 2. The maximal result of the samples produced in Binyang is 2.776%, the minimal produced in Shangsi is 1.742%. The differences of contents of curcuminol are remarkable according to analysis of variance ( $F=110.59>F_{0.01}$ ,  $\text{Sig.}=0.000<0.01$ ). The maximal result of samples produced in Binyang is 0.044%, the minimal produced in Daxin is 0.023 %, and no curcuminol can be obtained from samples of Hengxian place and Yining place.

### 2.10.2 Determination on the contents of volatile oil and curcuminol collected in different collecting times

Extract and determine volatile oil sample collected in different collecting times as “item 2.1”, then determine the contents of curcuminol in chromatographic condition of “item 2.2”, The statistics and analysis are again treated by SPSS10.0, the result shows in Table 2 and Figure 3.

From Table 2 and Figure 3, the differences of volatile oil are known clearly in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected in different collecting times, which is extremely remarkable according to variance analysis ( $F=84.65>F_{0.01}$ ,  $\text{Sig.}=0.000<0.01$ ). Order of the contents of volatile oil is January's > February's > December's > November's > October's. The differences of contents of curcuminol are remarkable according to analysis of variance ( $F=17.07>F_{0.01}$ ,  $\text{Sig.}=0.000<0.01$ ). The order of the contents of curcuminol is January > February > December > October > November.

## 3. Discussions

According to *Chinese Pharmacopoeia* in 2005, the result of extracted volatile oil by standard steam distillation should be 2.2%, while result obtained in the experiment is, the minimal contents of volatile oil is 1.742%, the maximal 2.776%, the average 2.284%. The volatile oil contents of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. from Shangsi place and Pingnan place are minimal, however, other places are all accorded with the standard in *Chinese Pharmacopoeia*.

The contents of curcuma oil should be taken as a standard for the quantity evaluation, for curcuminol is one of the main effective components of volatile oil. According to the determination, the differences of volatile oil contents are very clear and samples produced in different producing places and collected in different collecting times, the author should take high in content of volatile oil and curcuminol as a reasonable basis, if one can confirm the clinical significance of these differences in the later research.

Of those genuine producing areas of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang., Binyang has the best zedoary turmeric, which depends on examination of the producing area factor, the contents of curcuminol in Binyang is maximal compared to other places, and by examination of the volatile oil contents, that of Binyang's is also the highest.

January and February should be the best collecting time for *Curcuma kzoangsiensis* S. G. Lee C. F. Liang., for during this time contents of the volatile oil and the curcuminol is maximal and exceed the standard of pharmacopoeia. However, in December when usually zedoary turmeric is collected as it's above-ground part withered is 1.984% is lower than the standard of pharmacopoeia according to the determination in the factor of collecting time.

Determination of the medicinal materials' best collecting time bases on both its quality and quantity, which is to say the best collecting time is maximal peak of both accumulation dynamics of effective components and of dry-matter in the plants' growth and development stages (Liu, M.Y., 1995, p.209). So it has some reference value to confirm January and February are the best collecting time of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. by the content determination of volatile oil and effective components. But if taking the output of rhizome as one of the factors, the determination of the best collecting time will be more reasonable and perfect.

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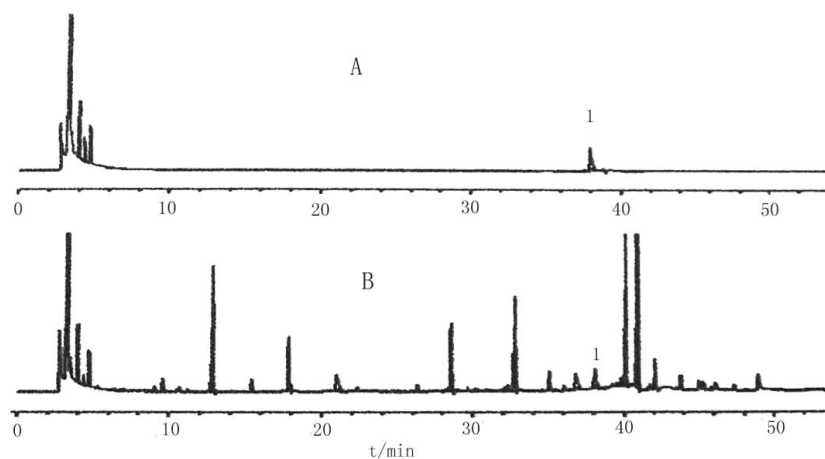


Figure 1. Gas Chromatogram of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang.

A. Reference B. Sample of *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. C. Curcumol

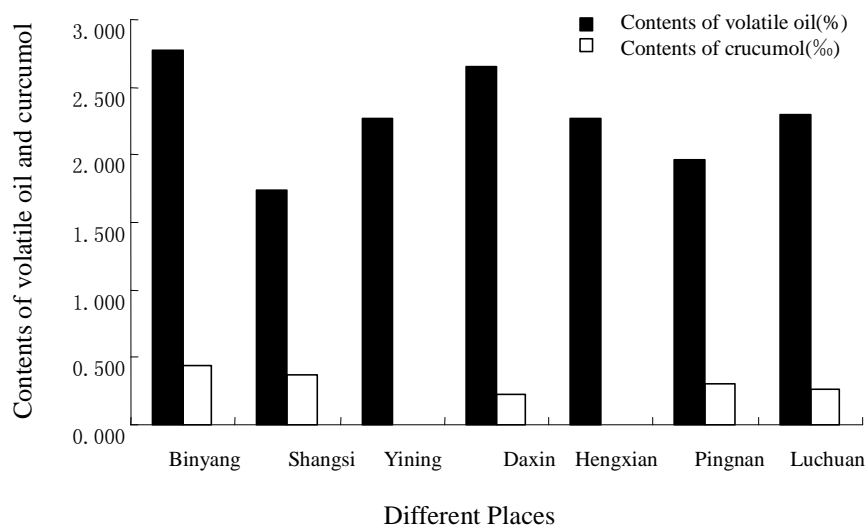


Figure 2. Contents of volatile oil and curcumol in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang collected from different producing places

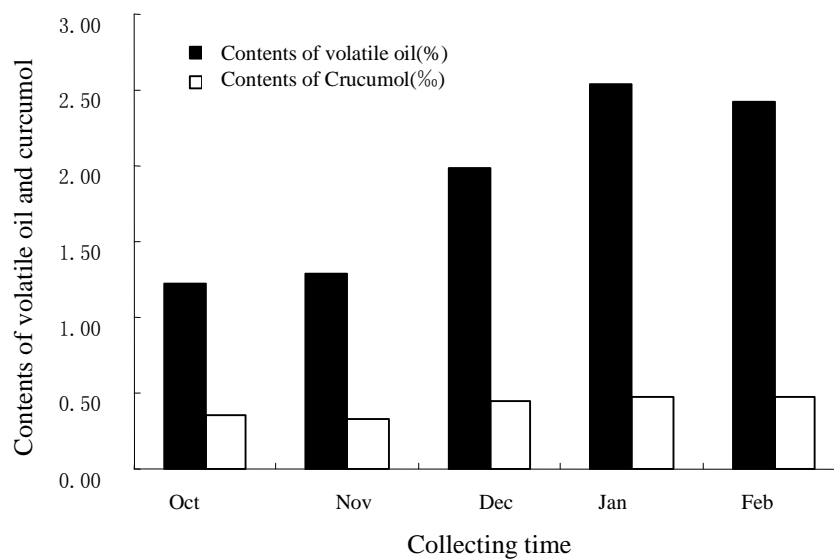


Figure 3. Contents of volatile oil and Curcumol in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected in different collecting times

Table 1. Contents of volatile oil and curcuminol in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected from different producing places (n=3)

NO.	Producing places	Contents of volatile oil(%)	Contents of curcuminol (%)
1	Luchuan	2.292	0.027
2	Pingnan	1.968	0.031
3	Hengxian	2.275	0.000
4	Daxin	2.658	0.023
5	Yining	2.275	0.000
6	Shangsi	1.742	0.037
7	Binyang	2.776	0.044

Table 2. Contents of volatile oil and curcuminol in *Curcuma kzoangsiensis* S. G. Lee C. F. Liang. collected in different collecting times(n=3)

NO.	Collecting time	Contents of volatile oil(%)	Contents of curcuminol (%)
1	October	1.225	0.035
2	November	1.290	0.033
3	December	1.984	0.044
4	January	2.546	0.048
5	February	2.426	0.047



## A Texture Simulation Technique for Textile Exhibition and Design

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### Abstract

In this paper, we propose a texture simulation technique for the simulation of soft and flexible objects such as textile products. This grid acts as the intermediate space between planar space and texture space. Since users can interactively build and modify the texture grid, the target object can be simulated flexibly. Compared with the traditional texture simulation techniques for polygonal models, the technique dramatically reduces the computation and storage in simulation process, as it is image based. Another advantage of the technique is the simply of using especially for amateur users in comparison with the transitional techniques using polygonal models. In our implementation, user may need to adjust the texture grid locally to simulate the special effect such as wrinkles on the clothes. And the color-blending process used in this work was considered to be adequate in simulating realistic and pleasing effect for the textile.

**Keywords:** Texture simulation, Grid, Color-blending

### 1. Introduction

Texture simulation is a technique commonly used in computer graphics to enhance the realistic effects of computer-synthesized images. It generally focuses on building a map from a 2D image (texture space) to the surface of a 3D model so that each point on the surface is associated with a corresponding pixel in the texture space. Some previous algorithms built a parametric representation of the surface (Blinn, J.F., 1976, pp.542–547) to construct such a map. However, such representation does not exist in the commonly used polygonal mesh and the application of those previous algorithms to the polygonal mesh will fail to perform the texture simulation. A possible solution to this problem is to use an intermediate surface with simple shape that can be efficiently mapped to the texture space, and then find a map from the surface of a 3D model. These algorithms often result in high distortion due to the non-linearity of the texture map. Therefore, many optimization algorithms have been proposed to improve the simulation so as to obtain natural simulation results (Floater, M.S. 1997, pp.121–144). A relatively new technique, namely projective texture simulation, has been studied by Devich and Weinhaus and other researchers. Instead of assigning fixed texture coordinates to geometry, this technique projects a texture map (for example, panoramic image) onto geometry.

Although the texture simulation has been studied intensively, almost none of the previous algorithms perform perfectly in eliminating texture distortion and texture aliasing. In addition, many of those algorithms need 3D models, and the projective texture simulation requires panoramic images. Therefore, the potential use of those texture simulation techniques has been greatly limited. For example, they cannot be easily accomplished in internet based applications due to the huge amount of data involved when dealing with 3D models. It is also difficult for a novice to build a 3D model to accomplish his task. Therefore, an image-based technique was presented in 2000 (Blinn, J.F., 1976, pp.542–547.), which used a concept of texture area to accomplish texture simulation. It eliminated the drawbacks caused by 3D models, but it will encounter difficulty when simulating slight texture changes.

In this paper, we propose a novel image-based texture simulation technique. It is very effective to simulation texture on soft and flexible objects such as clothes and counterpane. We build a texture grid interactively to map texture onto the target area. Since the simulation from texture grid to texture image is linear, the texture distortion can be eliminated. As the 3D model is no longer needed and the computation is very effective, the proposed technique can be easily adopted by both internet-based and stand-alone applications for visualization and exhibition of textile products.

### 2. Overview and the preprocessing work

As the proposed technique is image based, the texture distortion caused by traditional 2D to 3D texture simulation techniques can be avoided. The main problem in our simulation method is to find a 2D texture space that has a linear transformation relationship with the planar space of the original image. In most cases, the real surface of the object for

simulation is not homeomorphism to a plane, so we cannot find a space to match the whole target area. Instead, individual patches of a texture space should be generated for different portion of the target area in images. The generated patches construct a grid, namely texture grid, with which the texture coordinates of a pixel in the target area can be easily calculated. It is very complicated to generate these patches individually. We propose a new method to generate the whole texture grid with simple interactions and local adjustments. This method consists of four steps:

Step 1: Preprocessing.

Step 2: Generation of texture grid.

Step 3: Local adjustment of texture grid.

Step 4: Simulation texture image onto target area.

These four steps are discussed in detail in the following sections.

### 3. Preprocessing

In the preprocessing step, users need to provide some information interactively. First, a target area in the original image should be outlined by the users, which is indicated by the boundary polygon. Then the users should input some polygonal lines to indicate the texture directions in the area, which are termed as texture frame lines in this study. There are two kinds of frame lines, horizontal and vertical. The horizontal frame line represents the change of horizontal texture direction in the target area, while the vertical frame line represents the change of vertical texture direction.

After receiving these inputs, some necessary preprocess should be performed. First, the sequence of the frame lines should be rearranged, since users may draw these lines in a random order. The new sequence is from top to bottom for the horizontal frame lines, and from left to right for the vertical frame lines. Then we use the four outmost frame lines to construct a closed polygonal of the grid. If one of frame lines is not long enough to intersect, it will be expanded up to the bounding box of all these four lines. The result is shown in Figure 1(b). Finally, we adjust the lengths of the rest of the frame lines. If one frame line exceeds the boundary of the region, it will be clipped; if it cannot reach the boundary, it will be expanded as shown in Figure 1(c).

### 4. Generation of the texture grid

The texture grid is built based on the processed frame lines. First, we calculate the gradual frame lines based on the given horizontal and vertical frame lines, respectively. These lines should change gradually from one given line to another. Then these gradual lines construct virtual horizontal and vertical texture grids. At last, these two grids are combined to generate the final texture grid. The detailed procedures are given in Sections 4.1–4.3.

#### 4.1 Parameterization

To calculate the gradual frame lines using interpolation, the texture frame lines must be parameterized. Let  $L_i$  denote one gradual frame line, the discrete parametric representation of  $L_i$  can be defined as:

$$L_i = \begin{cases} X_i(t) \\ Y_i(t) \end{cases} \quad (1)$$

For each vertex of line  $L_i$ ; there is a corresponding parameter  $t$ : The value of  $t$  is defined as the ratio of the length from the beginning vertex to the current one and the total length of the line. Then the coordinate functions  $X_i(t)$  and  $Y_i(t)$  can be simply represented by the coordinate of the corresponding vertex. For  $L_i$ ; a set of  $t$  is calculated. As the gradual lines should reflect the characteristics of all the input lines, we calculate the union of the parameter sets of these input lines and resample these lines using this union. Finally, all the given texture frame lines are discretely parameterized based on the same parameter set.

#### 4.2 Generation of the virtual texture grids

As the methods of generating these two grids are similar, we only discuss the horizontal one in this section. We use linear interpolation to calculate the gradual lines. During this process, a weighting should be defined for every line. Given a line  $L_i$ ; we take  $y$  coordinate of the center point of its bounding box as the weighting factor  $w_i$ : A series of weightings of the gradual lines,  $w_{g1}, w_{g2}, w_{gm}$ ; is calculated by dividing the interval  $[w_1, w_n]$  equally, where  $m$  is the number of the gradual lines. Using the weighting  $w_{gj}$ ; the gradual line's parameter representation is given as:

$$X_j(t) = \frac{\sum_{i=1}^n \frac{X_i(t)}{|w_i - w_{gj}|}}{\sum_{i=1}^n \frac{1}{|w_i - w_{gj}|}} \quad Y_j(t) = \frac{\sum_{i=1}^n \frac{Y_i(t)}{|w_i - w_{gj}|}}{\sum_{i=1}^n \frac{1}{|w_i - w_{gj}|}} \quad (2)$$

Where  $X_i(t)$  and  $Y_i(t)$  are the parameter representations of input frame line  $L_i$ ; and  $n$  is the number of the input frame

lines. The calculated gradual frame lines are shown in Figure. 2(b). As the weightings of the gradual lines are uniform we use a uniform parameter set,  $\{i/(N-1)|i=0,1,2,...(N-1)\}$ ; where  $N$  is the number of columns, to resample each gradual line. Finally, all the vertexes of these frame lines are used to construct the virtual texture grid as shown in Figure 2(c).

#### 4.3 Union

After the generation of the two virtual horizontal and vertical texture grids, the final texture grid can be simply built by their weighted combination. Usually, users pay similar attention to the horizontal and vertical texture directions. Therefore, to determine a vertex on the grid, we use the middle point of the line whose head and tail vertexes are the corresponding vertexes on the two virtual grids. As Figure 3 shows, the resultant grid contains the characteristics of both the horizontal and vertical frame lines.

An adjustment step needs to be introduced to achieve better results in these cases. The first step of the adjustment process is to decide whether the resultant grid should be adjusted. As we have built a grid using the middle point method which is suitable in most cases, we take this grid as the reference grid. The differences between the reference grid and the two virtual horizontal and vertical grids are calculated. These differences should indicate whether the adjustment step is necessary.

For a texture frame line, the most important characteristic is indicated by the included angles at its vertexes. As these angles represent the final texture directions, the changes of these directions will generate 3D effects. Therefore, these angles can be used to calculate the differences.

We consider each row in the calculation of the difference between the reference and horizontal virtual grid. Given a row  $r$  of the horizontal virtual grid is denoted as  $r_h$ . We calculate the included angles at all the vertexes of  $r$  and  $r_h$ ; except the first and last vertexes. If there are more than a half number of rows of the horizontal virtual grid need adjustment, the horizontal grid should be adjusted. In the vertical case, we check each column of the vertical grid using the similar method.

Given a vertex  $V$  of the resultant grid, its counterparts of horizontal and vertical virtual grids are denoted as  $V_h$  and  $V_v$  respectively. The coordinate of  $V$  is calculated as follows:

$$V = \frac{K_h * V_h + K_v * V_v}{K_h + K_v} \quad (3)$$

Where  $K_h$  and  $K_v$  are weighting factors. In the calculation of the reference grid, their values are both 0.5. In the grid adjustment, their values should be calculated based on the characteristics of frame lines. If  $Li$  is a horizontal frame line, we take the horizontal middle line of its bounding box as the base line. We calculate the distances between those vertexes and the corresponding base lines, and add these distances up to  $C_i$ . The value of  $C_i$  may reflect the characteristic of frame line  $Li$ : For all the initial horizontal and vertical frame lines, their averages,  $\bar{C}_h$  and  $\bar{C}_v$ ; and variances,  $D(C_h)$  and  $D(C_v)$ ; are further calculated. If  $|\bar{C}_h - \bar{C}_v| > \min(\bar{C}_h, \bar{C}_v)/2$ ; the two weightings  $K_h$  and  $K_v$  are calculated as:

$$K_h = \frac{\bar{C}_h}{\bar{C}_h + \bar{C}_v}, \quad K_v = \frac{\bar{C}_v}{\bar{C}_h + \bar{C}_v} \quad (4)$$

Otherwise, they become

$$K_h = \frac{D(C_h)}{D(C_h) + D(C_v)}, \quad K_v = \frac{D(C_v)}{D(C_h) + D(C_v)} \quad (5)$$

#### 5. Interactive adjustment

The texture grid represents the global texture directions in the target area selected by users. However, it may not be sufficient in reflecting the real 3D effect. For instance, in the simulation of soft and flexible textile products, there are always many wrinkles that cannot be successfully represented by the generated texture grid. Therefore, some operations are provided so that users can adjust the texture grid when necessary. In the technique developed in this study, users can adjust a single vertex, a line or a rectangle. Furthermore, the grid density is also adjustable so that users can perform texture simulation in different detail level.

#### 6. Texture simulation

Using the texture grid, we can calculate the texture coordinate of a pixel in the target area. First, the texture coordinates



calculate its texture coordinates using the coordinates of these four vertexes. Figure 4 shows these vertexes in the planar space and texture space.

$PIP_2$  is a horizontal line passing through P: The x direction texture coordinate of pixel P1 is the same as that of V1 and V3; and the y direction texture coordinate is given by Eq. (6):

$$y_{pt1} = \begin{cases} y_{t1} + \frac{(y_{p1} - y_1)(y_{t1} - y_{t2})}{y_1 - y_2}, (y_1 \neq y_2) \\ \frac{y_{t1} + y_{t2}}{2}, (y_1 = y_2) \end{cases} \quad (6)$$

Then the texture coordinates of P2 can be calculated in a similar way. Therefore, the texture coordinates of P is given by:

$$x_{pt} = \begin{cases} x_{pt1} + \frac{(x_p - x_{p1})(x_{pt2} - x_{pt1})}{x_{p2} - x_{p1}}, (x_{p2} \neq x_{p1}) \\ \frac{x_{pt1} + x_{pt2}}{2}, (x_{p2} = x_{p1}) \end{cases} \quad (7)$$

$$y_{pt} = \begin{cases} y_{pt1} + \frac{(x_p - x_{p1})(y_{pt2} - y_{pt1})}{x_{p2} - x_{p1}}, (x_{p2} \neq x_{p1}) \\ \frac{y_{pt1} + y_{pt2}}{2}, (x_{p2} = x_{p1}) \end{cases} \quad (8)$$

After the calculation of texture coordinates, we simply take the nearest neighbor pixel on the texture image as the texture of P: Finally, we make a color-blending operation in CIELAB color space (Hahn, J.K., 1986, pp.24-36) to reflect the lightness distribution of the original image. It is known that the color information

is mainly recorded in the chromaticity coordinates and the intensity information is mainly recorded in the lightness channel (Platanotis, K.N., 2000, pp.45-49). Therefore, in the color-blending process, we only consider the change of lightness and assume constant chromaticity. For a pixel in the target area, let the lightness of its original color be  $L_0$ ; the average lightness of the whole area be  $L_m$ ; and its texture color be  $(L_t, a_t, b_t)$ . The lightness after color blending is calculated as:

$$L = \begin{cases} L_t + \frac{(L_{\max} - L_t)(L_0 - L_m)}{L_{\max} - L_m}, (L_0 - L_m \geq 0) \\ L_t + \frac{(L_{\max} - L_{\min})(L_0 - L_m)}{L_m - L_{\min}}, (L_0 - L_m < 0) \end{cases} \quad (9)$$

Where  $L_{\max}$  and  $L_{\min}$  represent the maximum and minimum lightness in CIELAB color space, respectively. Accordingly, the new color is  $(L_t, a_t, b_t)$  after the color blending process.

## 7. Simulation examples

Some simulation examples of texture simulation using the proposed technique are shown in Figures. 5–6. For each example, the target areas are selected interactively in the original images, and the texture images are used to simulate new images according to the four steps discussed above. In Figure 5, the complex texture directions and the stretch effect of the cloth are well simulated and the 3D effect of smooth curved face of the coat collar is also realistically represented. Figures 6, the example further shows the good performance of the color-blending technique.

## 8. Conclusion

We studied an image-based texture simulation technique for textile products exhibition that does not require geometric representation of 3D models. Under this technique, a texture grid is built interactively for a target area in an original image. This grid acts as the intermediate space between planar space and texture space. The texture coordinate for each pixel in the target area can be calculated based on this grid, and the 3D effect can be successfully realized by further fine adjustment of the grid. The simulation examples demonstrate that our technique is very useful in potential applications in apparel design exhibitions. This technique can be applied in textile exhibition and design.

However, it was not intended to provide high color fidelity. Further work need to be conducted in accurate color rendering based on the investigation of the interaction between light and object surface.

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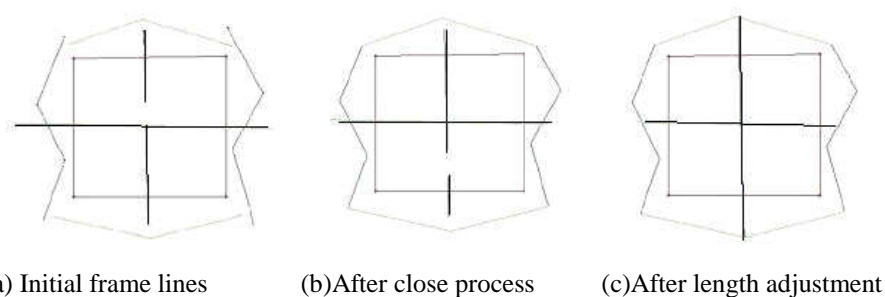


Figure 1. Preprocess works

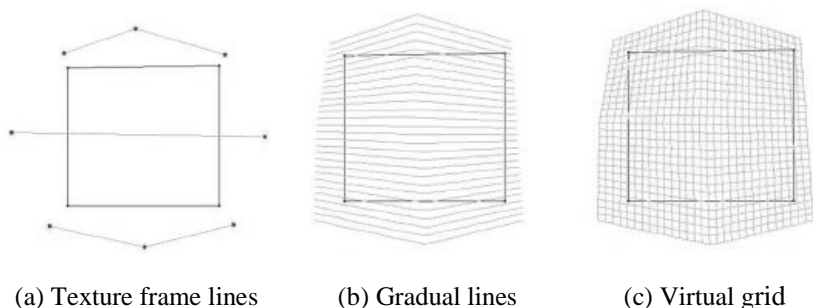


Figure 2. Building horizontal virtual texture grid

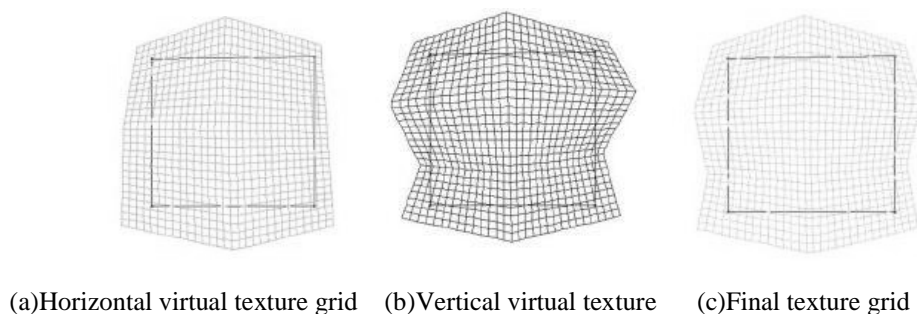
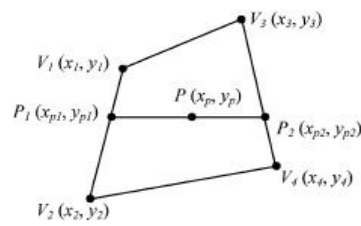
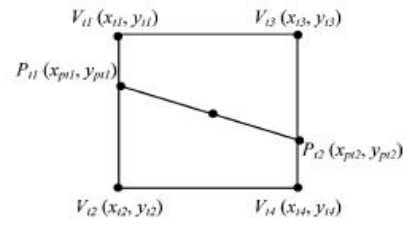


Figure 3. Generation of texture grid



(a) Planar Space

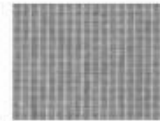


(b) Texture Space

Figure 4. Calculating texture coordinates



(a) Original image



(b) Texture image



(c) Resultant image

Figure 5. Example 1



(a) Original image



(b) Texture image



(c) Resultant image

Figure 6. Example 2



## Researches and Application of the Emotional Stroop Effect in Clinical Psychology

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### Abstract

As far as we know, Stroop effect was discovered by a American Psychologist named John Riddley Stroop with a definition of interference between the color and the meaning of the same stimulus. It has been make good use in many fields, especially in clinical psychology. Emotional stroop effect which became the highlight during the past two decades. So its main researches and focus will be showed in details here, such as, social phobia, anxiety & anxiety disorders, alcohol dependence, heroin, gambling, and compulsive disorders. Besides, clinical evidences and academic explanations are also concluded. Then the future of this study would be discussed in the end.

**Keywords:** Research, Application, The emotional stroop effect, Clinical psychology

Emotion plays an important role in every day life. The meaning of emotions is the attitude experience and relative behavioral reflections towards impersonal objects. It interacts with our cognitive system, and impacts our behavior consequently. On the contrary, through operating experiments to emotion, we could acquire a series of data about the internal relationship between emotion and cognitive system to some extent, then speculate how they function to each other. So, what are the reason and the academic mechanism of emotion stroop effect? How do we take them into applicative practice? Have it got a further future in study? All of those would be content in this paper.

### 1. Traditional Stroop Paradigm & Emotional Stroop Paradigm

#### 1.1 traditional Stroop paradigm

In the color-naming experiment of John Riddley Stroop's traditional Stroop effect, operators show the words with different colors one by one. Subjects are asked to speak out the color of each word quickly and accurately, without paying attention to the meaning of those words. It contains two experimental conditions, that is, color word interferential condition and control condition. Under the condition of color word interference, subjects are given different color word, whose meaning is also different from its color, for example, "green" is written in red, see Figure 1. While in condition of control, we show non-color word or non-word character with different colors, for instant, red "good" or green "xxxxx". The result of this kind of task is that the reflection time of control would be shorter than it under condition of color word interference. The differentia between those two reflection times is Stroop Effect.

Traditional Stroop Effect has lots of explanations. The most popular one is automatic theory, which maintains that reading is the high-automatic process ability, while color-naming is not. Being faced with literature materials, it's difficult to avoid processing semantic meaning, not only paying attention to the color of word, but noticing its meaning. As a result, Stroop effect is the interference of processing semantic meaning to color-naming (Yang & Luo, 2004).

#### 1.2 emotional Stroop paradigm

Based on the traditional paradigm, scientists expect emotion could impact the color-naming. Emotional Stroop paradigm usually gives words with different colors (neutral words and emotional words), requiring subjects to overlook meanings of words and name the color as soon as possible.

The characteristic of this paradigm is, firstly, although it changed the words into emotional words and non-emotional words, the task for subjects keep the same as traditional one; secondly, subjects need to divided into groups depending on some characteristic of emotion, and this kind of emotion consistent or inconsistent or opposite with the emotion reflected by words in experiment. When in consistent situation, the reflection time would slower than neutral condition, showing the interference effect of emotional consistency.

### 2. Application of Emotional Stroop Effect in Clinical Psychology

#### 2.1 Social Phobia

Hope, Rapee, Heimber & Dombeck (Nader Amir etc., 1996) (1990)found that, because of unbalanced phobia, patients of

social phobia have longer reflection time to words related with social threaten, comparing with normal words. Mattia, Heimberg and Hope (Nader Amir etc., 1996) (1993) repeated the experiment above, and proved that, the group which in therapy (cognitive-behavior therapy or medicine of anti-dysthymic) didn't appear the phenomenon of interference with social threaten words. Moreover, Mathews and Sebastian (Nader Amir etc., 1996) (1993) could not find the interference effect among the university students who have high level anxiety of snake. However, it still had many suspect questions, such as, no contrast of high anxiety and low anxiety, wrongly expectation of restraining the information related to threaten in patients.

Later, Nader Amir etc. (Nader Amir etc., 1996) improved the Mathews etc.'s experiment. First of all, according to the diagnoses of social phobia in DSM—R (American Psychiatric Associates, 1987) to select subjects in experiment, not using the students scared snake before. Besides, it controlled the different situation between group high anxiety and group low anxiety in Stroop task. They supposed if Stroop was affected by level of anxiety, then the social phobia patients should show the interference to social threaten words. Experimental words divided into several groups, social threaten level, neutral level related with social threaten, physical (body) threaten level, neutral level related with physical (body) threaten. All the neutral words had been considered its letter, the number of byte, and the frequency in English (Carroll, Davies & Richman, 1971). The level of color included red, black, and blue, orange, green. Apart from this, scientist also used STAI-Sto measure the anxiety operation effect of patients.

The result shows that,  $2(\text{Group: social phobia, control}) \times 2(\text{time: before, after})$  repeated ANOVA analysis indicated the significant interaction between group and time; the simple main effect showed the STAI-S score of social phobia patients being higher than group of control, no matter before or after the anxiety operation. It could be seen that operation increased anxiety, but only functioned in group of social phobia. After that, they put  $2(\text{group: social phobia, control}) \times 2(\text{type of words: social, physical}) \times 2(\text{threaten: threaten, neutral}) \times 2(\text{level of anxiety: high, low})$  into multiple repeated ANOVA analysis, and result showed that, main effect of anxiety was significant in type of words  $\times$  threaten  $\times$  level of anxiety, while neutral words only had main effect to anxiety. Meanwhile, they found social phobia patients acted much more quickly in high anxiety condition; comparing with physical words, the reflection time of social threaten words was obviously faster. On the other hand in group of control,  $2 \times 2 \times 2$  repeated ANOVA analysis show neither any significant difference, nor any significant simple main effect (Nader Amir etc., 1996). Their experiment offered a good base to test the anxiety level among social phobia patients, proving that social phobia patients were sensitive to words related to social characteristic, and easier to become anxiety than common people.

## 2.2 Anxiety & Anxiety Disorder

Emotional Stroop Effect is used in the experiment to assess anxiety, one of the most research fields. Using the traditional Stroop paradigm (1935), Bower (1981) set up a model, which could predict the increase of some emotion (such as anxiety) (Boris & Michael, 2001). According to Bower's theory, we could suppose that the Trait Anxiety (TA) is correlative to the State Anxiety (SA). In experiment of Boris Egloff and Michael Hock (2000), it tested the stimulate processing difference related to threaten in emotional Stroop effect, whether being impacted by the main effect of TA, or whether being different among subjects of anxiety in main effect of SA (ignoring the personal characteristic of subjects) (Boris & Michael, 2001).

Boris (2000) selected 120 university students to be subjects, using the Mean of the State Trait Anxiety Inventory (STAI, 1981) to measure TA. In experiment, it got 4 kinds of cards, two are neutral and two others are threaten (had considered the length and frequency), which included one for physical threaten words (such as, war or death) and the other for self threaten words (like, failure, mistake). A set of cards were divided into 4 batches from 46 words in total. The color of each batch is one of these colors (green, red, yellow, blue) (Boris & Michael, 2001).

Result of Boris etc. (2000) is below, through the multiple regression analysis of the interaction of SA and TA in Stroop interference, score of TA was divided high TA, medium TA and low TA, while only group high TA got the positive correlative with SA in Stroop interference. Comparing with it, low TA got the negative correlative with SA. At the same time, regression analysis also show the significant interaction of  $SA \times TA$ , that is, TA was play a role of alleviant between SA and direction of attention (Boris & Michael, 2001). Therefore, Trait Anxiety (TA) is just a represent of alleviant to State Anxiety (SA), while it functioned to each other as well.

Many researches on patients with anxiety disorder also developed. One of them is TU, Dresden (1999) study on anxiety disorder patients. Subjects in experiment are patients with generalized anxiety disorder (GAD), social phobia patients (SP), and group of control. Displayed words were anxiety words, speech words, neutral words, positive words. Colors were black, brown, green, red, blue and orange. Each word repeated six times by random, only once each batch. Specially, all subjects had been tested by SCL-90 (Symptom Check List 90), STAI-Trait questionnaire (1970), and Beck's Depression Inventory (1961).

The experiment of TU, Dresden (1999) used  $3 \times 4$  multiple factor ANOVA analysis, assessing score of STAI produced a strong effect on groups, but no significant difference in RT. As what expected, nervous, anxiety and avoidance got significant difference among each other, for example, patients of GAD and SP showed much more nervous and anxiety, but no significant difference. When considering the avoidance factor, patient with GAD got a higher assessing score in anxiety than group of control. Surprisingly, words related to anxiety and words related to speech are not significant between GAD and SP (TU, Dresden.), which indicated that the anxiety level produced by GAD and SP were same to some extent. Though

this experiment studied anxiety by comparing with social phobia patients like before, it still could come out its relationship which was different from others.

Christianne M. Verhaak etc. (2004) explored the relationship between neuropath and typical anxiety disorder patients in non-clinical field by using intense stimulate. As same as what they supposed, they found the evidence to support the assessing anxiety relationship between neuropath and typical anxiety disorder patients. However, this experiment could not confirm the relationship among consciousness, unconsciousness interference and assessing anxiety, but merely to know a tendency existing between assessing anxiety and Stroop interference (Christianne, Jasper, Agnes & Floris, 2004).

### 2.3 Addictive Behavior

These years, with the social behavior standard improving at fast speed, addictive behaviors attract people's attention increasingly. So, it soon became the highlight in emotional Stroop effect researches.

#### 2.3.1 Alcohol Dependence

The research, Johnson etc. (1994) found that the scores of interference in alcohol dependence patients were much higher than normal social drinker, with larger interference. One year later, Stetter etc (1995) proved that by experiment, and found that, comparing the neutral words, words related with alcohol need much more time to reflect, even though those data didn't reach the significant level. Moreover, some studies showed that normal drinkers also need longer RT towards alcohol words (Bauer Cox, 1998). Meanwhile, both Sharma etc. (2001) and Ryan (2002) indicated that attention bias played an part on correlative stimulate between alcohol abuser and non-abuser.

To test whether alcohol abuser would appear attention bias or not, Joanne Lusher etc. (2004) used Stroop to display similar stimulate of alcohol, and compare with the control group of non-alcohol abusers (Joanne, Chris & David, 2004). Subjects of experimental group (group of alcohol abusers) were tested by clinical therapy, being controlled by SADQ (Severity of alcohol dependence questionnaire, 1979). Experiment was divided into 4 parts, 32 items, 8 related to alcohol, 8 neutral. The alcohol words were picked up from main stream researches on alcohol dependence, while the neutral words were selected from family life according to the length and syllable. Both the error rate and duration time would be marked down.

Results from experiment by Joanne Lusher etc. (2004) indicated that, the error rate in group alcohol abusers was lower than group of control, but not significant. Otherwise, subjects did not delay reflection to guarantee the accuracy, so majority of them turned out the ceiling effects (Joanne, Chris & David, 2004), that is, 96.9% reflection were accurate. The multiple measure ANOVA analysis of part of speech showed that, the reflection time which related to alcohol was significant longer, and group of control had significant shorter RT than group of alcohol abusers, with a significant interaction. The high dependence group and low dependence group were separated on the based of score of SADQ, but no significant difference found after multiple measure ANOVA analysis (Joanne, Chris & David, 2004). The Advantage in this experiment is controlling the objective factors of subjects and individual difference, and distinguishing two groups well.

Nevertheless, whether various severity of alcohol abuse could be the influencing factor? W. Miles Cox etc. (1998) (Cox, Giles & Cara, 1999) could proved it. The definition of serious alcohol abuser is drinking 25 units alcohol each week for male and 16 units per week for female; while the mild abusers drink less than 6 units alcohol each week. Their experiment adopted the edited version QQADI (Qualitative and Quantitative Alcohol and Drug Use Inventory, 1993) to assess subjects. Alcohol words, words related to music, and neutral words were displayed in experiment. Its result showed that, the serious abusers drunk 57.4 units alcohol per week average, and significant difference occurred both between type of words and two groups (Cox, Giles & Cara, 1999).

#### 2.3.2 Heroin

Substance abuses included alcohol and some neural active medicine, or drug. Heroin is one of them. Ingmar H. A. Franken etc. (2004) (Ingmar H, Vincent, Cornelis, Wim, 2004) did a research on heroin dependence. Subjects came from a abstain center, all males. It was displayed 10 words related to heroin, and 10 neutral words with similar letter and syllable, four times for each word by random. In addition, they used the DDQ (Drug questionnaire, 2002), DAQ (alcohol questionnaire, 1998), ASI (1989), POMS (profile of mood states, 1992) to assess subjects. Statistic results showed, there was no significant difference between type of words, but conditions of experiment (haloperidol, placebo) occurred significance. The RT acted obviously faster under the condition of haloperidol, in other words, the Stroop interference effect would be reduced after ingesting dopamine (Ingmar H, Vincent, Cornelis, Wim, 2004).

#### 2.3.3 Gambling

McCusker etc. (1997) studied the cognitive bias through emotional Stroop paradigm (Zhang, 2004). The classical result model was seen in Figure 2. Compared with seldom gambling people (group of control) and spouses, the color-naming time of gambling words among gambling abusers was significant longer than neutral words or other addictive words (such as, alcohol, smoking, medicine etc.). Abusers' spouses should be familiar with words of addictive behavior, but they did not appear Stroop interference effect. Result of this experiment showed, different addictive behavior type abusers had unconscious automatic bias while processing information related to that addictive behavior, which were independent from familiarity (Zhang, 2004).



## 2.4 Compulsive Disorder

When it comes to psychotic emotional Stroop effect, compulsive disorder was a piece of new land to explore by researchers. And some of them started to get down to it. Sreffen Moritz etc.(2003)(Steffen, Dirk, Martin, Susanne & Michael, 2004)held a research on patients with compulsive disorder, who would be selected by the symptom described in DSM-?. It displayed nine different conditions, 15 stimulates for each condition, while the conditions of emotion and personality were, anxiety, lose, responsibility, positive active and conscience. Statistic results showed, both the group effect and the condition effect reached the significant level, and RT in words related to lose feeling were significant slower than other types of words. However, the shortage of this experiment was that it still couldn't find out the significant difference between emotion and personality (Steffen, Dirk, Martin, Susanne & Michael, 2004).

## 3. Clinical Evidence of Emotional Stroop Effect

### 3.1 Electroencephalograph

Recent decades of years, there is a tendency which emotion studies and brain science became to cooperate together. Majority of these researches adopted the modern technology of electroencephalograph and biological measure technology. All those non-invasive technologies offer a good stage to study the brain mechanism of emotion. For example, Molt used fMRI and PET to maintain the asymmetry brain activities of up-limens emotion and below limens emotion, which had pushed the biological foundation studies of emotion to move ahead. It's believed that the N300 wave of ERPs had valuable influence on testing the emotion state or changes of brain. Besides, researchers explored the cognitive activities under the condition of depression by electroencephalograph, and its result showed that depression was much more related to activities of right brain, which proved by EEG, PET, and the observation of blood stream in brain. Otherwise, Mario, L. & Helen Su used to regard the pressure as index, did a study by PET, then found that prefrontal area, especially the prefrontal of right brain, being the essential area of negative relationship among emotion-cognitive thought-emotion(Chen & Liang, 2004)(Lootti, Mario & Helen, 2001)Definitely it would play a part on further studies between emotion and cognitive process.

### 3.2 Shield Technology

Recently, it's widely used a method called Shield Technology Paradigm. Shield technology, that is, to test the reflection of subjects on the original stimulate shield by a neutral stimulate after displaying an emotion stimulate. Liu Ronghui & Wang Lei found the unconscious startup effect of emotion, during the study on emotional startup effect under limens in 2000. (Chen & Liang, 2004)(Liu & Wang, 2002).

## 4. Theory Explanation of Emotional Stroop Effect

Although the task towards Stroop effect seems to be fairly simple, the mechanism behind would be complicated. Different explanations vary depending on researchers. And different explanations design their value in different fields of studies. Up to now, many theory model have been set up by researchers, while automatic theory taking the classical and leading place.

In automatic theory, the information processing system of human being was divided into two ways, automatic processing and control processing. Automatic way processes at fast speed, with no attention entered, and could occur anytime. On the other hand, control processing need to consume the attention resource, merely happening under conscious, with lower speed.

In task of emotional Stroop paradigm, the activation of emotional words semantic belongs to automatic processing, while color-naming being part of control processing. Under the condition of color word interference, familiar words or sensitive words to subjects would be in activation firstly. As a result, when color-naming, information of automatic activation would conflict with the information required to name its color. That is, subjects have to distinguish those two parts in order to act accurately. On the contrary, under the condition of control, if the experimental stimulates are non-emotional words, the meaning of automatic activation would be independent of the color of naming targets; if the experimental stimulates are series of characters, it wouldn't related to activation of semantic, without any conflict. Therefore, in the situation of color interference, the RT would be longer than condition of control, which called the emotional Stroop effect. Nevertheless, it was found that the activation of semantic not likely to be automatic process completely, so it was revised to be a continuous process which developed through learning and experience step by step. In the task of Stroop, the Stroop effect would be produced by interference of process of color-naming, which less automatic than reading words.

## 5. Prospect of Emotional Stroop Effect

### 5.1 Compare with Traditional Paradigm

Merel Kindt etc.(1996) (Merel, Dick & Jos, 1996)compared traditional Stroop paradigm and Emotional Stroop paradigm, and found that neither hadn't any convergent validity, which could lead them into unstable situation. As a result, he augured the stroop task, especially the emotional stroop task, could only be suitable to study the among-group difference between anxiety and non-anxiety, but not fix for assessing the interference of stable characteristic (Merel, Dick & Jos, 1996).

### 5.2 Prospect

Up to now, majority of researches still concentrate on field of psychopathy, while researches about emotional Stroop effect are not as many as foreign countries. In my eyes, besides studying anxiety or phobia of psychopathic illness, some kind of

emotion also could be taken into consideration, such as, exam anxiety, competition phobia and so on. Perhaps we could put them into practice in usual lives and make good use of it.

In addition, it's believed that the number of studies about emotion would be increasingly soared in China, considering its endless pressure in fast developing society. Not only be the emotion of adult should pay attention on, but part of children shouldn't be ignored.

With the development of modern cognitive neural technology, it could remedy the unstable shortage of emotional Stroop effect, completing much more accurate experiment by equipments.

In the same time, combining with field of biology and physic could be a tendency in future researches, which could describe its mechanism or process by understanding it structure and function in brain.

In short emotional Stroop effect still has got tremendous space and power to explore, no matter in or out, which would be continued in future.

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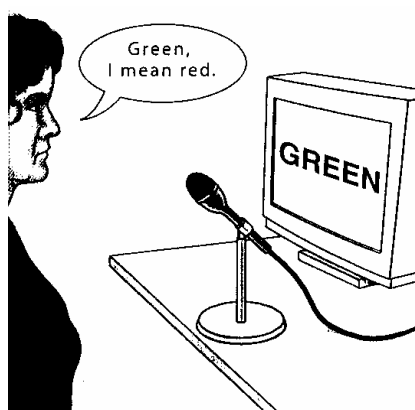


Figure 1. Traditional Stroop Operation

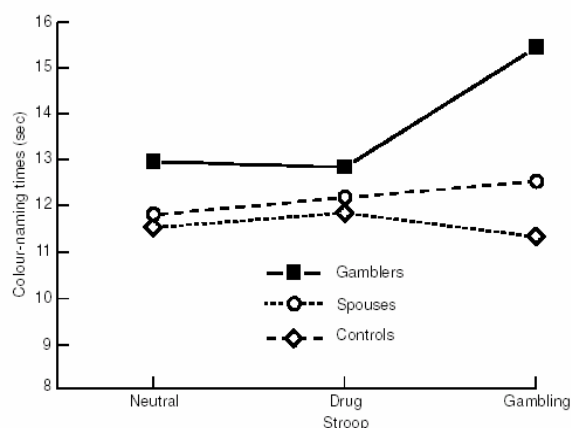


Figure 2. Color-naming RT in three groups(McCusker etc.,1997)





## Comparative Research on Alkaloid's Quantity among Clone Plants of *Pinellia Ternate*

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### Abstract

Determine the quantity of Alkaloid among 15 clone plants of *Pinellia ternate* (Thunb.) Breit so as to offer theoretical basis on boosting the enrichment of effective elements in *Pinellia ternate* in plants' bodies. According to the principle of acid-dye colorimetry, adopt the method of chloroform extraction to determine under 417nm wavelength and make analysis of variance. Obtain favorable linear relationship ( $r=0.9989$ ) and recovery (100.1%). RSD is 2.3%. The quantity of Alkaloid among the 15 clone plants of *Pinellia ternate* reaches to a prominent level ( $P=0.002<0.01$ ). The differences of the elements' quantity measured among clone plants are extremely obvious, making it possible to propagate asexually by high-content variation plants in the short term to boost the quantity of effective elements in *Pinellia ternate*, and then realize the objective to boost the quantity rapidly from the individual selection.

**Keywords:** *Pinellia ternate*, Clone plants, Alkaloid, Comparative research

Traditional Chinese medicine (TCM) *Pinellia ternata*, widely distributed in most regions in our country, Korea peninsula and Japan, is Perennial herb dry stem tubers, which belongs to Araceae. The *Pinellia ternata* adding to the TCM was firstly recorded in *ShenNongBenCaoJing*, and it has been collected as the only medicinal materials plant in the *Pinellia ternata* and its adulterants in the *Chinese Pharmacopoeia* in 2005. The tuberosity contains various chemical elements, including alkaloid,  $\beta$ -Sitosterol, polysaccharide, *Pinellia ternate* protein, amino acid, volatile oil and inorganic elements and so on, and it is been reported that it has the efficacies such as drying damp and resolving phlegm, debasing or stopping vomit, eliminating pains and dispersing kink. Almost all the compound preparation of TCM which sells in the current market contains the *Pinellia ternate*, and Alkaloid, which possesses obvious bioactivity and physiological functions among many chemical elements, is one of the main effective elements in TCM *Pinellia ternata*. At present, the determination on the quantity of Alkaloid in *Pinellia ternate* has been worked on a lot (Yu, C., 2002, p.73. Zeng, J. H., 2004, p.477. Zeng, J. H., 2004, p.109. Zhuang H. M., 2007, p.384. Lu, S. P., 2006, p.1027), and the research on the chemical elements of *Pinellia ternate* is fastened on nonclone plants, but the research that clone plants produce Alkaloid hasn't been reported by now. *Pinellia ternate* can do the clone growth by stem tuber and bulbil, giving birth to the offspring which are completely the same as the heredity of mother plants and its clone growth is the main way to regenerate itself. Therefore, by discussing whether there has been one's own variation on the genetic level of the clone individuality with the same genotype, it is possible to reveal whether it has the distinct differences on the quantity of measured elements among clone plants, and realize the objective to boost the quantity rapidly from the individual choice.

### 1. Experimental Materials

#### 1.1 Sample

The materials are from No.6 population fine clone stem tuber of *Pinellia ternata* (Thunb.) Berit in the *Pinellia ternata* bases of Life Sciences College in China West Normal University on Nov.6<sup>th</sup>, 2006, and those taken materials are the stem tubers from the same plant by asexual propagation. After collecting the materials, peel off and clean them, dry 4 hours in the oven at 105°C and then dry in the oven at 60°C till the constant weight, finally lay them in the desiccators by 60 meshes as the standby.

#### 1.2 Reagent

Reference substance Ephedrine Hydrochloride (NICBPB, B.N.714-9903). Ammonia, dichloromethane, sodium citrate buffer solution (pH = 6.0) and 0.1% of bromothymol blue solution, etc. are all the analytical reagent in the market.

### 1.3 Apparatus

GT3C916 Ultraviolet-Spectrophotometer (Australian GBC Scientific Apparatus Co., Ltd.), and Rotary Evaporator (Shanghai Shen Ke Electronics Co., Ltd.).

## 2. Experimental Methods and Results

### 2.1 The Preparation of Reference Substance Solution

Weigh up precisely 16.4mg of Ephedrine Hydrochloride reference substance which is dried to constant weight at 105°C. Put it in 250ml volumetric flask. Dissolve and dilute it to calibration with distilled water, shake it up, and finally make the reference substance solution which contains 0.0656 mg/ml concentration of Ephedrine Hydrochloride.

### 2.2 The Preparation of Sample Test Solution

Weigh up precisely *Pinellia ternata* powder, mix round the material with 12% ammonia to be wet, add 18 times higher chloroform than the quantity of the sample and dip in for 25 hours, then reflux and extract in the 70°C water boiler for 5 hours, filtrate, divide the leavings into three parts and wash them with 10ml chloroform. Combine them, displace the liquid into the 50ml volumetric flask, dilute it to the calibration with chloroform, shake it up, extract 2ml extract solution accurately to the Rotary Evaporator, and concentrate till becoming dry. Then add 5ml buffer solution of which the pH is 6.0, add minutely 10ml chloroform and 1ml 0.1% of bromothymol blue solution, then surge them enough. Finally, put it into the 60ml tap funnel for 0.5 hours statically to extract the chloroform layer as the sample test solution.

### 2.3 The Choice of Experimental Conditions

#### 2.3.1 The Choice of the Wavelength of Maximum Absorption Spectroscopy

Weigh up the sample precisely, prepare the sample test solution based on item 2.2 and make the spectrometer scanning of reference substance solution and sample test solution between the wavelengths 380~ 600 nm. The result is that both of them will be at the absorption maximum in the 417nm wavelength, and their absorption peak as well as absorption spectroscopy is completely the same. Therefore, 417 nm is chosen to be the determining wavelength, as shown below.

#### 2.3.2 The Study of Stability

Weigh up the sample precisely, determine in the 417 nm wavelength according to prepared sample test solution and blank control solution in item 2.2, once every 20 min under the room temperature. The result indicates that the developing of test sample solution is stable with 80 min.  $RSD=0.3\%$  ( $n=5$ ). Because this experiment only studies the developing stability of the test solution with 80 min, it can complete the whole determination within 80 min.

#### 2.3.3 The Drawing of the Standard Curve

Extract accurately the reference substance 0.1, 0.3, 0.5, 0.7, 0.9ml, add distilled water respectively to 1.0ml, then add 5ml pH6.0 sodium citrate buffer solution. Add accurately 10ml chlorine & 1ml 0.1% bromothymol blue solution and surge it enough, displace it to the 60ml tap funnel, lay statically for 0.5 hour, separate the chloroform layer and get the reference substance test solution. In addition, get 1ml water to make blank control solution by the same method, and determine the absorption value in the 417 nm wavelength.

Regard the concentration as abscissa, the absorption value as the vertical coordinate that gets the regression equation— $Y=0.1576X+0.0245$ ,  $r=0.9989$  ( $n=5$ ). It indicates that ephedrine hydrochloride has a favorable liner relationship with absorptivity, which is in accordance with Beer's law.

### 2.4 The Study of Precision and Recovery

#### 2.4.1 Precision

Extract the reference substance solution precisely, determine the absorptivity five times continuously in the 417 nm wavelength according to the prepared sample test solution and blank control liquid in item 2.2.  $RSD=0.06\%$ . That indicates the apparatus has a better precision.

#### 2.4.2 Recovery

Get *Pinellia ternata* powder about 0.657g, weigh up five parts precisely, add moderate ephedrine hydrochloride, then determine its absorptivity in the 417 nm wavelength according to the preparation test solution in 2.2. The average recovery is 100.1%, and  $RSD=2.3\%$ .

### 2.5 The Determination of the Sample Quantity

Weigh up *Pinellia ternata* powder accurately, prepare the sample test solution and blank control solution according to the method in item 2.2, determine its absorptivity and calculating quantity respectively in the 417nm wavelength, and do the analysis of variance by SPSS10.0. The result is as the Table 1 and Table 2.

From Table 1 and Table 2, the variation among the genotypes of 15 clone alkaloid's quantity is shown. And the analysis of variance of alkaloid' quantity indicates that the quantity of different clone alkaloid has reached to the extremely significant level ( $F=3.604>F_{0.01}$ , its significance probability  $Sig.=0.002<0.01$ ). In the 15 clone alkaloid quantity, No.8 plant is the highest

to 0.2074% and No.13 plant is the lowest to 0.0677%, and their difference is 3.06 times as much. Therefore, it can be indicated that the genetic variation of alkaloid's quantity in No.8 plant is bigger than the other clone plants.

From the above, it can be seen that *Pinellia ternata* plants which are of the same age, in the same growing period and on the same ground may have complex and various changes of the quantities of alkaloid in different individuality. This illustrates that the genetic gene of *Pinellia ternata*, which decides individual growth, is also the key factor of controlling the metabolite of alkaloid. Because of this important effective element—quantity of alkaloid in *Pinellia ternata*, it is possible to make use of this kind of obvious genetic differences in quantity to propagate asexually by the current variation plants in the short term and to boost the quantity.

### 3. Discussion

The quantity of effective elements is controlled by genetic gene of plants and the polymorphism of genetic factors influences the metabolizing function of plants, and this variation in the same kind not only represents the differences in the individual description, but also represents the differences in the respects such as physiology and biochemistry and so on (Lu, S. P., 2006, p.1027). In this experiment, the clone plants of *Pinellia ternata* which are of the same age, in the same growing period and on the same ground represent the differences of alkaloid's quantity because of the differences of the individual growth characteristics. It is indicated that, the genetic gene of *Pinellia ternata*, which decides individual growth, is also the key factor of controlling the alkaloid's quantity. The quality variation in one kind is the result of apparent variation which is brought by the phenotypic variation decided by heredity.

Due to the apparent differences of alkaloid's quantity among different clone plants of *Pinellia ternata*, in order to make the quantity of alkaloid in *Pinellia ternata* stable, it is necessary to choose the clone plants with the homozygous genotype as the provenance in the course of artificial cultivation, advance the quantity of the effective elements in *Pinellia ternata* through the asexual propagation of variation plants with high content, and realize the aim of advancing the quantity rapidly by individual choice.

Not all the variation in the same plant will bring big external configuration, while in most cases, it represents on the physiological and biochemical property. Therefore, in order to understand the essential reason which influences the quality of *Pinellia ternata*, not only is it necessary to undertake research on its physiological characteristics and chemical elements, but also on its morphological, genetics and bionomics features synthetically and generally from the species biology.

In the aspect of the quality control of *Pinellia ternata*, attention should be focused on reaching the formation and accumulation of its chemical elements on the cells level and molecules level by the method of modern biology. For example, at the aim of boosting the effective bioactive elements, filter directional plants with high productivity by the assistant means of gene marker and gene mapping, and clone, express and control the key gene of the main effective elements in *Pinellia ternata* by genetic engineering technology so as to obtain the transgenic *Pinellia ternata* plants in the end.

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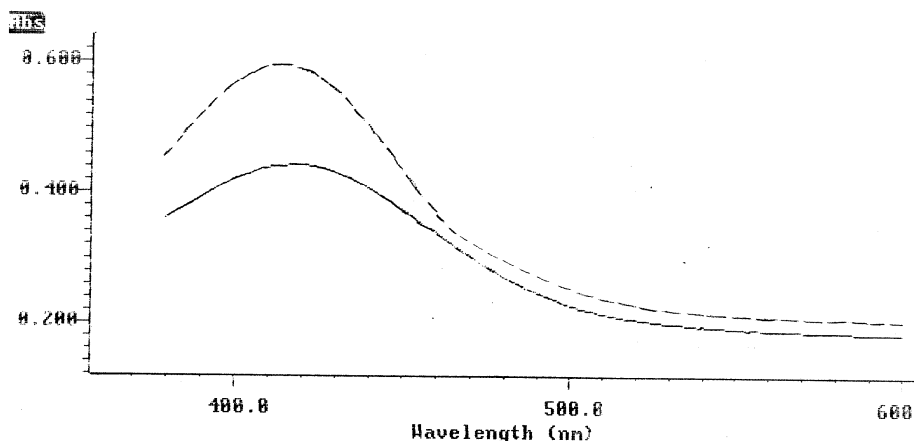


Figure 1. Macroscopic-ultraviolet Absorption Spectroscopy of Sample and Reference Substance (the upper one is the sample curve, and the below one is the reference substance curve.)

Table 1. Determination Result of Alkaloid's Quantity in Different Clone Plants

No.	Average weight of the sample(g)	Average quantity of Alkaloid± standard deviation(%)
1	0.461	0.1478±0.025
2	0.336	0.1146±0.089
3	0.3801	0.1568±0.041
4	0.214	0.1347±0.043
5	0.2733	0.1803±0.039
6	0.738	0.0828±0.021
7	0.6243	0.0842±0.043
8	0.3313	0.2074±0.041
9	0.6523	0.1213±0.008
10	0.4017	0.1893±0.038
11	0.4837	0.1432±0.056
12	0.258	0.1229±0.022
13	0.434	0.0677±0.018
14	0.3073	0.1194±0.055
15	0.4843	0.0690±0.015

Table 2. One-way ANOVA Analysis of Alkaloid's Quantity in Different Clone Plants

	Sum of Squares	df	Mean Square	F	Sig.
Between	.077	14	.005	3.604	.002
Within Groups	.043	28	.002		
Total	.119	42			

$F_{0.05,14,28}=2.12$

$F_{0.01,14,28}=2.9$

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